

The OTS/CafeOBJ Method and Some Future Directions

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Introduction

We have been successfully applying the OTS/CafeOBJ method to modeling and verification of distributed systems such as security protocols.

- A system is modeled as an observational transition system, or an OTS.
- The OTS is written in CafeOBJ.
- Properties to be proved are expressed as CafeOBJ terms.
- Proofs, or proof scores showing that the OTS has the properties are written in CafeOBJ.
- The proof scores are verified by executing them with the CafeOBJ system.

Outline of Talk

- Observational Transition Systems (OTSs)
- Example: Queuing Lock
- Compositionally Writing Proof Scores
- Ongoing and Future Work
 - Generating Proof Scores
 - Model-Checking OTS/CafeOBJ specifications

Observational Transition Systems

An OTS \mathcal{S} consists of $\langle \mathcal{O}, \mathcal{I}, \mathcal{T} \rangle$:

- \mathcal{O} : A set of observers.

Each $o \in \mathcal{O}$ is a function $o : \Upsilon \rightarrow D$, where D is a data type.

$$v_1 =_{\mathcal{S}} v_2 \stackrel{\text{def}}{=} \forall o \in \mathcal{O}. o(v_1) = o(v_2).$$

- \mathcal{I} : A set of initial states.
- \mathcal{T} : A set of conditional transition rules.

Each $\tau \in \mathcal{T}$ is a function $\tau : \Upsilon / =_{\mathcal{S}} \rightarrow \Upsilon / =_{\mathcal{S}}$ on equivalence classes of Υ wrt $=_{\mathcal{S}}$.

The condition c_{τ} of a transition rule $\tau \in \mathcal{T}$ is called *the effective condition*.

Executions and Invariants

An execution of \mathcal{S} is an infinite sequence v_0, v_1, \dots of states satisfying:

- *Initiation*: $v_0 \in \mathcal{I}$.
- *Consecution*: For each $i \in \{0, 1, \dots\}$, $v_{i+1} =_{\mathcal{S}} \tau(v_i)$ for some $\tau \in \mathcal{T}$.

A state is called *reachable* wrt \mathcal{S} iff there exists an execution of \mathcal{S} in which the state appears.

Let $\mathcal{R}_{\mathcal{S}}$ be the set of all the reachable states wrt an OTS \mathcal{S} .

If predicate p is true in every state of $\mathcal{R}_{\mathcal{S}}$, p is called invariant to \mathcal{S} , which is defined as follows:

$$\mathbf{invariant} \ p \stackrel{\text{def}}{=} \forall v \in \mathcal{R}_{\mathcal{S}}. p(v).$$

Indexed Observers and Transition Rules

Observers and transition rules may be indexed.

- Observers are generally denoted by o_{i_1, \dots, i_m} .
- Transition rules are generally denoted by τ_{j_1, \dots, j_n} .

where $m, n \geq 0$ and there exists data types D_k such that $k \in D_k$ ($k = i_1, \dots, i_m, j_1, \dots, j_n$).

For example,

- a_p : Observer denoting an interger array a possessed by a process p .
- $inc-a_{p,i}$: Transition rule denoting the increment of the i th element of the array.

CafeOBJ

- Two kinds of sorts.
 - *Visible sort* denotes an abstract data type.
 - *Hidden sort* denotes the state space of an abstract machine.
- Two kinds of operators for hidden sorts.
 - *Action operators* denote state transitions of an abstract machine.
 - Only *observation operators* can be used to know the inside of an abstract machine.

Writing OTSs in CafeOBJ

- The state space Υ is denoted by a hidden sort, say H .
- An observer o_{i_1, \dots, i_m} is denoted by an observation operator.

$$\text{bop } o : H V_{i_1} \dots V_{i_m} \rightarrow V$$

- A transition rule τ_{j_1, \dots, j_n} is denoted by an action operator.

$$\text{bop } a : H V_{j_1} \dots V_{j_n} \rightarrow H$$

- τ_{j_1, \dots, j_n} is defined with equations by describing how the value returned by each observer o_{i_1, \dots, i_m} changes.

$$\begin{aligned} \text{eq } o(a(S, X_{j_1}, \dots, X_{j_n}), X_{i_1}, \dots, X_{i_m}) &= \text{NewValue} \\ \text{if } c\text{-}a(S, X_{j_1}, \dots, X_{j_n}) &. \end{aligned}$$

$c\text{-}a$ denotes the effective condition of τ_{j_1, \dots, j_n} .

Queuing Lock

Program executed by process i :

```
l1: put(queue, i)  
l2: repeat until top(queue) = i  
Critical Section  
cs: get(queue)
```

- *queue* is the queue of process IDs shared by all processes.
- put(*queue*, *i*) puts *i* into *queue* at the end.
- top(*queue*) returns the top of *queue*.
- get(*queue*) removes the top of *queue*.

Modeling Queuing Lock as an OTS

- Observers.
 - *queue* returns the queue shared by all processes. It initially returns the empty queue.
 - pc_i ($i \in Pid$) returns the label of a command that process i will execute next. Each pc_i initially returns label l1.
- Transition rules.
 - $want_i$ ($i \in Pid$) denotes that process i executes the command at label l1.
 - try_i ($i \in Pid$) denotes that process i executes one iteration of the loop at label l2.
 - $exit_i$ ($i \in Pid$) denotes that process i executes the command at label cs.

Description of the OTS in CafeOBJ (1)

Signature of the CafeOBJ specification of the OTS:

[Sys]

-- any initial state

op init : -> Sys

-- observations

bop pc : Sys Pid -> Label

bop queue : Sys -> Queue

-- actions

bop want : Sys Pid -> Sys

bop try : Sys Pid -> Sys

bop exit : Sys Pid -> Sys

• Observers.

– *queue*

– $pc_i (i \in Pid)$

• Transition rules.

– $want_i (i \in Pid)$

– $try_i (i \in Pid)$

– $exit_i (i \in Pid)$

Example: Queuing Lock

Description of the OTS in CafeOBJ (2)

Action operator `want` is defined with the equations:

```
op c-want : Sys Pid -> Bool
```

```
eq c-want(S,I) = (pc(S,I) = l1) .
```

```
--
```

```
ceq pc(want(S,I),J)
```

```
    = (if I = J then l2 else pc(S,J) fi) if c-want(S,I) .
```

```
ceq queue(want(S,I)) = put(queue(S),I)    if c-want(S,I) .
```

```
ceq want(S,I)        = S                    if not c-want(S,I) .
```

Example: Queuing Lock

Description of the OTS in CafeOBJ (3)

Action operator `try` is defined with the equations:

op `c-try` : Sys Pid -> Bool

eq `c-try(S,I) = (pc(S,I) = 12 and top(queue(S)) = I) .`

--

ceq `pc(try(S,I),J)`

`= (if I = J then cs else pc(S,J) fi) if c-try(S,I) .`

eq `queue(try(S,I)) = queue(S) .`

ceq `try(S,I) = S` if not `c-try(S,I) .`

Example: Queuing Lock

Description of the OTS in CafeOBJ (4)

Action operator `exit` is defined with the equations:

```
op c-exit : Sys Pid -> Bool
eq c-exit(S,I) = (pc(S,I) = cs) .
--
ceq pc(exit(S,I),J)
    = (if I = J then l1 else pc(S,J) fi) if c-exit(S,I) .
ceq queue(exit(S,I)) = get(queue(S))      if c-exit(S,I) .
ceq exit(S,I)        = S                  if not c-exit(S,I)
```

Mutual Exclusion

To prove the queuing lock mutually exclusive, all we have to do is to prove that $inv1$ is invariant to the OTS modeling the queuing lock.

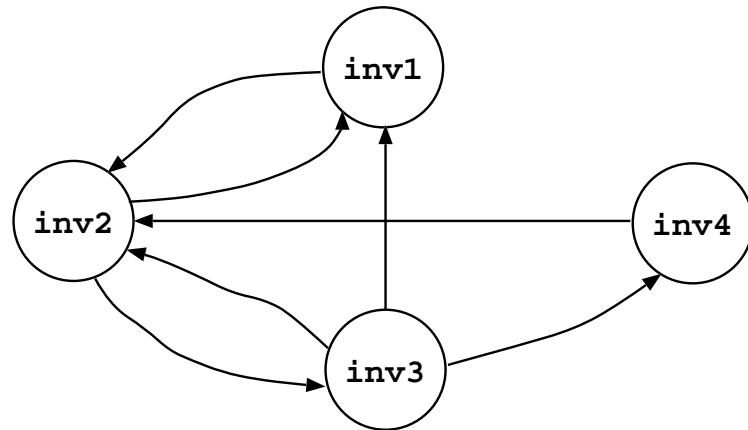
$$\begin{aligned} \text{eq } inv1(S, I, J) \\ = (\text{pc}(S, I) = \text{cs} \text{ and } \text{pc}(S, J) = \text{cs} \text{ implies } I = J) . \end{aligned}$$

To this end, we need the following:

$$\begin{aligned} \text{eq } inv2(S, I) &= (\text{pc}(S, I) = \text{cs} \text{ implies } \text{top}(\text{queue}(S)) = I) . \\ \text{eq } inv3(S, I) &= (\text{pc}(S, I) = l2 \text{ or } \text{pc}(S, I) = \text{cs} \\ &\quad \text{implies } \text{not empty?}(\text{queue}(S))) . \\ \text{eq } inv4(S, I) &= (\text{pc}(S, I) = l2 \text{ implies } I \notin \text{queue}(S)) . \end{aligned}$$

Strategies of Proofs

- First prove that `inv2`, `inv3` and `inv4` are invariant, and then prove that `inv1` is invariant using the proved invariants.



- Prove that $\text{inv1} \wedge \text{inv2} \wedge \text{inv3} \wedge \text{inv4}$ is invariant.
- Prove that `inv1`, `inv2`, `inv3` and `inv4` are invariant simultaneously.

Proof of inv1

- Consider the inductive case where try preserves inv1 .

We should prove $\text{inv1}(s, i, j) \Rightarrow \text{inv1}(\text{try}(s, k), i, j)$.

- inv2 is used to strengthen the inductive hypothesis.

$$(\text{inv2}(s, i) \wedge \text{inv2}(s, j) \wedge \text{inv1}(s, i, j)) \Rightarrow \text{inv1}(\text{try}(s, k), i, j)$$

- When the effective condition is true, the case is split into 4 subcases.
 1. $(i = k) \wedge (j = k)$, which needs nothing.
 2. $(i = k) \wedge (j \neq k)$, which needs $\text{inv2}(s, j)$.
 3. $(i \neq k) \wedge (j = k)$, which needs $\text{inv2}(s, i)$.
 4. $(i \neq k) \wedge (j \neq k)$, which needs nothing.

Example: Queuing Lock

Proof Passage of inv1

Proof passage of subcase 2 $((i = k) \wedge (j \neq k))$:

```
open ISTEP
-- arbitrary objects
  op k : -> Pid .
-- assumptions
  -- eq c-try(s,k) = true .
  eq pc(s,k) = l2 .   eq top(queue(s)) = k .
  --
  eq i = k .   eq (j = k) = false .
-- successor state
  eq s' = try(s,k) .
-- check; istep1(i,j) = inv1(s,i,j) implies inv1(s',i,j)
  red inv2(s,j) implies istep1(i,j) .
close
```

Proof of inv2

- Consider the inductive case where exit preserves inv2 .

We should prove $\text{inv2}(s, i) \Rightarrow \text{inv2}(\text{exit}(s, k), i)$.

- inv1 is used to strengthen the inductive hypothesis.

$$(\text{inv1}(s, i, k) \wedge \text{inv2}(s, i)) \Rightarrow \text{inv2}(\text{try}(s, k), i)$$

- When the effective condition is true, the case is split into 3 subcases.
 1. $i = k$, which needs nothing.
 2. $(i \neq k) \wedge (\text{pc}(s, i) = \text{cs})$, which needs $\text{inv1}(s, i, k)$.
 3. $(i \neq k) \wedge (\text{pc}(s, i) \neq \text{cs})$, which needs nothing.

Example: Queuing Lock

Proof Passage of inv2

Proof passage of subcase 2 $((i \neq k) \wedge (pc(s, i) = cs))$:

```
open ISTEP
-- arbitrary objects
  op k : -> Pid .
-- assumptions
  -- eq c-exit(s,k) = true .
  eq pc(s,k) = cs .
  --
  eq (i = k) = false .   eq pc(s,i) = cs .
-- successor state
  eq s' = exit(s,k) .
-- check; istep2(i) = inv2(s,i) implies inv2(s',i)
  red inv1(s,i,k) implies istep2(i) .
close
```

Compositional Proofs of Invariants (1)

- Consider proving that $pred_1(s, x_1)$ is invariant to an OTS.
- Together with $pred_2(s, x_2), \dots, pred_n(s, x_n)$.
Let $pred(s, x_1, \dots, x_n)$ be $pred_1(s, x_1) \wedge \dots \wedge pred_n(s, x_n)$.
- Consider the inductive case where an action operator a preserves $pred(s, x_1, \dots, x_n)$.

We should prove

$$pred(s, x_1, \dots, x_n) \Rightarrow pred(a(s, y), x_1, \dots, x_n)$$

or

$$\begin{aligned} pred_1(s, x_1) &\Rightarrow pred_1(a(s, y), x_1) \\ &\vdots \\ pred_n(s, x_n) &\Rightarrow pred_n(a(s, y), x_n) \end{aligned} \tag{1}$$

Compositional Proofs of Invariants (2)

- Let $pred_i(s, x_i) \Rightarrow pred_i(a(s, y), x_i)$ be one of such formulas.
- What strengthens the inductive hypothesis can be $pred_{j_1}(s, t_{j_1}) \wedge \dots \wedge pred_{j_k}(s, t_{j_k})$, where $1 \leq j_1, \dots, j_k \leq n$, where t_j is a term denoting an instance of x_j .

Let SIH_i be $pred_{j_1}(s, t_{j_1}) \wedge \dots \wedge pred_{j_k}(s, t_{j_k})$.

- The proof of the i th formula of (1) can be replaced with the proof of the formula:

$$(SIH_i \wedge pred_i(s, x_i)) \Rightarrow pred_i(a(s, y), x_i) \quad (2)$$

Compositional Proofs of Invariants (3)

$$(SIH_1 \wedge pred_1(s, x_1)) \Rightarrow pred_1(a(s, y), x_1)$$

⋮

$$(SIH_n \wedge pred_n(s, x_n)) \Rightarrow pred_n(a(s, y), x_n)$$

where $SIH_i = pred_{j_1^i}(s, t_{j_1^i}) \wedge \dots \wedge pred_{j_{k_i}^i}(s, t_{j_{k_i}^i})$, $i = 1, \dots, n$.

From these n formulas, the following can be deduced.

$$\begin{aligned} & (SIH_1 \wedge \dots \wedge SIH_n) \wedge (pred_1(s, x_1) \wedge \dots \wedge pred_n(s, x_n)) \\ & \Rightarrow (pred_1(a(s, y), x_1) \wedge \dots \wedge pred_n(a(s, y), x_n)) \end{aligned}$$

$SIH_1 \wedge \dots \wedge SIH_n$ can be used as the inductive hypothesis because x_1, \dots, x_n are just instantiated.

Therefore, if this formula is proved, then it is shown that action operator a preserves $pred_1(s, x_1) \wedge \dots \wedge pred_n(s, x_n)$.

Compositional Proofs of Invariants (4)

- The case may have to be split into multiple subcases to prove

$$(SIH_i \wedge pred_i(s, x_i)) \Rightarrow pred_i(a(s, y), x_i) \quad (2)$$

- Suppose that the case is split into l subcases denoted by $case_1^i, \dots, case_l^i$, which should satisfy

$$(case_1^i \vee \dots \vee case_l^i) = \text{true}.$$

- The proof of (2) can be replaced with the proofs of the l formulas:

$$\begin{aligned} (case_1^i \wedge SIH_i \wedge pred_1(s, x_i)) &\Rightarrow pred_1(a(s, y), x_i) \\ &\vdots \\ (case_l^i \wedge SIH_i \wedge pred_l(s, x_i)) &\Rightarrow pred_l(a(s, y), x_i) \end{aligned}$$

Proof Scores of Invariants (1)

In module INV:

```
op  $inv_1 : H V_1 \rightarrow \text{Bool}$     --  $pred_1(s, x_1)$   
...                               -- ...  
op  $inv_n : H V_n \rightarrow \text{Bool}$   --  $pred_n(s, x_n)$   
eq  $inv_1(S, X_1) = pred_1(S, X_1)$  .  
...  
eq  $inv_n(S, X_n) = pred_n(S, X_n)$  .
```

In module ISTEP:

```
op  $istep_1 : V_1 \rightarrow \text{Bool}$   --  $pred_1(s, x_1) \Rightarrow pred_1(a(s, y), x_1)$   
...                               -- ...  
op  $istep_n : V_n \rightarrow \text{Bool}$   --  $pred_1(s, x_n) \Rightarrow pred_1(a(s, y), x_n)$   
eq  $istep_1(X_1) = inv_1(s, X_1)$  implies  $inv_1(s', X_1)$  .  
...  
eq  $istep_n(X_n) = inv_n(s, X_n)$  implies  $inv_n(s', X_n)$  .
```

Proof Scores of Invariants (2)

Proof passage of $case_j^i$ where an action operator a preserves $pred_i(s, t_i)$:

open ISTEP

-- arbitrary objects

op $y : \rightarrow V$.

Declare constants if necessary

-- assumptions

Declare equations denoting $case_j^i$

-- successor state

eq $s' = a(s, y)$.

-- check; $istep_i(x_i) = pred_i(s, t_i)$ implies $pred_i(s', t_i)$

red ($pred_{j_1}(s, t_{j_1})$ and ... $pred_{j_k}(s, t_{j_k})$) implies $istep_i(x_i)$.

close

Some Merits

- Relieve the complexity of the reduction of logical formulas.

Because the compositional writing of proof scores makes it possible to focus on each conjunct $pred_i(s, x_i)$ of a large formula $pred_1(s, x_1) \wedge \dots pred_n(s, x_n)$.

- Ease the complexity of case analysis.

Because the compositional writing of proof scores makes it possible to do case analysis for each conjunct $pred_i(s, x_i)$ only.

Case Studies

- NSLPK authentication protocol
17 invariants verified; 9,500 lines.
- *i*KP electronic payment protocols
18 invariants verified; proof scores of 22,000 lines.
- Horn-Preneel micropayment protocol
24 invariants verified; proof scores of 22,000 lines.
- NetBill electronic commerce protocol
36 invariants verified; proof scores of 79,000 lines.
- SET payment protocol
20 invariants verified; proof scores of 40,000 lines.
- TLS handshake protocol
18 invariants verified; proof scores of 14,000 lines.

Ongoing and Future Work

- A script language and a tool (called Gateau) that translates scripts written in the language into proof scores have been being designed. A prototype has been implemented and used for verifying the queuing lock and the NSLPK authentication protocol.
- A tool that translates OTS/CafeOBJ specifications into SMV ones has been designed.

A prototype has been implemented and used for model-checking an OTS/CafeOBJ specification of the NSPK authentication protocol.

But, it is difficult to translate CafeOBJ user-defined data type into those encoded in SMV limited data types.

Therefore, we are going to design a tool that translates OTS/CafeOBJ specifications into Maude ones.

Gateau Scripts

The Gateau script of `inv1` of the queuing lock:

```
#base: inv1(init,i,j)

#inductive: istep1(i,j)

#successor: s'

#action: want(s,k)
#constants: k : -> Pid
#effective: pc(s,k) = l1
#case: i = k
      (i = k) = false
#case: j = k
      (j = k) = false
```

```
#action: try(s,k)
#constants: k : -> Pid
#effective: pc(s,k) = l2
           top(queue(s)) = k
#lemma: inv2(s,i) and inv2(s,j)
#case: i = k
      (i = k) = false
#case: j = k
      (j = k) = false

#action: exit(s,k)
#constants: k : -> Pid
#effective: pc(s,k) = cs
#case: i = k
      (i = k) = false
#case: j = k
      (j = k) = false
```

Experimental Data of Gateau

- QLOCK – We have verified that the queuing lock is mutually exclusive with Gateau.
- NSLPK – We have verified that the NSLPK authentication protocol has the nonce secrecy and one-to-many agreement properties with Gateau.

Examples	Size of Gateau Scripts	Size of Generated Proof Cores
QLOCK	142 lines	2946 lines
NSLPK	1997 lines	19251 lines

The size of the hand-written proof scores are

QLOCK	966 lines
NSLPK	9664 lines

Writing OTSs in Maude (1)

- \mathcal{O} and \mathcal{T} are denoted by sorts, say `OValue` and `TRule`; Υ is denoted by a sort, say `Sys`.
- A snapshot of \mathcal{S} is represented by a bag of observers and transition rules.

```
subsort OValue TRule < Sys .
```

```
op none : -> Sys .
```

```
op __ : Sys Sys -> Sys [assoc comm id: none]
```

Generally, a snapshot of \mathcal{S} is as the following form:

$$o\text{value-}1 \dots o\text{value-}M \text{trule-}1 \dots \text{trule-}N$$

where $o\text{value-}i$ ($i = 1, \dots, M$) is a term denoting an observer, and $\text{trule-}i$ ($i = 1, \dots, N$) is a term denoting a transition rule.

Writing OTSs in Maude (2)

- $o_{i_1, \dots, i_m} : \Upsilon \rightarrow D$, where $k \in D_k$ ($k = i_1, \dots, i_m$), is denoted by the operator declared as follows:

$$\text{op } (o[_{i_1}, \dots, _{i_m}] :_) : V_{i_1} \dots V_{i_m} V \rightarrow \text{OValue} .$$

where V_k ($k = i_1, \dots, i_m$) and V correspond to D_k and D .

- $\tau_{j_1, \dots, j_n} : \Upsilon \rightarrow \Upsilon$, where $k \in D_k$ ($k = j_1, \dots, j_n$), is denoted by the operator declared as follows:

$$\text{op } r : V_{j_1} \dots V_{j_n} \rightarrow \text{TRule} .$$

where V_k ($k = j_1, \dots, j_n$) correspond to D_k .

Writing OTSs in Maude (3)

- Transition rules are defined in Maude rules.

Suppose that observers needed and affected by the execution of the transition rule τ_{j_1, \dots, j_n} are $o_{i_1^1, \dots, i_{m_1}^1}^1, \dots, o_{i_1^l, \dots, i_{m_l}^l}^l$.

`cr1 [rule-r] :`

$r(X_{j_1}, \dots, X_{j_n})$

$(o^1[X_{i_1^1}, \dots, X_{i_{m_1}^1}] : X_1) \dots (o^l[X_{i_1^l}, \dots, X_{i_{m_l}^l}] : X_l)$

\Rightarrow

$r(X_{j_1}, \dots, X_{j_n})$

$(o^1[X_{i_1^1}, \dots, X_{i_{m_1}^1}] : X'_1) \dots (o^l[X_{i_1^l}, \dots, X_{i_{m_l}^l}] : X'_l)$

`if c-r` $(X_{j_1}, \dots, X_{j_n}, X_{i_1^1}, \dots, X_{i_{m_1}^1}, X_1, \dots, X_{i_1^l}, \dots, X_{i_{m_l}^l}, X_l) .$

Writing the Queuing Lock in Maude (1)

The operators denoting the observers and transition rules are declared as follows:

*** Observers

op pc[_] :_ : Pid Label -> OValue .

op queue :_ : Queue -> OValue .

*** Transition rules

op want : Pid -> TRule .

op try : Pid -> TRule .

op exit : Pid -> TRule .

- Observers.

- $pc_i (i \in Pid)$

- $queue$

- Transition rules.

- $want_i (i \in Pid)$

- $try_i (i \in Pid)$

- $exit_i (i \in Pid)$

Writing the Queuing Lock in Maude (2)

The transition rules are defined with these rules.

```
crl [want] :  
  want(P) (pc[P] : L) (queue : Q)  
=> want(P) (pc[P] : l2) (queue : put(Q,P))  
if L == l1 .  
  
crl [try] :  
  try(P) (pc[P] : L) (queue : Q)  
=> try(P) (pc[P] : cs) (queue : Q)  
if L == l2 and top(Q) == P .  
  
crl [exit] :  
  exit(P) (pc[P] : L) (queue : Q)  
=> exit(P) (pc[P] : l1) (queue : get(Q))  
if L == cs .
```

Model-Checking (1)

State predicates needed to describe properties to be checked:

```
mod QLOCK-PREDS is
  pr QLOCK .
  inc SATISFACTION .
  subsort Sys < State .
  op crit : Pid -> Prop .
  var P : Pid .
  var S : Sys .
  eq (pc[P] : cs) S |= crit(P) = true .
endm
```

Model-Checking (2)

Initial state and LTL formula denoting mutual exclusion:

```
mod QLOCK-CHECK is
  inc QLOCK-PREDS .   inc MODEL-CHECKER .   inc LTL-SIMPLIFIER .
  ops p1 p2 p3 : -> Pid .
  op init : -> Sys .   op mutex : -> Formula .
  eq init = want(p1) try(p1) exit(p1) want(p2) try(p2) exit(p2)
            want(p3) try(p3) exit(p3)
            (pc[p1] : l1) (pc[p2] : l1) (pc[p3] : l1) (queue : empty) .
  eq mutex = ([] ~(crit(p1) /\ crit(p2)))
            /\ ([] ~(crit(p1) /\ crit(p3)))
            /\ ([] ~(crit(p2) /\ crit(p3))) .
endm
```

Then, model-check that the finite OTS has the property.

```
red modelCheck(init,mutex) .
```