

# Completion-like Procedures Based on Constrained Equations (and an overview of task 3)

T. Aoto

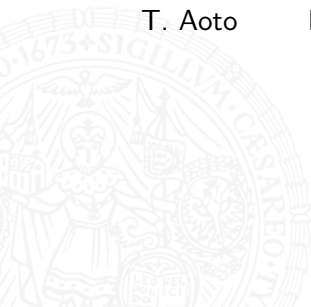
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## Task 3: Completion

Current State

Goals

## Completion-like Procedures Based on Constrained Equations

Preliminaries

Completion

- Standard Completion

- Ordered Completion

Inductive Theorem Proving

- Rewriting Induction

Automation

# Overview

## Task 3: Completion

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# Who is Involved?



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# Current State

## Completion with Termination Tools

- ▶ combining multi-completion with the use of termination tools (H,I)
- ▶ implemented in `mkbTT` (H,I)
- ▶ variants for ordered completion and AC-completion (I)

## Maximal Completion (J)

- ▶ completion as satisfaction problem based on MaxSAT/MaxSMT
- ▶ implemented in `Maxcomp`

## Multi-Context Rewriting Induction with Termination Tools (H)

- ▶ based upon rewriting induction with termination tools
- ▶ adapt multi-completion approach to use multiple contexts

# Goals (1)

## Completion

- ▶ enhance understanding of differences between  $\text{mkb}_{TT}/\text{Maxcomp}$
- ▶ develop combined approach

## Completion Modulo

- ▶ extend  $\text{Maxcomp}$  to deal with AC- and  $S$ -normalized completion
- ▶ efficient AC-matching and unification
- ▶ optimizations: AC-term indexing and critical pair criteria

## $\mathcal{E}$ -Unification

- ▶ given  $s \approx t$ , find all substitutions  $\sigma$  such that  $s\sigma \leftrightarrow_{\mathcal{E}}^* t\sigma$
- ▶ idea: tool can apply completion + basic/LSE narrowing

## Goals (2)

### Rewriting Induction

- ▶ Maxcomp-like approach for rewriting induction
- ▶ lemma generation techniques, handle non-orientable equations

### Completion Competition

- ▶ competition of different approaches for completion  
(+ ordered completion, completion modulo, rewriting induction?)
- ▶ benchmark collection for different categories
- ▶ clarifications required:
  - ▶ what is a correct answer? ... for ordered completion?
  - ▶ is there a meaningful way to compare two answers?

# Progress Report

## Constrained Equations for Completion-Like Procedures

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# Knuth-Bendix Completion

$\succ$  reduction ordering +  $\mathcal{E}_0$  equations  $\xrightarrow{KB}$   $\mathcal{R}$  rewrite system

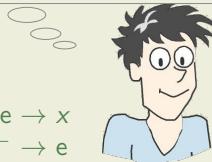
$\mathcal{R}$  is convergent, i.e., confluent and terminating, and  $\approx_{\mathcal{E}_0} = \leftrightarrow_{\mathcal{R}}^*$

## Example (Group Theory)

$$\mathcal{E}_0 : \begin{aligned} e \cdot x &\approx x \\ x^- \cdot x &\approx e \\ (x \cdot y) \cdot z &\approx x \cdot (y \cdot z) \end{aligned}$$

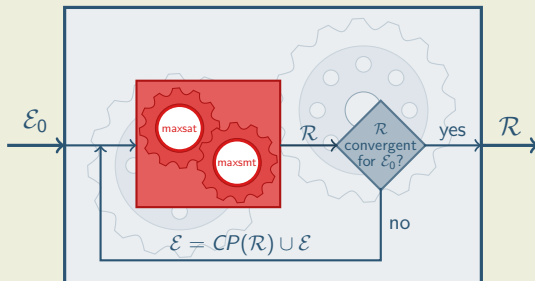
$$\mathcal{R} : \begin{aligned} e \cdot x &\rightarrow x \\ x^- \cdot x &\rightarrow e \\ (x \cdot y) \cdot z &\rightarrow x \cdot (y \cdot z) \\ e^- &\rightarrow e \\ x^- \cdot (x \cdot y) &\rightarrow y \end{aligned}$$

$$y \xleftarrow{\mathcal{R}} (x^- \cdot x)^- \cdot (e \cdot (y \cdot e)) \stackrel{?}{\approx} y \cdot e \xrightarrow{\mathcal{R}} y$$



$$\begin{aligned} x \cdot e &\rightarrow x \\ x \cdot x^- &\rightarrow e \\ x^- &\rightarrow x \\ (x \cdot y)^- &\rightarrow y^- \cdot x^- \\ x \cdot (x^- \cdot y) &\rightarrow y \end{aligned}$$

## Maximal Completion



- + fast, simple correctness proof, easy to implement
- no interreduction – limited scalability, restricted termination power

## Aims

- ▶ adapt to ordered/AC/normalized completion, rewriting induction
- ▶ enhance efficiency by incorporating interreduction

# Outline

## Preliminaries

### Completion

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### Inductive Theorem Proving

- Rewriting Induction

### Automation

## Definition (termination constraint)

$$C ::= \ell \rightarrow r \mid \top \mid \perp \mid \neg C \mid C \vee C \mid C \wedge C$$

for TRS  $\mathcal{R}$  define  $\mathcal{R} \models C$  inductively:

$$\mathcal{R} \models \ell \rightarrow r \text{ iff } \ell \rightarrow r \in \mathcal{R} \quad \mathcal{R} \models \top$$

$$\mathcal{R} \not\models \perp$$

$$\mathcal{R} \models \neg C \text{ iff } \mathcal{R} \not\models C$$

$$\mathcal{R} \models C_1 \vee C_2 \text{ iff } \mathcal{R} \models C_1 \text{ or } \mathcal{R} \models C_2$$

$$\mathcal{R} \models C_1 \wedge C_2 \text{ iff } \mathcal{R} \models C_1 \text{ and } \mathcal{R} \models C_2$$

## Definition (constrained equalities)

- ▶ **constrained equality**  $(s \approx t, C)$  is pair of equality  $s \approx t$  and termination constraint  $C$
- ▶ **constrained equation system** (CES)  $\mathbb{C}$  is set of constrained equalities

Let  $\mathcal{R}$  be terminating.

## Notation

$$\mathcal{E}^\top = \{(s \approx t, \top) \mid s \approx t \in \mathcal{E}\}$$

$$\mathbb{C}[\mathcal{R}] = \{s \approx t \mid (s \approx t, C) \in \mathbb{C} \text{ and } \mathcal{R} \models C\} \quad \mathcal{R}\text{-projection}$$

$$\mathbb{C} \ominus \mathcal{R} = \{(s \approx t, C \wedge \neg \bigwedge \mathcal{R} \mid (s \approx t, C) \in \mathbb{C}\}$$

$$\mathbb{C} \downarrow_{\mathcal{R}} = \{(s \downarrow_{\mathcal{R}} \approx t \downarrow_{\mathcal{R}}, C \wedge \bigwedge \mathcal{R}) \mid (s \approx t, C) \in \mathbb{C} \text{ and } s \downarrow_{\mathcal{R}} \neq t \downarrow_{\mathcal{R}}\}$$

## Example

$$\mathcal{E}: \quad 1: s(p(x)) \approx x \quad 2: p(s(x)) \approx x \quad 3: s(x) + y \approx s(x + y)$$

$$\mathcal{R}: \quad s(p(x)) \rightarrow x \quad s(x + y) \rightarrow s(x) + y$$

$$\mathcal{E}^\top = \{(1, \top), (2, \top), (3, \top)\}$$

$$\mathcal{E}^\top[\mathcal{R}] = \{1, 2, 3\}$$

$$\mathbb{C} = \{(1, s(p(x)) \rightarrow x), (2, s(x) + y \rightarrow s(x + y)), (3, s(x + y) \rightarrow s(x) + y)\}$$

$$\mathbb{C}[\mathcal{R}] = \{1, 3\}$$

## Definition

- ▶  $\mathcal{E}$  is  **$\mathcal{R}$ -joinable** if  $s \downarrow_{\mathcal{R}} t$  for all  $s \approx t \in \mathcal{E}$
- ▶  $\mathcal{E}$  is **ground  $\mathcal{R}$ -joinable** if  $s\sigma \downarrow_{\mathcal{R}} t\sigma$  for all  $s \approx t \in \mathcal{E}$  and ground  $\sigma$

## Definition

mapping  $S$  from CESs to CESs is **(ground) reduction** if  $\forall$  CES  $\mathbb{C}$ , TRS  $\mathcal{R}$

$$S(\mathbb{C})\llbracket\mathcal{R}\rrbracket \text{ (ground) } \mathcal{R}\text{-joinable} \implies \mathbb{C}\llbracket\mathcal{R}\rrbracket \text{ (ground) } \mathcal{R}\text{-joinable}$$

## Definition

$$S_{\mathcal{R}}(\mathbb{C}) = (\mathbb{C} \ominus \mathcal{R}) \cup \mathbb{C} \downarrow_{\mathcal{R}} \cup F(\mathcal{R})^{\top} \downarrow_{\mathcal{R}}$$

## Lemma

$S$  is a (ground) reduction.

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## Definition

$$S_{KB}(\mathbb{C}) = (\mathbb{C} \ominus \mathcal{R}) \cup \mathbb{C} \downarrow_{\mathcal{R}} \cup F(\mathcal{R})^{\top} \downarrow_{\mathcal{R}}$$

$F(\mathcal{R}) = CP(\mathcal{R})$  and  $\mathcal{R}$  is terminating with  $\mathcal{R} \subseteq \leftrightarrow_{\mathcal{E}}^*$  (for fixed  $\mathcal{E}$ )

## Theorem

If  $\mathbb{C} = S_{KB}^n(\mathcal{E}^{\top})$  and  $\mathbb{C}[\mathcal{R}] = \emptyset$  then  $\mathcal{R}$  is convergent for  $\mathcal{E}$ .

## Proof.

easy!

- ▶  $n = 0$ : if  $\mathbb{C}[\mathcal{R}] = \emptyset$  then  $\mathcal{E} = \emptyset$ , thus  $\mathcal{R} = \emptyset$
- ▶  $n > 0$ :
  - ▶  $\leftrightarrow_{\mathcal{R}}^* = \leftrightarrow_{\mathcal{E}}^*$ , since  $\mathcal{R} \subseteq \leftrightarrow_{\mathcal{E}}^*$  by assumption and  $\mathcal{E} \subseteq \downarrow_{\mathcal{R}}$  as  $S_{KB}^n$  is reduction (by induction on  $n$ )
  - ▶  $\mathcal{R}$  is terminating by assumption
  - ▶  $\mathcal{R}$  is confluent because for  $\mathbb{C}' = S_{KB}^{n-1}(\mathcal{E}^{\top})$  the set  $S_{KB}(\mathbb{C}')[\mathcal{R}] = \emptyset$ , so  $CP(\mathcal{R}) \subseteq \downarrow_{\mathcal{R}}$



# Ordered Completion

$\succ$   
 ground-total reduction ordering

$\mathcal{E}_0$   
 equations

$\xrightarrow{OKB}$

$\mathcal{E}, \mathcal{R}$   
 equations + rewrite system

$\mathcal{E}_\succ \cup \mathcal{R}$  is ground-confluent, terminating, and  $\approx_{\mathcal{E}_0} = \leftrightarrow_{\mathcal{R} \cup \mathcal{E}}^*$

## Example (Entropic Groupoid)

$$(a \cdot a) \cdot b \xleftarrow{!_{\mathcal{R} \cup \mathcal{E}_\succ}} (a \cdot b) \cdot (a \cdot b) \stackrel{?}{\approx} (a \cdot b) \cdot (b \cdot a) \xrightarrow{!_{\mathcal{R} \cup \mathcal{E}_\succ}} a$$

$$a \prec b$$

$$\mathcal{E}_0 : \quad (x \cdot y) \cdot x \approx x$$

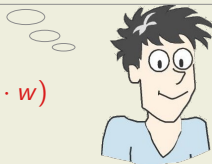
$$(x \cdot y) \cdot (z \cdot w) \approx (x \cdot z) \cdot (y \cdot w)$$

$$\mathcal{E} : \quad (x \cdot y) \cdot z \approx (x \cdot w) \cdot z$$

$$\mathcal{R} : \quad (x \cdot y) \cdot x \rightarrow x$$

$$x \cdot (y \cdot z) \rightarrow x \cdot z$$

$$((x \cdot y) \cdot z) \cdot w \rightarrow x \cdot w$$



# Ordered Completion

## Definition

- ▶  $\mathcal{R}$  is **ground convergent** if  $\mathcal{R}$  is terminating and for all ground peaks  $s \rightarrow_{\mathcal{R}}^* u \leftarrow_{\mathcal{R}}^* t$  there exists a  $\gamma$ -oriented ground instance  $v$  of  $\mathcal{E}$  such that  $s \rightarrow_{\mathcal{R}}^* v \leftarrow_{\mathcal{R}}^* t$
- ▶  $(\mathcal{E}, \mathcal{R})$  is **ground convergent** with respect to **total reduction order**  $\succ$  if  $\mathcal{E}_{\succ} \cup \mathcal{R}$  is ground convergent

## Definition

$$S_0(\text{extended critical pairs } \mathbb{C} \downarrow_{\mathcal{R}} \cup F(\mathcal{R}, \mathbb{C})^{\top} \downarrow_{\mathcal{R}})$$

- ▶  $F(\mathcal{R}, \mathbb{C}) = \text{CP}_{\triangleright}(\mathcal{R} \cup \mathbb{C}[\mathcal{R}])$
- ▶  $\mathcal{R}$  is totally terminating with  $\mathcal{R} \subseteq \leftrightarrow_{\mathcal{E}}^*$

## Theorem

If  $\mathbb{C} = S_0^n(\mathcal{E}^{\top})$  and  $S_0(\mathbb{C})[\mathcal{R}] = \mathbb{C}[\mathcal{R}]$  then  $(\mathbb{C}[\mathcal{R}], \mathcal{R})$  is ground convergent for  $\mathcal{E}$

## Example

$$\begin{array}{lll} \mathcal{E}: & 1: (-x + x) \cdot 1 \approx 0 & 2: (x + -x) \cdot 1 \approx x + -x & 3: -x + x \approx y + -y \\ & 4: (x + -x) \cdot 1 \approx 0 & 5: 0 \approx x + -x & 6: 0 \cdot 1 \approx 0 \\ & 7: (-x + x) \approx 0 & & \end{array}$$

$$\mathbb{C}_0 = \{(1, \top), (2, \top), (3, \top)\}$$

$$\mathcal{R}_1 = \{1, 2\}$$

$$\mathbb{C}_1 = S_0(\mathbb{C}_0) = \{(1, \neg \mathcal{R}_1), (2, \neg \mathcal{R}_1), (3, \top), (4, \mathcal{R}_1), (5, \mathcal{R}_1)\}$$

$$\mathcal{R}_2 = \{1, 2, 4, 5'\}$$

# Rewriting Induction

$\gamma$  reduction ordering +  $\mathcal{R}_0$  rewrite system +  $\mathcal{E}$  equations  $\rightarrow_{RI}$  yes

such that  $\mathcal{R}_0$  is quasi reducible and if we obtain yes then  $\mathcal{R}_0 \vdash_i \mathcal{E}$   
 i.e.  $s\sigma \leftrightarrow_{\mathcal{R}_0}^* t\sigma$  for all  $s \approx t \in \mathcal{E}$  and ground substitutions  $\sigma$

## Example (Addition)

$$\mathcal{R}_0 \vdash_i (x+y)+z \approx x+(y+z)$$

$$\mathcal{R}_0 : \quad \begin{aligned} x+0 &\approx x \\ x+s(y) &\approx s(x+y) \end{aligned}$$

$$\mathcal{E} : \quad (x+y)+z \approx x+(y+z)$$

yes



# Rewriting Induction

## Definition

Given TRS  $\mathcal{R}_0$ ,

- ▶ **defined symbols**  $\mathcal{D} = \{f \mid f \text{ is root symbol of } \ell \text{ for } \ell \rightarrow r \in \mathcal{R}_0\}$
- ▶ **constructor symbols**  $\mathcal{C} = \mathcal{F} \setminus \mathcal{D}$
- ▶ term  $t = f(t_1, \dots, t_n)$  is **basic** if  $f \in \mathcal{D}$  and all  $t_i \in \mathcal{T}(\mathcal{C}, \mathcal{V})$
- ▶ **basic positions**  $\mathcal{B}(t) = \{p \in \mathcal{P}\text{os}(t) \mid t|_p \text{ is basic}\}$

$\mathcal{R}_0$  is **quasi-reducible** if no basic term is in normal form

## Definition

For  $\mathcal{R}_0$  quasi-reducible,

- ▶ TRS  $\mathcal{R}$  is  **$\mathcal{R}_0$ -expandable** if every  $\ell$  for  $\ell \rightarrow r \in \mathcal{R}$  has basic position
- ▶  **$\text{Expd}(\mathcal{R}_0, \mathcal{R})$**  is set of CPs from overlaps  $(\ell_1 \rightarrow r_1, p, \ell_2 \rightarrow r_2)_\mu$  where  $\ell_1 \rightarrow r_1 \in \mathcal{R}_0$ ,  $\ell_2 \rightarrow r_2 \in \mathcal{R}$ , and  $p$  is basic in  $\ell_2$

## Definition

for fixed  $\mathcal{R}_0$  and  $\mathcal{E}$

$$S_{RI}(\mathbb{C}) = (\mathbb{C} \ominus \mathcal{R}) \cup \mathbb{C} \downarrow_{\mathcal{R}} \cup F(\mathcal{R})^T \downarrow_{\mathcal{R}}$$

- ▶  $F(\mathcal{R}) = \text{Expd}(\mathcal{R}_0, \mathcal{R})$
- ▶  $\mathcal{R}$  is terminating and  $\mathcal{R}_0$ -expandable such that  $\mathcal{R}_0 \subseteq \mathcal{R}$  and  $l\sigma \leftrightarrow_{\mathcal{R}_0 \cup \mathcal{E}}^* r\sigma$  for all  $l \rightarrow r$  in  $\mathcal{R}$  and ground substitutions  $\sigma$

## Theorem

If  $\mathbb{C} = S_{RI}^n(\mathcal{E}^T)$  and  $\mathbb{C}[\mathcal{R}] = \emptyset$  then  $\mathcal{R}_0 \vdash_i \mathcal{E}$

## Example

$$\mathcal{R}_0 : 1 : x + 0 \rightarrow x$$

$$2 : x + s(y) \rightarrow s(x + y)$$

$$\mathcal{E} : 3 : (x + y) + z \approx x + (y + z)$$

$$4 : x + z \approx x + (0 + z)$$

$$5 : s(x + y) + z \approx x + (s(y) + z)$$

$$\mathbb{C}_0 = \{(3, \top)\}$$

$$\mathbb{C}_2[\mathcal{R}_2] = \emptyset, \text{ so } \mathcal{R}_0 \vdash_i (x + y) + z \approx x + (y + z)$$

$$\mathbb{C}_1 = S_{RI}(\mathbb{C}_0) = \{(3, \neg R_1), (4, R_1), (5, R_1)\}$$

$$\mathcal{R}_2 = \{1, 2, 3'\}$$

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# Completion

## Definition

$$S_{\mathcal{R}}(\mathbb{C}) = (\mathbb{C} \ominus \mathcal{R}) \cup \mathbb{C} \downarrow_{\mathcal{R}} \cup F(\mathcal{R})^{\top} \downarrow_{\mathcal{R}}$$

## Theorem

If  $\mathbb{C} = S_{KB}^n(\mathcal{E}^{\top})$  and  $\mathbb{C}[\mathcal{R}] = \emptyset$  for  $\mathcal{R} \in \mathfrak{R}(\mathbb{C})$  then  $\mathcal{R}$  is convergent for  $\mathcal{E}$ .

## Procedure

how to find  $\mathcal{R}_1, \mathcal{R}_2, \mathcal{R}_3, \dots$ ?

$$\mathbb{C}_0 = \mathcal{E}^{\top} \quad \mathbb{C}_1 = S_{\mathcal{R}_1}(\mathbb{C}_0) \quad \mathbb{C}_2 = S_{\mathcal{R}_2}(\mathbb{C}_1) \quad \mathbb{C}_3 = S_{\mathcal{R}_3}(\mathbb{C}_2) \quad \dots$$

## Maximal Completion Approach

to obtain assignment  $\alpha$

SAT/SMT encoding of  $>_{kbo}$ ,  $>_{lpo}$  or  $>_{mpo}$

$$\text{maximize} \quad \bigvee_{(s \simeq t, C) \in \mathbb{C}_k} C \Rightarrow, (\ulcorner s > t \urcorner \vee \ulcorner t > s \urcorner) \quad \text{subject to} \quad \bigwedge_{i=1}^k \neg \bigwedge \mathcal{R}_i$$

and let  $\mathcal{R}_k = \{s \rightarrow t \mid (s \simeq t, C) \in \mathbb{C}_k \text{ and } \alpha \models \ulcorner s > t \urcorner\}$

# Preliminary Results

## Completion

115 systems in *Maxcomp* distribution

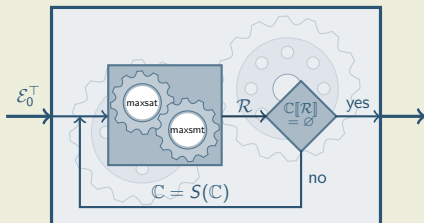
	<i>Maxcomp</i>		$\text{mkb}_{TT}$		<i>cKB</i>	
	LPO	KBO	LPO	KBO	LPO	KBO
<i>completed</i>	86	69	70	67	84	56
<i>failure</i>	6	3	6	3	0	0
<i>timeout</i>	23	43	39	45	31	59

## Rewriting Induction

103 systems from *Dream Corpus of Inductive Conjectures*

	<i>cRI</i>	<i>MRIt</i>
	LPO	?
<i>success</i>	30	35
<i>timeout</i>	73	98

## Summary



- ▶ generalize maximal completion to constrained equality framework: ordered & AC-completion, inductionless & rewriting induction
- ▶ simple correctness proofs, easy to implement
- ▶ restricted maximization, allows for interreduction

## Further Work

- ▶ cover  $S$ -normalized completion, tools for ordered & AC-completion
- ▶ improve termination power: DPs + ?
- ▶ ...