

# A Survey of Determinacy of Infinite Games in Second Order Arithmetic,

dedicating to 60 ' s birthday of Professor  
Tanaka.

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## Introduction

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Focus on determinacy on  $Z_2$   
and see some related results.

## Def. of Infinite Game

(i) Let  $A \subseteq \mathbb{N}^{\mathbb{N}}$  be a set in  $\mathcal{C}$ .

(ii) Player I and II alternately choose natural

I  $n_0 \quad n_2 \quad \dots$

numbers as follows: II  $n_1 \quad \dots$

(iii) I **wins** if  $n_0, n_1, n_2, \dots \in A$ . II wins if not.

(iv) Game on  $A$  is **determinate** if one of the players has a winning strategy.

## Notes

● Difficulties to prove the determinacy of game on  $A$ , (or, compute the winning strategy)

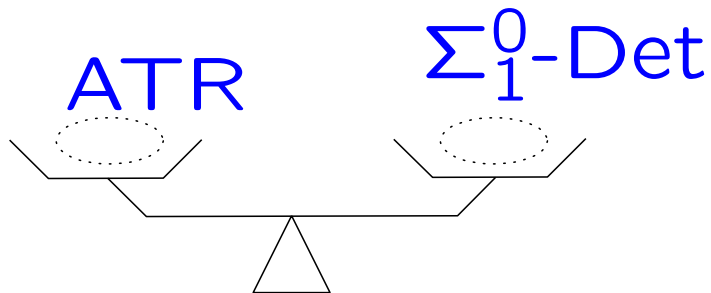
⇒ Depending on the complexities of set  $A$ .

(e.g.  $A$  could be  $\Delta_1^0$  (clopen),  $\Sigma_1^0$  (open),  $\Sigma_2^0$ ,  $\Delta_3^0$ ,  $\Pi_3^0$ , ...  $\Delta_1^1$  (Borel) ....

What determinacy asserts?

- Game  $G_A$  is determinate means that one of the players have a winning strategy.
- It asserts **the existence of real number** with certain complexity.

(e.g.)



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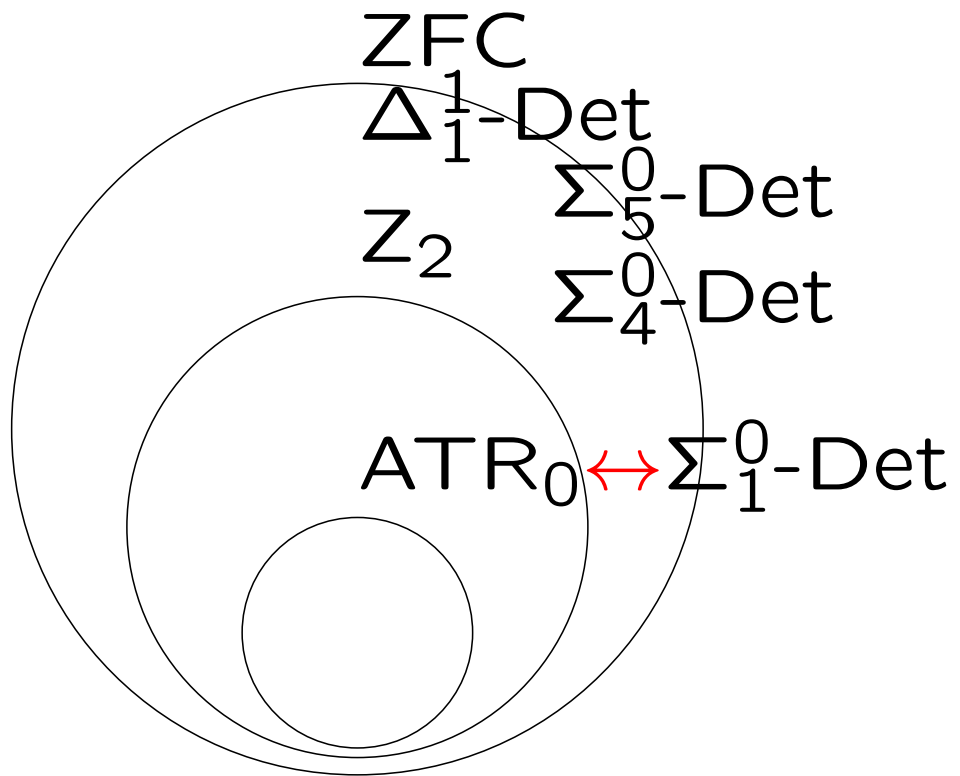
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- $Z_2 \not\vdash \Sigma_4^0$ -Det. (D. Martin, 1974)
- $ZFC \vdash$  Borel determinacy. (D. Martin, 1975)
- And, in 1976 J. Steel showed that one of

the earliest results of Reverse Mathematics:

$(RCA_0) \text{ ATR} \leftrightarrow \Sigma_1^0$ -Det.

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- $\Sigma_1^1\text{-ID} \leftrightarrow \Sigma_2^0\text{-Det}$  (Tanaka, 1991)

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## Importances of those theorems

- Defference b/w Boldface and Lighrface version.

(Boldface)  $RCA_0 \vdash \Pi_1^1\text{-CA} \leftrightarrow \Sigma_1^0 \wedge \Pi_1^0\text{-Det.}$

Importances of those theorems (Lightface)

(i)  $ACA_0 \vdash (\Sigma_1^0 \wedge \Pi_1^0)\text{-Det} \rightarrow \Pi_1^1\text{-CA}$

(ii)  $ATR_0 \vdash \Pi_1^1\text{-CA} \rightarrow (\Sigma_1^0 \wedge \Pi_1^0)\text{-Det}$

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Base theory of (ii) can **not** be weaker than  $ATR_0$

( $\therefore$ ) Set of sure winning positions for player I can be constructed by  $ATR_0$  with  $\Pi_1^1$ -oracle.

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(e.g.) In the proof of

“ $\Sigma_1^0 \wedge \Pi_1^0\text{-Det} \rightarrow \Pi_1^1\text{-CA}$ ”,

an infinite sequence of natural numbers satisfying  $\Pi_1^1$ -formula will be constructed in the game by players.

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- Letting players construct sets in the games.

(e.g.) In the proof of

“ $\Sigma_1^0 \wedge \Pi_1^0$ -Det  $\rightarrow \Pi_1^1$ -CA”,

For any  $\varphi(n) : \Pi_1^1$ , there exists  $\theta(n, X) : \Delta_1^0$

s.t.

$$\varphi(n) \leftrightarrow \forall f \exists m \theta(n, f[m]).$$



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$\Sigma_1^1\text{-ID}_0$ , a **new** axiom system of  $Z_2$

- It asserts the existence of inductively defined pre-well-ordering. (**We will See next**)
- Different with comprehension axioms as before. (**Some reason?**)
- Some Varieties of  $\Sigma_1^1\text{-ID}_0$ ? (**Future studies**)

## Def. of $\Sigma_1^1$ -ID<sub>0</sub>

▷ An operator  $\Gamma$  is a function from  $\Gamma : \mathcal{P}(\mathbb{N}) \rightarrow \mathcal{P}(\mathbb{N})$ .

▷ If “ $x \in \Gamma(X)$ ” is represented by a  $\Sigma_1^1$  formula, then  $\Gamma$  is called  $\Sigma_1^1$  operator.

●  $\Sigma_1^1$ -ID: for any  $\Sigma_1^1$ -operator  $\Gamma$ , there exists pre-wellordering  $V \subset \mathbb{N} \times \mathbb{N}$  s.t. the following holds:

## Def. Cont

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$$\triangleright \forall x \in F (V_x = \Gamma(V_{<x}) \cup V_{<x}),$$

$$\triangleright \Gamma(F) \subset F.$$

where


$$V_x = \{y \in F : y \leq_V x\},$$

$$V_{<x} = \{y \in F : y <_V x\}, \quad F = \{x : x \leq_V x\}.$$

Image of  $\Sigma_1^1$ -ID

▷ Apply  $\Gamma$  to  $\emptyset$

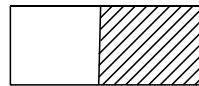
$\Gamma(\emptyset)$

$\emptyset$  

## Image of $\Sigma_1^1$ -ID

▷ Apply  $\Gamma$  to  $\Gamma(\emptyset)$  and take the union:

$$\Gamma(\emptyset) \cup \Gamma(\Gamma(\emptyset))$$



## Image of $\Sigma_1^1$ -ID

▷ Keep doing this until ...

$$\Gamma(\emptyset) \cup \Gamma(\Gamma(\emptyset)) \cup \Gamma(\Gamma(\emptyset) \cup \Gamma(\Gamma(\emptyset)))$$



Image of  $\Sigma_1^1$ -ID

▷ until the fixed point:

$\Gamma(F) \subseteq F$  Fixed point

$\boxed{\dots}$   $F$

$$F = \{x : (x, x) \in V\} = \text{field}(V)$$



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● Even Borel determinacy does not imply  $\Delta^1_2\text{-CA}$ .

$\Rightarrow$  Logical equivalence can not be obtained by CA for  $\Sigma^0_2\text{-Det}$ .

Even Borel det. doesn't deduce  $\Delta_2^1$ -CA

(MedSalem, Tanaka)[2007]

( $\therefore$ ) By  $\beta$ -model reflection and 2nd Imcomp.

- $\Delta_1^1$ -Det +  $\Sigma_2^1$ -DC<sub>0</sub>  $\vdash$  “exist. of c.c.  $\beta$ -model  $M$  of  $\Delta_1^1$ -Det.” (by  $\Sigma_2^1$ -DC<sub>0</sub>  $\vdash$   $\Sigma_4^1$ -RFN<sup>1</sup>)
- $M \models \Delta_1^1$ -Det +  $\Delta_2^1$ -CA<sub>0</sub> (By  $\Delta_1^1$ -Det  $\vdash$   $\Delta_2^1$ -CA<sub>0</sub>)
- $M \models \Delta_1^1$ -Det +  $\Sigma_2^1$ -DC<sub>0</sub> (by  $\Sigma_2^1$ -DC<sub>0</sub>  $\leftrightarrow$   $\Sigma_\infty^1$ -IND +  $\Delta_2^1$ -CA<sub>0</sub>) □

More details, see M.T[2007], Simpson[2009], VII

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## Some Varieties of $\Sigma_1^1$ -ID<sub>0</sub>

- $\Sigma_1^1$ -ID  $\leftrightarrow$   $\Sigma_2^0$ -Det (1991)
- $\Sigma_1^1$ -IDTR<sub>0</sub>  $\leftrightarrow$   $\Delta((\Sigma_2^0)_2)$ -Det (2012)
- $[\Sigma_1^1]^2$ -ID<sub>0</sub>  $\leftrightarrow$   $(\Sigma_2^0)_2$ -Det (2008)
- ⋮
- $[\Sigma_1^1]^k$ -ID<sub>0</sub>  $\leftrightarrow$   $(\Sigma_2^0)_k$ -Det (2008)
- $[\Sigma_1^1]^k$ -IDTR<sub>0</sub>  $\leftrightarrow$   $\Delta((\Sigma_2^0)_{k+1})$ -Det (2012)
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- (k ≥ 3)
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$\varphi$  is a  $\Delta(\mathcal{C})$  formula if

$$\varphi \leftrightarrow \psi \wedge \neg\varphi \leftrightarrow \eta$$

,where  $\psi, \eta \in \mathcal{C}$ .

For any  $k > 1$ ,  $(\Sigma_n^0)_k = \Sigma_n^0 \wedge (\Pi_n^0)_{k-1}$ .

e.g.  $(\Sigma_2^0)_2 = \Sigma_2^0 \wedge \Pi_2^0$



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## Image of $\Sigma_1^1$ -IDTR<sub>0</sub>

$$\begin{array}{l}
 \vdots \\
 \Gamma_{F^{\prec r}} \boxed{\phantom{\Gamma_{F^{\prec r}}}} \quad F^{\prec r} \supset \Gamma^{F^r}(F^r) \\
 \quad \quad \quad \quad \quad \quad \quad \quad (F^r: \text{fixed point}) \\
 \vdots \\
 \Gamma_{F^{r_0}} \boxed{\phantom{\Gamma_{F^{r_0}}}} \quad F^{r_1} \supset \Gamma^{F^{r_1}}(F^{r_1}) \\
 \quad \quad \quad \quad \quad \quad \quad \quad (F^{r_1}: \text{fixed point}) \\
 \Gamma_{\emptyset} \boxed{\phantom{\Gamma_{\emptyset}}} \quad F^{r_0} \supset \Gamma^{\emptyset}(F^{r_0}) \\
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 \end{array}$$

where  $F^{\prec r} = \cup\{F^{r'} : r' \prec r\}$

## Related Results

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(Det\*: determinacy on Cantor space).

▷  $WKL \leftrightarrow \Sigma_1^0\text{-Det}^*$

▷  $ATR \leftrightarrow \Sigma_1^0\text{-Det} \leftrightarrow \Sigma_2^0\text{-Det}^*$

▷  $[\Sigma_1^1]^k\text{-ID} \leftrightarrow (\Sigma_2^0)_k \leftrightarrow (\Sigma_2^0)_{k-1}\text{-Det}^*$

(for  $k \geq 2$ )

## Related Results

● The **limit** of determinacy in second order arithmetic: (Montalbán, Shore, 2012)

(i)

(ii)



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(ii)  $\Delta_{m+2}^1\text{-CA} \not\rightarrow (\Sigma_3^0)_m\text{-Det} \ (m \geq 1)$

▷ Note that the reversal of (i) does not hold since  $\Delta_1^1\text{-Det} \not\rightarrow \Delta_2^1\text{-CA}$

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Then, thank very much Professor Tanaka  
and.