

Cardinal invariants of density

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Computability Theory and Foundations of Mathematics 2015,
Tokyo Institute of Technology, Tokyo, Japan
September 8, 2015

Outline

- 1 Analytic P-ideals
- 2 Cardinal invariants
- 3 The Results and Proofs
- 4 Questions

Basic definitions

Definition

$\mathcal{I} \subseteq \mathcal{P}(\omega)$ is an **ideal on ω** if

- 1 \mathcal{I} is closed under subsets and finite unions.
- 2 Every finite subset of ω belongs to \mathcal{I} .
- 3 $\omega \notin \mathcal{I}$.

- In this talk I am primarily interested in \mathcal{I} that are definable

- The P-ideals form a special class.

Definition

An ideal \mathcal{I} on ω is called a **P-ideal** if \mathcal{I} is countably directed mod finite. In other words, if $\{a_n : n \in \omega\} \subseteq \mathcal{I}$, then there exists $a \in \mathcal{I}$ such that $\forall n \in \omega [a_n \subseteq^* a]$.

- Here $a \subseteq^* b$ means $a \setminus b$ is finite.

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- Here $a \subseteq^* b$ means $a \setminus b$ is finite.
- Being a P-ideal has a strong influence on the structure of an ideal \mathcal{I} .
- It also influences the possible definable complexity of \mathcal{I} .

- $\mathcal{P}(\omega)$ is a Polish space with the usual Cantor topology.
- Sets of the form $\{X \subseteq \omega : n \in X\}$ and $\{X \subseteq \omega : n \notin X\}$ form a sub-basis.
- We can talk about the complexity of \mathcal{I} in the descriptive sense.
- The simplest are the \mathcal{F}_σ ideals.

- These have a characterization in terms of sub-measures:

Definition

A function $\phi : \mathcal{P}(\omega) \rightarrow [0, \infty]$ is called a **sub-measure** if

- 1 $\phi(0) = 0$ and $\phi(\{n\}) < \infty$, for every $n \in \omega$;
- 2 $X \subseteq Y \implies \phi(X) \leq \phi(Y)$;
- 3 $\phi(X \cup Y) \leq \phi(X) + \phi(Y)$;

Definition

A sub-measure ϕ is **lower semi-continuous (lsc)** if for any $X \subseteq \omega$,
 $\phi(X) = \lim_{n \rightarrow \infty} \phi(X \cap n)$.

Fact (Mazur)

An ideal \mathcal{I} on ω is F_σ iff $\mathcal{I} = \text{Fin}(\phi) = \{X \subseteq \omega : \phi(X) < \infty\}$.

Example

$\mathcal{I}_{\frac{1}{n}}$ is the ideal of **summable sets**. That is

$$\mathcal{I}_{\frac{1}{n}} = \left\{ X \subseteq \omega : \sum_{n \in X} \frac{1}{n} < \infty \right\}$$

- $\mathcal{I}_{\frac{1}{n}}$ is actually a P-ideal.
- The sub-measure here is just $\phi(X) = \sum_{n \in X} \frac{1}{n}$.
- Can replace $\frac{1}{n}$ by any divergent series (the ideals are quite different though!).

Example

\mathcal{ED} is the ideal on $\omega \times \omega$ generated by the vertical columns and graphs of functions. That is $\mathcal{ED} =$

$$\{X \subseteq \omega \times \omega : \exists k, l \in \omega \forall n > k [|\{m \in \omega : \langle n, m \rangle \in X\}| \leq l]\}$$

- This is an F_σ ideal which is not P.

- Moving up the complexity hierarchy, it turns out that every analytic P-ideal is $F_{\sigma\delta}$.
- So at least for P-ideals, there is nothing between $F_{\sigma\delta}$ and Π_1^1 .

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Theorem (Solecki)

Let \mathcal{I} be an ideal on ω .

- 1 \mathcal{I} is an analytic P-ideal iff there exists a lower semi-continuous sub-measure ϕ such that $\mathcal{I} = \text{Exh}(\phi) = \{X \subseteq \omega : \lim_{n \rightarrow \infty} \phi(X \setminus n) = 0\}$.
- 2 \mathcal{I} is an \mathcal{F}_σ P-ideal iff there exists a lower semi-continuous sub-measure ϕ such that $\mathcal{I} = \text{Fin}(\phi) = \text{Exh}(\phi)$.

$\text{Exh}(\phi)$ is always an $F_{\sigma\delta}$ P-ideal.

Example

A set $A \subseteq \omega$ is said to have **asymptotic density 0** if $\lim_{n \rightarrow \infty} \frac{|A \cap n|}{n} = 0$.

$$\mathcal{Z}_0 = \left\{ A \subseteq \omega : \lim_{n \rightarrow \infty} \frac{|A \cap n|}{n} = 0 \right\}.$$

- This an $F_{\sigma\delta}$ P-ideal.
- Suppose $\{a_n : n \in \omega\} \subseteq \mathcal{Z}_0$.
- WLOG they are pairwise disjoint.

- Let $b_n = \bigcup_{m \leq n} a_m$ and let k_n be minimal such that for all $k \geq k_n$,
 $\frac{|b_n \cap k|}{k} \leq 2^{-n}$.
- Let $a = \bigcup_{n \in \omega} (a_n \setminus k_n)$.
- This set a works.

Three basic invariants

- Cardinals invariants are cardinal between \aleph_1 and $c = 2^{\aleph_0}$.
- They identify places where basic diagonalization arguments first fail.

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Definition

For $f, g \in \omega^\omega$, $f <^* g$ means that $|\{n \in \omega : g(n) \leq f(n)\}| < \omega$. A set $F \subseteq \omega^\omega$ is said to be **unbounded** if there does not exist $g \in \omega^\omega$ such that $\forall f \in F [f <^* g]$. A set $F \subseteq \omega^\omega$ is said to be **dominating or cofinal** if $\forall f \in \omega^\omega \exists g \in F [f <^* g]$.

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- Cardinals invariants are cardinal between \aleph_1 and $c = 2^{\aleph_0}$.
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Definition

For $a, b \in \mathcal{P}(\omega)$ we say that a **splits** b if both $b \cap a$ and $b \cap (\omega \setminus a)$ are infinite. A family $F \subseteq \mathcal{P}(\omega)$ is called a **splitting family** if $\forall b \in [\omega]^\omega \exists a \in F [a \text{ splits } b]$.

Definition

We define the cardinal invariants \mathfrak{b} , \mathfrak{d} , and \mathfrak{s} as follows:

$$\mathfrak{b} = \min\{|F| : F \subseteq \omega^\omega \wedge F \text{ is unbounded}\};$$

$$\mathfrak{d} = \min\{|F| : F \subseteq \omega^\omega \wedge F \text{ is dominating}\};$$

$$\mathfrak{s} = \min\{|F| : F \subseteq \mathcal{P}(\omega) \wedge F \text{ is a splitting family}\}.$$

Fact

$$\aleph_1 \leq \max\{\mathfrak{b}, \mathfrak{s}\} \leq \mathfrak{d} \leq \mathfrak{c}.$$

- This is all that can be proved in ZFC.

- We consider cardinal invariants associated with analytic P-ideals.
- Two possibilities: invariants associated with the quotient $\mathcal{P}(\omega)/\mathcal{I}$ and cardinals associated with \mathcal{I} itself.
- Former is similar to $\mathcal{P}(\omega)/\text{FIN}$.
- The latter involves possibilities that don't make sense for FIN because FIN is not a tall ideal.

Definition

Recall that an ideal \mathcal{I} on ω is **tall** if it has the property that $\forall a \in [\omega]^\omega \exists b \in [a]^\omega [b \in \mathcal{I}]$.

- When \mathcal{I} is a tall P-ideal, we can define invariants associated with \mathcal{I} that don't make sense for FIN.
- There are many interesting open problems about invariants associated with $\mathcal{P}(\omega)/\mathcal{I}$ (not our topic for today, but . . .).

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Definition

A family $F \subseteq \mathcal{P}(\omega)$ is **splitting for** $\mathcal{P}(\omega)/\mathcal{I}_{\frac{1}{n}}$ if

$$\forall b \in \mathcal{I}_{\frac{1}{n}}^+ \exists a \in F \left[b \cap a \in \mathcal{I}_{\frac{1}{n}}^+ \wedge b \cap (\omega \setminus a) \in \mathcal{I}_{\frac{1}{n}}^+ \right].$$

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Definition

The analogue of \mathfrak{s} for $\mathcal{P}(\omega)/\mathcal{I}_{\frac{1}{n}}$ is:

$$\mathfrak{s}_{\frac{1}{n}} = \min \left\{ |F| : F \subseteq \mathcal{P}(\omega) \text{ is splitting for } \mathcal{P}(\omega)/\mathcal{I}_{\frac{1}{n}} \right\}.$$

Theorem (Brendle)

It is consistent to have $\mathfrak{s}_{\frac{1}{n}} < \mathfrak{s}$.

Question

Is $\mathfrak{s} < \mathfrak{s}_{\frac{1}{n}}$ consistent?

Question

Is $\mathfrak{h} < \mathfrak{h}_{\frac{1}{n}}$ consistent?

Definition

When \mathcal{I} is a tall P -ideal on ω you can define the following:

$$\text{add}^*(\mathcal{I}) = \min\{|\mathcal{F}| : \mathcal{F} \subseteq \mathcal{I} \wedge \forall b \in \mathcal{I} \exists a \in \mathcal{F} [a \not\subseteq^* b]\},$$

$$\text{cov}^*(\mathcal{I}) = \min\{|\mathcal{F}| : \mathcal{F} \subseteq \mathcal{I} \wedge \forall a \in [\omega]^\omega \exists b \in \mathcal{F} [|a \cap b| = \omega]\},$$

$$\text{cof}^*(\mathcal{I}) = \min\{|\mathcal{F}| : \mathcal{F} \subseteq \mathcal{I} \wedge \forall b \in \mathcal{I} \exists a \in \mathcal{F} [b \subseteq^* a]\},$$

$$\text{non}^*(\mathcal{I}) = \min\{|\mathcal{F}| : \mathcal{F} \subseteq [\omega]^\omega \wedge \forall b \in \mathcal{I} \exists a \in \mathcal{F} [|a \cap b| < \omega]\}.$$

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- If \mathcal{I} were not a P-ideal, $\text{add}^*(\mathcal{I})$ would be ω .
- If \mathcal{I} were not tall, then $\text{cov}^*(\mathcal{I})$ would be undefined, and $\text{non}^*(\mathcal{I})$ would be 1.

- These invariants were investigated by Hernández-Hernández and Hrušák [2] and also by Brendle and Shelah [1].
- Terminology is based on analogy with the following definitions which make sense for any ideal whatsoever.

Definition

Let \mathcal{I} be any ideal on a set X . Define

$$\text{add}(\mathcal{I}) = \min \{ |\mathcal{F}| : \mathcal{F} \subseteq \mathcal{I} \wedge \bigcup \mathcal{F} \notin \mathcal{I} \},$$

$$\text{cov}(\mathcal{I}) = \min \{ |\mathcal{F}| : \mathcal{F} \subseteq \mathcal{I} \wedge \bigcup \mathcal{F} = X \},$$

$$\text{cof}(\mathcal{I}) = \min \{ |\mathcal{F}| : \mathcal{F} \subseteq \mathcal{I} \wedge \forall B \in \mathcal{I} \exists A \in \mathcal{F} [B \subseteq A] \},$$

$$\text{non}(\mathcal{I}) = \{ |Y| : Y \subseteq X \wedge Y \notin \mathcal{I} \}.$$

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$$\text{non}(\mathcal{I}) = \{ |Y| : Y \subseteq X \wedge Y \notin \mathcal{I} \}.$$

- $\text{add}(\mathcal{I})$ and $\text{cof}(\mathcal{I})$ are duals. So are $\text{cov}(\mathcal{I})$ and $\text{non}(\mathcal{I})$.

- For each $a \in \mathcal{P}(\omega)$, let $\hat{a} = \{b \subseteq \omega : |a \cap b| = \omega\}$.
- For each $a \in \mathcal{P}(\omega)$, let $\hat{a} = \{b \subseteq \omega : |a \cap b| = \omega\}$.
- For a tall ideal \mathcal{I} , $\hat{\mathcal{I}} = \{X \subseteq \mathcal{P}(\omega) : \exists a \in \mathcal{I} [X \subseteq \hat{a}]\}$ is an ideal on $\mathcal{P}(\omega)$ generated by Borel sets.

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- \mathcal{I} is a P-ideal iff $\hat{\mathcal{I}}$ is a σ -ideal.
- $\text{add}(\hat{\mathcal{I}}) = \text{add}^*(\mathcal{I})$, $\text{cov}(\hat{\mathcal{I}}) = \text{cov}^*(\mathcal{I})$, $\text{cof}(\hat{\mathcal{I}}) = \text{cof}^*(\mathcal{I})$,
 $\text{non}(\hat{\mathcal{I}}) = \text{non}^*(\mathcal{I})$ hold.

- The Tukey and the Katětov orderings are relevant to these invariants.

Definition

Let \mathcal{I} and \mathcal{J} be ideals on ω . Recall that \mathcal{I} is **Katětov below** \mathcal{J} or $\mathcal{I} \leq_K \mathcal{J}$ if there is a function $f : \omega \rightarrow \omega$ such that $\forall a \in \mathcal{I} [f^{-1}(a) \in \mathcal{J}]$.

Definition

We say that $\langle \mathcal{I}, \subseteq^* \rangle$ is **Tukey below** $\langle \mathcal{J}, \subseteq^* \rangle$ and we write $\mathcal{I} \leq_T^* \mathcal{J}$ if there is a map $\varphi : \mathcal{I} \rightarrow \mathcal{J}$ such that if $X \subseteq \mathcal{I}$ any set that does not have an upper bound in the partial order $\langle \mathcal{I}, \subseteq^* \rangle$, then $\varphi''X$ does not have an upper bound in the partial order $\langle \mathcal{J}, \subseteq^* \rangle$.

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- $\mathcal{I} \leq_K \mathcal{J}$ implies both that $\text{cov}^*(\mathcal{I}) \geq \text{cov}^*(\mathcal{J})$ and that $\text{non}^*(\mathcal{I}) \leq \text{non}^*(\mathcal{J})$.
- If $\mathcal{I} \leq_T^* \mathcal{J}$, then $\text{add}^*(\mathcal{I}) \geq \text{add}^*(\mathcal{J})$ and $\text{cof}^*(\mathcal{I}) \leq \text{cof}^*(\mathcal{J})$.

- Summary of some known results:

Fact

Let \mathcal{I} be a tall P-ideal on ω .

- 1 $\aleph_1 \leq \text{add}^*(\mathcal{I}) \leq \min\{\text{non}^*(\mathcal{I}), \text{cov}^*(\mathcal{I})\} \leq \max\{\text{non}^*(\mathcal{I}), \text{cov}^*(\mathcal{I})\} \leq \text{cof}^*(\mathcal{I}) \leq \mathfrak{c}$.
- 2 $\mathfrak{p} \leq \text{cov}^*(\mathcal{I})$.

Theorem

The following hold:

- 1 $\text{add}^*\left(\mathcal{I}_{\frac{1}{n}}\right) = \text{add}(\mathcal{N})$.
- 2 (Todorćević) For every analytic P-ideal \mathcal{I} , $0 \times \text{FIN} \leq_T^* \mathcal{I} \leq_T^* \mathcal{I}_{\frac{1}{n}}$.
Therefore $\text{add}(\mathcal{N}) \leq \text{add}^*(\mathcal{I}) \leq \mathfrak{b}$ for all analytic P-ideals \mathcal{I} . Here $0 \times \text{FIN}$ is

$$\{X \subseteq \omega \times \omega : \forall n \in \omega [\{m \in \omega : \langle n, m \rangle \in X\} \text{ is finite}]\}$$

- 3 (Fremlin) $\text{add}^*(\mathcal{Z}_0) = \text{add}(\mathcal{N})$ and $\text{cof}^*(\mathcal{Z}_0) = \text{cof}(\mathcal{N})$.

Theorem (Hernández-Hernández and Hrušák)

$\min\{\text{cov}(\mathcal{N}), \mathfrak{b}\} \leq \text{cov}^*(\mathcal{Z}_0) \leq \max\{\mathfrak{b}, \text{non}(\mathcal{N})\}$ and
 $\min\{\mathfrak{d}, \text{cov}(\mathcal{N})\} \leq \text{non}^*(\mathcal{Z}_0) \leq \max\{\mathfrak{d}, \text{non}(\mathcal{N})\}$ hold.

Question ([2])

Is $\text{cov}^*(\mathcal{Z}_0) \leq \mathfrak{d}$?

- This question also has a motivation coming from forcing theory.

Definition

Let \mathbf{V} be any ground model and $\mathbb{P} \in \mathbf{V}$ be a notion of forcing. Let $\mathcal{I} \in \mathbf{V}$ be an ideal on ω . We say that \mathbb{P} **diagonalizes** $\mathbf{V} \cap \mathcal{I}$ if there exists $\dot{A} \in \mathbf{V}^{\mathbb{P}}$ such that $\Vdash_{\mathbb{P}} \dot{A} \in [\omega]^\omega$ and for each $X \in \mathbf{V} \cap \mathcal{I}$, $\Vdash_{\mathbb{P}} |X \cap \dot{A}| < \omega$.

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Theorem (Laflamme [3])

Any F_σ ideal can be diagonalized by a proper ω^ω -bounding forcing.

Corollary

There is a model where $\text{cov}^*(\mathcal{I}) > \mathfrak{d}$ for every tall F_σ ideal \mathcal{I} .

Question

Suppose $\mathcal{I} \in \mathbf{V}$ is an $F_{\sigma\delta}$ P-ideal. Does there exist a proper ω^ω -bounding $\mathbb{P} \in \mathbf{V}$ which diagonalizes $\mathbf{V} \cap \mathcal{I}$? Is it consistent that $\text{cov}^*(\mathcal{I}) > \mathfrak{d}$ holds for all tall $F_{\sigma\delta}$ P-ideals \mathcal{I} ?

- If you move one level up to $F_{\sigma\delta\sigma}$ ideals, then this totally fails.
- The ideal $\text{FIN} \times \text{FIN}$ is an $F_{\sigma\delta\sigma}$ ideal and any \mathbb{P} that diagonalizes it must add a dominating real.

The Results

Theorem (R. and Shelah [4])

$$\text{cov}^*(\mathcal{Z}_0) \leq \mathfrak{d}.$$

Corollary

Let \mathbb{V} be any ground model and let $E \in \mathbb{V}$ be a dominating family of minimal size. If $\mathbb{P} \in \mathbb{V}$ diagonalizes $\mathcal{Z}_0 \cap \mathbb{V}$, then E is no longer a dominating family in $\mathbb{V}^{\mathbb{P}}$.

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Theorem (R.)

$$\text{cov}^*(\mathcal{Z}_0) \leq \max\{\mathfrak{b}, \mathfrak{s}\}.$$

- The proof dualizes to give $\text{non}^*(\mathcal{Z}_0) \geq \min\{\mathfrak{d}, \mathfrak{r}\}$.

Theorem (R.)

Let κ be any cardinal. Suppose there exists a function $c : \kappa \times \omega \times \omega \rightarrow 2$ such that for any set $A \in [\omega]^\omega$ and any partition $\langle X_n : n \in \omega \rangle$ of κ into countably many pieces, there exists $n \in \omega$ such that $\forall \sigma \in 2^n \exists k \in A \exists \alpha \in X_n \forall i < n [\sigma(i) = c(\alpha, k, i)]$. Then $\text{cov}^*(\mathcal{Z}_0) \leq \max\{\mathfrak{b}, \kappa\}$.

Claim

If $\kappa = \max\{\mathfrak{b}, \mathfrak{s}\}$, then there exists a function $c : \kappa \times \omega \times \omega \rightarrow 2$ as in the Theorem.

Open Questions

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- It is consistent to have $\text{cov}^*(\mathcal{Z}_0) > \mathfrak{s}$.
- This is because Suslin c.c.c. posets (and their FS iterations) do not increase \mathfrak{s} .
- $\mathbb{M}(\mathcal{Z}_0^*)$ is Suslin c.c.c.

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Question

Does $\text{add}^*(\mathcal{I}) = \text{add}(\mathcal{N})$ for all tall analytic P-ideals?

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