

A simple conservation proof for ADS

Keita Yokoyama

JAIST / UC Berkeley

CTFM 2015 @TITech, Tokyo
September 11, 2015

Ascending descending sequence

Today's target:

Definition

ADS: every infinite linear ordering has an infinite ascending or descending sequence.

ADS is an easy consequence of RT_2^2 .

In fact, we can easily see the following.

Theorem (Shore/Hirschfeldt 2007)

ADS is equivalent to transitive RT_2^2 , i.e., Ramsey's theorem for transitive colorings.

(Here, $P : [\mathbb{N}]^2 \rightarrow 2$ is said to be transitive if $P(a, b) = P(b, c) \rightarrow P(a, b) = P(a, c)$.)

So, ADS is a restricted version of RT_2^2 .

Main question

Question

What is the proof-theoretic strength, or provably total functions (in other words, Π_2^0 -part) of ADS?

In fact, we already know the result.

Theorem (Chong/Slaman/Yang 2012)

ADS + WKL₀ is a Π_1^1 -conservative extension of $B\Sigma_2^0$.

Corollary (“Proof-theoretic proof” by Kreuzer 2012”)

The Π_2^0 -part of ADS + WKL₀ is PRA.

- The proof of the above theorem is very complicated.
- Careful checking is needed to know the consistency strength.

Today, we would like to give a simpler proof of this corollary.

Ramsey's theorem and its finite approximation

The Π_2^0 -part of (infinite) Ramsey's theorem is characterized by iterated Paris-Harrington-like principles.

Definition (RCA_0)

- A finite set $X \subseteq \mathbb{N}$ is said to be 0 -dense(n, k) if $|X| \geq \min X$.
- A finite set X is said to be $m + 1$ -dense(n, k) if for any $P : [X]^n \rightarrow k$, there exists $Y \subseteq X$ which is m -dense(n, k) and P -homogeneous.

Note that “ X is m -dense(n, k)” can be expressed by a Σ_0^0 -formula.

Definition

- $m\text{PH}_k^n$: for any $a \in \mathbb{N}$ there exists an m -dense(n, k) set X such that $\min X > a$.

Paris's argument

By the usual indicator arguments introduced by Paris, the following is known.

Theorem (essentially due to Paris 1978)

$WKL_0 + RT_k^n$ is a conservative extension of $I\Sigma_1 + \{mPH_k^n \mid m \in \omega\}$ with respect to Π_2^0 -sentences.

Note that similar arguments work for Π_3^0 and Π_4^0 -part.

The above conservation proof is formalizable within WKL_0 , and thus we have the following.

Theorem

Over $I\Sigma_1$, $\forall m mPH_k^n$ is equivalent to the Σ_1 -soundness of $WKL_0 + RT_k^n$.

Note that a similar argument works with a weaker base system RCA_0^* .

ADS and its finite approximation

Since ADS is equivalent to the transitive Ramsey's theorem, its Π_2^0 -part is characterized by the same arguments.

Definition (RCA_0)

- A finite set $X \subseteq \mathbb{N}$ is said to be *0-dense for ADS* if $|X| \geq \min X$.
- A finite set X is said to be *$m + 1$ -dense for ADS* if for any transitive $P : [X]^2 \rightarrow 2$, there exists $Y \subseteq X$ which is *m -dense for ADS* and *P -homogeneous*.

Definition

- $m\text{PH}^{\text{ADS}}$: for any $a \in \mathbb{N}$ there exists an *m -dense for ADS* set X such that $\min X > a$.

Paris's argument for ADS

Theorem

$WKL_0 + ADS$ is a conservative extension of $I\Sigma_1 + \{mPH^{ADS} \mid m \in \omega\}$ with respect to Π_2^0 -sentences.

The above conservation proof is again formalizable within WKL_0 , and thus we have the following.

Theorem

Over $I\Sigma_1$, $\forall m mPH^{ADS}$ is equivalent to the Σ_1 -soundness of $WKL_0 + ADS$.

What we need to know is mPH^{ADS} .

α -large sets

We want to calculate the size of m -dense set for ADS.
We use a tool from proof theory.

Definition

For ordinals below ω^ω (with a fixed primitive recursive ordinal notation),

- X is said to be $\alpha + 1$ -large if $X - \{\min X\}$ is α -large,
- X is said to be γ -large if X is $\gamma[\min X]$ -large (γ : limit), where $\alpha + \omega^k[x] = \alpha + \omega^{k-1} \cdot x$.
- X is m -large if $|X| \geq m$.
- X is ω -large if $|X| \geq \min X$, i.e., relatively large.
- X is ω^2 -large if X splits up into $\min X$ many ω -large sets.
- ...

Density vs α -largeness

Here is a classical important result connecting α -largeness and PH-like statements.

Theorem (Solovay/Katonen 1981)

X is $\omega^{k+3} + \omega^3 + k + 4$ -large $\Rightarrow X$ is 1-dense(2, k).

Question

How big α is enough for the following?

X is α -large $\Rightarrow X$ is m -dense(2, 2).

- An optimal answer to this question gives the proof-theoretic strength of RT_2^2 , which is a famous open question in the field of reverse math.
- A naive approach only gives an upper bound ω_{m+1} for m -dense(2, 2).

On the other hand, this approach works well for ADS.

Calculation

By S/K-theorem, ω^6 -largeness is enough for 1-dense for ADS.
Thus, X is 2-dense for ADS if it is large enough to find a ω^6 -large solution.

Definition

X is said to be $(1, \alpha)$ -dense for ADS if for any transitive $P : [X]^2 \rightarrow 2$, there exists $Y \subseteq X$ which is α -large and P -homogeneous.

Thanks to the transitivity, we can calculate the size of the above sets directly.

Lemma

X is 1-dense(2, $2k$) $\Rightarrow X$ is $(1, \omega^k)$ -dense for ADS.

Calculation

Now we can calculate the size of 2-dense sets.

- 2-dense for ADS $\Leftarrow (1, \omega^6)$ -dense for ADS
 \Leftarrow 1-dense(2, 12) $\Leftarrow \omega^{16}$ -large.

We can repeat this process.

- 3-dense for ADS $\Leftarrow (1, \omega^{12})$ -dense for ADS
 \Leftarrow 1-dense(2, 24) $\Leftarrow \omega^{28}$ -large.
- 4-dense for ADS $\Leftarrow (1, \omega^{28})$ -dense for ADS
 \Leftarrow 1-dense(2, 56) $\Leftarrow \omega^{60}$ -large.
- ...

Theorem

X is $\omega^{3^{m+1}}$ -large $\Rightarrow X$ is m -dense for ADS.

ADS and its finite approximation (review)

Definition

- $m\text{PH}^{\text{ADS}}$: for any $a \in \mathbb{N}$ there exists an m -dense for ADS set X such that $\min X > a$.

Theorem

$\text{WKL}_0 + \text{ADS}$ is a conservative extension of $\text{IS}_1 + \{m\text{PH}^{\text{ADS}} \mid m \in \omega\}$ with respect to Π_2^0 -sentences.

Theorem

Over IS_1 , $\forall m\text{PH}^{\text{ADS}}$ is equivalent to the Σ_1 -soundness of $\text{WKL}_0 + \text{ADS}$.

The strength of ADS

Lemma

For any $a \in \mathbb{N}$, $[a, F_m(a)]$ is a ω^m -large set.

Theorem

For any $m \in \omega$, $\text{PRA} \vdash m\text{PH}^{\text{ADS}}$.

Corollary

The Π_2^0 -part of $\text{ADS} + \text{WKL}_0$ is $\text{I}\Sigma_1$, or equivalently, PRA .

This conservation proof is easily formalizable within WKL_0 . Thus, we have the following.

Corollary

$\text{Con}(\text{ADS} + \text{WKL}_0)$ is equivalent to $\text{Con}(\text{PRA})$ over PRA .

Questions

Question

Is there a speed-up between $\text{ADS} + \text{WKL}_0$ and RCA_0 ?

A good lower bound for m -dense for ADS would give a positive answer.

And, again,

Question

how big α is enough for the following?
 X is α -large $\Rightarrow X$ is m -dense(2, 2).

References

- Peter A. Cholak, Carl G. Jockusch, and Theodore A. Slaman. On the strength of Ramsey's theorem for pairs. *Journal of Symbolic Logic*, 66(1):1–55, 2001.
- J. Ketonen and R. Solovay, Rapidly Growing Ramsey Functions. *Annals of Mathematics, Second Series* 113(2), 267–314, 1981.
- C.T. Chong, Theodore A. Slaman, Yue Yang, Π_1^1 -conservation of combinatorial principles weaker than Ramsey's theorem for pairs. *Advances in Mathematics* 230 (2012) 1060–1077.
- Denis R. Hirschfeldt and Richard A. Shore, Combinatorial principles weaker than Ramsey's theorem for pairs. *The Journal of Symbolic Logic* 72(1), 171–206, 2007.
- A. Kreuzer. Primitive recursion and the chain antichain principle. *Notre Dame Journal of Formal Logic*, 53(2):245–265, 2012.
- J. B. Paris. Some independence results for Peano Arithmetic. *Journal of Symbolic Logic*, 43(4):725–731, 1978.
- Y. On the strength of Ramsey's theorem without Σ_1 -induction. *Mathematical Logic Quarterly* 59(1-2), 108–111, 2013.