

Visibly Stack Automata

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Talk Outline

❖ Automata-theoretic based verification

- Checking context-free specifications
- Obstacles

❖ Visibly Pushdown Automata

- Visibly Pushdown Languages
- Determinization

❖ Visibly Stack Automata

- Visibly Stack Languages
- Determinization

Automata-theoretic based verification

- To verify if a software system satisfies a regular specification
 - ❖ System is modeled as a pushdown automaton M
 - ❖ Requirement is specified as a finite automaton S
- $M \models S$ iff:
 - ❖ $L(M) \subseteq L(S)$
 - ❖ $L(M) \cap L(S)^c = \emptyset$
- Model checking problems are reduced to decision problems of formal languages
- Model checker: SPIN, MAGIC,...

Checking Context-free Specifications

- When S is a context-free specification
- Checking $M \models S$ becomes undecidable
- Obstacles
 - Context-free languages (CFL) are not closed under intersection, complementation
 - The inclusion problem of CFL is undecidable
- Goals: Find a class of non-regular languages
 - Enjoys closure properties, Inclusion problem is decidable
 - Robustness

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Pushdown Automata (PDA)

- Def. PDA $(P, \Sigma, \Gamma, \Delta, p_0, Z_0, F)$ where
 - P : finite control locations
 - Γ : finite stack alphabet
 - Σ : finite input alphabet
 - $\Delta \subseteq (P \times \Gamma \times (\Sigma \cup \{\varepsilon\}) \times (P \times \Gamma^*))$: transition
 - p_0 : initial control location
 - Z_0 : initial stack symbol
 - $F \subseteq P$: final control locations
- Accepted \Leftrightarrow run reaches some control location in F
- PDA are not determinizable. PDA are closed under union, but not closed under intersection, complementation

Visibly Pushdown Automata (VPA)

- VPA was introduced by J. Alur and P. Madhusudan in 2004, [p.202-211, ACM-STOC's 04]

- Pushdown alphabet: partitioned into 3 disjoint sets

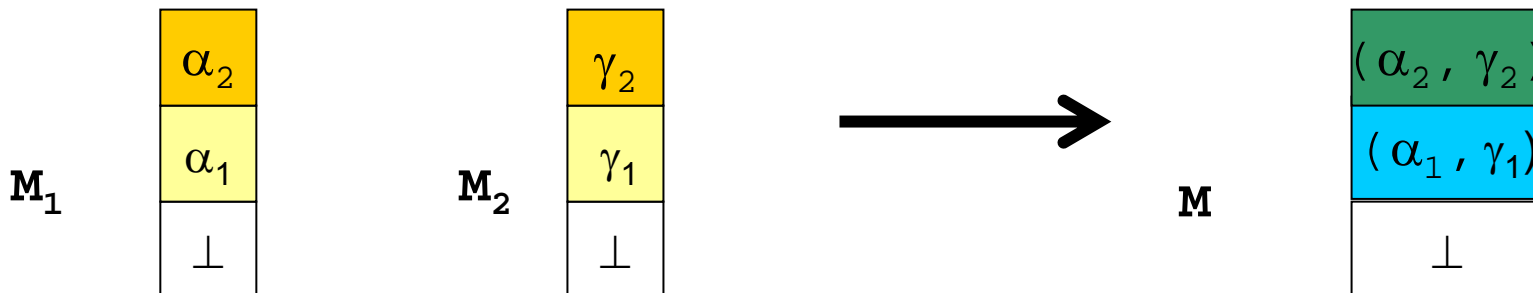
$$\Sigma = \Sigma_{\text{push}} \cup \Sigma_{\text{pop}} \cup \Sigma_{\text{local}}$$

- A *visibly pushdown automaton* over a pushdown alphabet Σ is a pushdown automaton that
 - pushes a symbol onto the stack on a symbol in Σ_{push}
 - pops the stack on a symbol in Σ_{pop}
 - cannot change the stack on a symbol in Σ_{local}

Key: Stack size at any time is determined by the input word but not control state or stack content

Visibly Pushdown Languages (VPL)

- A language L is a VPL over a pushdown alphabet Σ , if it is recognized by a VPA
- **Examples**
 - $L = \{ a^n b^n \mid n \geq 1, a \in \Sigma_{\text{push}}, b \in \Sigma_{\text{pop}} \}$
 - Every regular language L is a VPL
- **VPLs are closed under:**
 - Union:
 - Intersection: Product construction works !
 - Complementation (see later)

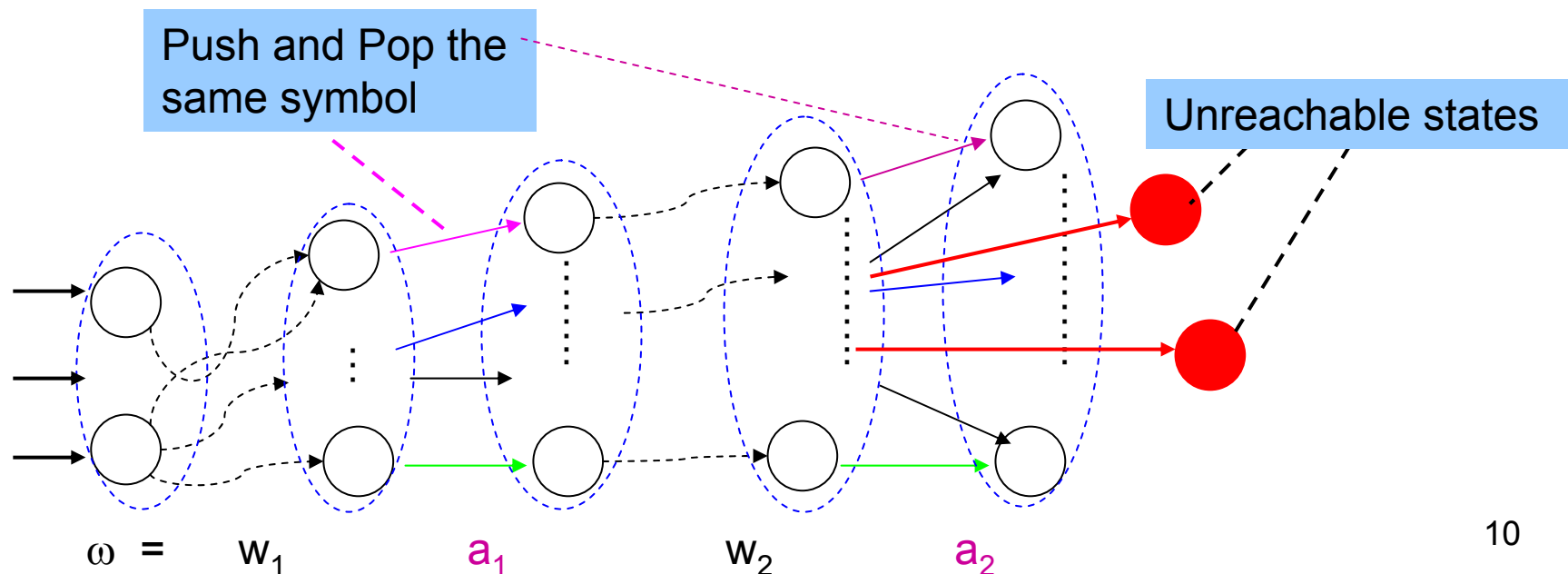


Decision Problems

- Given a nondeterministic A (n states), construct an equivalent deterministic B in size $O(2^{n^2})$.
- Emptiness: Decidable in polynomial-time (cubic)
- Language inclusion: $L(A) \subseteq L(B)$?
 - Determinize B , take its complement, take product with A , and test for emptiness
 - Exponential-time complete
- VPLs is a subclass of DCFLs (languages defined by deterministic PDAs)
 - DCFLs not closed under union, intersection
 - Equivalence problem for DCFLs decidable, but complex

Determinization: Key ideas

- Def. A word u is *well-matched* if
 - For each prefix u' of u , the number of Σ_{push} symbols in u' is at most the number of Σ_{pop} symbols in u' .
 - For each suffix u'' of u , the number of Σ_{pop} symbols in u'' is at most the number of Σ_{push} symbols in u'' .



Determinization: Sketch of the construction

- Idea: a well-matched word preserves stack; thus regarded as internal transition (expressed as summaries S_i). Transitions by extra Σ_{push} symbols are postponed until corresponding Σ_{pop} symbols will be read.
- Determinized VPA will consist of :
 - Control locations : $\{ (S,R) \mid S: \text{summary}, R: \text{reachables} \}$
 - Stack alphabet : $\{ (S,R,a) \mid S, R; a \in \Sigma_{\text{push}} \}$
 - The initial state $(\text{Id}, P_{\text{init}})$, where $\text{Id} = \{ (q,q) \mid q \in P \}$
 - Final states $\{ (S,R) \mid R \cap F \neq \emptyset \}$,
 - where
 - $R (\subseteq P) = \{ \text{all states reachable after a word } w \}$
 - $S (\subseteq P \times P) = \{ \text{all summaries on a well-matched word } w \}$
(i.e., $(q,q') \in S$, if (q,\perp) can reach to (q',\perp)).

Determinization: Sketch of transitions

- Let $w = w_1c_1w_2c_2\dots c_nw_{n+1}$, where c_i 's are in Σ_{push} , w_i 's are well matched words, let a be the next input.
 - Stack is $(S_n, R_n, c_n) \dots (S_1, R_1, c_1) \perp$
 - Control location is (S_{n+1}, R_{n+1}) ,
 - If $a \in \Sigma_{\text{local}}$: (S_{n+1}, R_{n+1}) is combined with transitions by a .
 - If $a \in \Sigma_{\text{push}}$: push $(S_{n+1}, R_{n+1}, c_{n+1})$ and control location is $(\text{id}, \{q' \mid \text{reachable from } q \in R_{n+1} \text{ by } a\})$
 - If $a \in \Sigma_{\text{pop}}$: let *Update* be combination of transitions by c_n (push $y \in \Gamma$), S_{n+1} , and transitions by a (pop same y). *NewS* is S_n combined with *Update*, and *NewR* is R_n combined with *Update* (R_{n+1} is discarded).
 - where
 - $R_i (\subseteq P) = \text{Set of all states reachable after } w_1c_1\dots w_i$
 - $S_i (\subseteq P \times P) = \text{Set of all summaries on } w_i$

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Stack Automata

- Stack automata: introduced by Ginsburg, Greibach and Harrison [JACM, No 2, Vol 14, pp.389-418, 1967]
- Stack automata = PDA + “read inside stack”.
- More powerful than PDA. For instance, $\{a^n b^n c^n \mid n \geq 1\}$, $\{a^n b^{n^2} \mid n \geq 1\}$
- Def. **Stack alphabet:** $\Sigma = \Sigma_{\text{push}} \cup \Sigma_{\text{pop}} \cup \Sigma_{\text{local}} \cup \Sigma_{\text{up}} \cup \Sigma_{\text{down}}$

Visibly Stack Automata (VSA) (1/2)

- Def. A VSA A over stack alphabet Σ : $A = \langle P, P_{in}, \Gamma, \uparrow, \delta, F \rangle$
 - P : finite set of control locations
 - $P_{in} \subseteq P$: set of initial control locations
 - Γ : finite stack alphabet, special symbols \perp, T
 - \uparrow : stack pointer
 - $F \subseteq P$: set of final control locations
 - δ is a set of transitions $\langle \delta_{push}, \delta_{pop}, \delta_{local}, \delta_{up}, \delta_{down} \rangle$,
 $\delta_{push} \subseteq P \times \Sigma_{push} \times P \times \Gamma \setminus \{\perp, T\}$; $\delta_{pop} \subseteq P \times \Sigma_{pop} \times \Gamma \times P$;
 $\delta_{local} \subseteq P \times \Sigma_{local} \times P$; $\delta_{down} \subseteq P \times \Sigma_{down} \times \Gamma \times P$; $\delta_{up} \subseteq P \times \Sigma_{up} \times \Gamma \times P$;
- $(q, a, \gamma, q') \in \delta_{up} (\gamma \neq \perp, T) \Leftrightarrow (q, a, \gamma', q') \in \delta_{up} (\gamma' \neq \gamma, \perp, T)$
- $(q, a, \gamma, q') \in \delta_{down} (\gamma \neq \perp, T) \Leftrightarrow (q, a, \gamma', q') \in \delta_{down} (\gamma' \neq \gamma, \perp, T)$

Visibly Stack Automata (2/2)

- Properties:
 - Stack has form $T\gamma_n\cdots\gamma_i\uparrow\gamma_{i-1}\cdots\gamma_1\perp$
 - VSA can only push, pop when stack pointer at the top
 - When stack is empty, pop is read but not popped
 - Stack pointer cannot go beyond T or below \perp
 - When pointer is reading \perp (T), down (up) is read but pointer does not move down (up)
- **Def.** A language L is a visibly stack language (VSL) if it is accepted by a VSA.
- **Example:** $L = \{\underline{a^n b^n c^n} \mid n \geq 1, a \in \Sigma_{\text{push}}, b \in \Sigma_d, c \in \Sigma_u\}$
- VSL class is a proper extension of VPL class

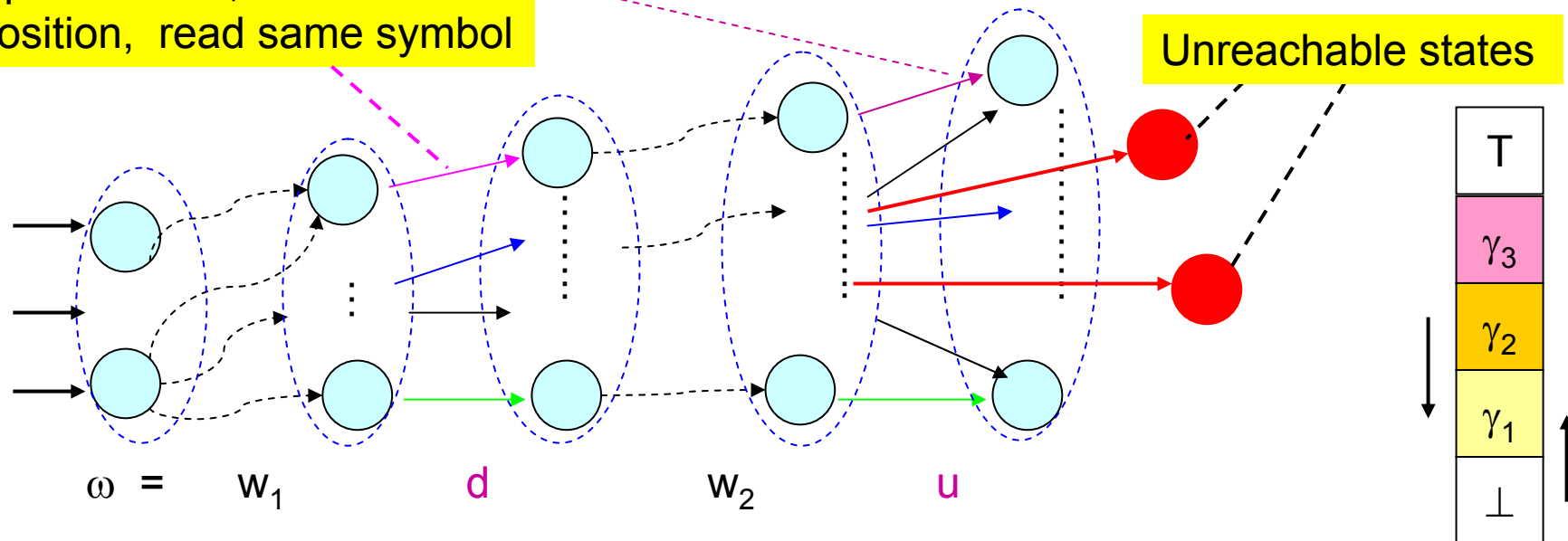
Closure properties & Decision problems

- Similar to VPLs, VSLs are closed under:
 - Union, intersection, complementation
 - Determinizable (more complicated, see later)
- Emptiness for stack automata is decidable!
D. Harel, Information and Computation, Vol.113, No.2, 278-299, 1994
- Inclusion problem is decidable for visibly stack languages.

Determinization: Key ideas

- Matching condition between push and pop symbols
- Read the same symbol, whenever pointer goes up, or goes down at the same position

Up and down, at same position, read same symbol



Determinization: Some definitions

- Def. A word u is an *up-down* segment if:
 1. For each prefix u' of u , the number of Σ_{down} symbols in u' is at most the number of Σ_{up} symbols in u' .
 2. For each suffix u'' of u , the number of Σ_{up} symbols in u'' is at most the number of Σ_{down} symbols in u'' .
 3. There is no push, pop symbols in u
- Def. A word u is *well-matched* if:
 1. For each prefix u' of u , the number of Σ_{push} symbols in u' is at most the number of Σ_{pop} symbols in u' .
 2. For each suffix u'' of u , the number of Σ_{pop} symbols in u'' is at most the number of Σ_{push} symbols in u'' .
 3. For each up (down) symbol a of u , a must belongs to an *up-down* segment ud , ud is a subword of u .

Determinization: Sketch of the construction

- Determinized VSA will consist of :
 - Control locations : $\{ (S,R) \mid S: \text{summary}, R: \text{reachables} \}$
 - Stack alphabet : $\{ (S,R,a) \mid S, R; a \in \Sigma_{\text{push}} \}$
 - The initial state (Id, P_{init}) , where $Id = \{ (q,q) \mid q \in P \}$
 - Final states $\{ (S,R) \mid R \cap F \neq \emptyset \}$,
- where
 - $R (\subseteq P) = \{ \text{all states reachable after a word } w \}$
 - $S (\subseteq P \times P) = \{ \text{all summaries on a well-marched word } w \}$
(i.e., $(q,q') \in S$, if $(q, T \uparrow \perp)$ can reach to $(q', T \uparrow \perp)$).

Determinization: Sketch of transitions

- Let $W = W_1C_1W_2C_2\ldots C_nW_{n+1}$, where c_i 's are in Σ_{push} , W_i 's are well matched words, let a be the next input.
 - Stack is $T \uparrow (S_n, R_n, c_n) \ldots (S_1, R_1, c_1) \perp$
 - Control location is (S_{n+1}, R_{n+1}) ,
 - If $a \in \Sigma_{\text{local}}$: (S_{n+1}, R_{n+1}) is updated using subset construction with transitions by a .
 - If $a \in \Sigma_{\text{down}}$: (S_{n+1}, R_{n+1}) is updated with transition by a . Stack now is $T(S_n, R_n, c_n) \uparrow \ldots (S_1, R_1, c_1) \perp$
 - If $a \in \Sigma_{\text{up}}$: (S_{n+1}, R_{n+1}) is updated with transitions by a , the pointer cannot go up. Stack stays unchanged, $T \uparrow (S_n, R_n, c_n) \ldots (S_1, R_1, c_1) \perp$
- where
 - $R_i (\subseteq P) =$ Set of all states reachable after $w_1c_1\ldots w_i$
 - $S_i (\subseteq P \times P) =$ Set of all summaries on w_i

Determinization: Sketch of transitions

- If $a \in \Sigma_{\text{push}}$: push $(S_{n+1}, R_{n+1}, c_{n+1})$ and control location is $(\text{id}, \{ q' \mid \text{reachable from } q \in R_{n+1} \text{ by } a \})$. Stack now is $T \uparrow (S_{n+1}, R_{n+1}, c_{n+1}) (S_n, R_n, c_n) \dots (S_1, R_1, c_1) \perp$
- If $a \in \Sigma_{\text{pop}}$: let *Update* be combination of transitions by c_n (push $\gamma \in \Gamma$), S_{n+1} , and transitions by a (pop same γ). *NewS* is S_n combined with *Update*, and *NewR* is R_n combined with *Update* (R_{n+1} is discarded). Stack now is $T \uparrow (S_{n-1}, R_{n-1}, c_{n-1}) \dots (S_1, R_1, c_1) \perp$
 - where
 - $R_i (\subseteq P) = \text{Set of all states reachable after } w_1 c_1 \dots w_i$
 - $S_i (\subseteq P \times P) = \text{Set of all summaries on } w_i$

Conclusion

- Proposed the class of visibly stack languages recognized by visibly stack automata
- To our knowledge, to date, VSLs is the largest class which enjoys closure properties. All the decision problems are decidable for VSA.
- Infinite words:
 - Visibly Büchi pushdown automata [AM04]
 - Visibly Büchi stack automata
 - Closure properties, not determinizable, but language inclusion is still decidable!

Thank for your attention !
