

Basics of Modeling, Specification, Verification in CafeOBJ

CafeOBJ Team of JAIST

Topics

- ◆ **Basic concepts for modeling, specification, verification in CafeOBJ**
- ◆ **Basics of CafeOBJ language system: module, signature, equations, term, parsing, debugging, trace**

Basics of Modeling/Specification/Verification

Modeling/Specifying and Verifying in CafeOBJ

- 1. By understanding a problem to be modeled/specified, determine several sorts of objects (entities, data, agents, states) and operations (functions, actions, events) over them for describing the problem**
- 2. Define the meanings/functions of the operations by declaring equations over expressions/terms composed of the operations**
- 3. Write proof scores for properties to be verified**

Natural Numbers -- Signature --

0 0+1 0+1+1 0+1+1+1 0+1+1+1+1 ...

0 s(0) s(s(0)) s(s(s(0))) s(s(s(s(0)))) ...

objects: Nat
operations: 0 : returns zero without arguments
 s : given a natural number n returns the next natural number ($s\ n$) of n

```
-- sort
[ Nat ]
-- operations
op 0 : -> Nat
op s_ : Nat -> Nat
```



Natural Number

-- Expressions/terms composed of operations --

```
mod! BASIC-NAT
{ [ Nat ] op 0: -> Nat op s_: Nat -> Nat }
```

1. 0 is a natural number
2. If n is natural number then $(s\ n)$ is a natural number
3. An object which is to be a natural number by 1 and 2 is only a natural number

Peano's definition of natural numbers (1889), Giuseppe Peano (1858-1932)

$\text{Nat} = \{0, s(0), s(s(0)), s(s(s(0))), s(s(s(s(0)))) \dots\}$

$\text{Nat} = \{0, s\ 0, s\ s\ 0, s\ s\ s\ 0, s\ s\ s\ s\ 0, \dots\}$

Describe a problem in expressions/terms!

Mathematical Induction over Natural Numbers

The recursive definition of Nat induces the following induction scheme!

Goal: Prove that for any natural number $n \in \{0, s\ 0, s\ s\ 0, \dots\}$ $P(n)$ is true

Induction Scheme:

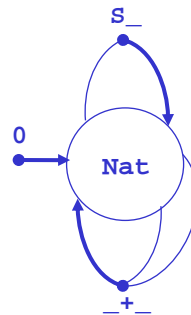
$$\frac{P(0) \quad \forall n \in \mathbb{N}. [P(n) \Rightarrow P(s\ n)]}{\forall n \in \mathbb{N}. P(n)}$$

Concrete Procedure: (induction with respect to n)

1. Prove $P(0)$ is true
2. Assume that $P(n)$ holds, and prove that $P(s\ n)$ is true

Natural numbers with addition operation -- signature and expressions/terms --

```
-- sort
[ Nat ]
-- operations
op 0 : -> Nat
op s_ : Nat -> Nat
op _+_ : Nat Nat -> Nat
```



$$\text{Nat} = \{ 0 \} \cup \{ s\ n \mid n \in \text{Nat} \} \\ \cup \{ n1 + n2 \mid n1 \in \text{Nat} \wedge n2 \in \text{Nat} \}$$

Natural numbers with addition

-- expressions/terms composed by operations --

```
op 0: -> Nat . op s_: Nat -> Nat . op _+_: Nat Nat -> Nat .
```

```
Nat = {
0, s 0, s s 0, s s s 0, ... ,
0 + 0, 0 + (s 0), 0 + (s s 0), 0 + (s s s 0), ... ,
(s 0) + 0, (s 0) + (s 0), (s 0) + (s s 0),
(s 0) + (s s s 0), ... ,
(s s 0) + 0, (s s 0) + (s 0), (s s 0) + (s s 0),
(s s 0) + (s s s 0), ... ,
... ..
0 + (0 + 0), 0 + (0 + (s 0)), ...
...
(0 + 0) + 0, (0 + (s 0)) + 0, ...
...
. }
```

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Natural numbers with addition

-- equations defining meaning/function --

natPlus.mod basicNatPlus.mod

CafeOBJ module NAT+ defining
Natural numbers with addition

```
mod! NATplus {
-- sort
[ Nat ]
-- operations
op 0 : -> Nat {constr}
op s_: Nat -> Nat {constr}
op _+_: Nat Nat -> Nat
-- equations
eq      0 + N:Nat = N .
eq (s M:Nat) + N:Nat = s(M + N) .
}
```

Inference/Computation
with the equations

```
(s s 0) + (s 0)
= s((s 0) + (s 0))
= s s(0 + (s 0))
= s s s 0
```

```
...> select NATplus
NATplus> red s s 0 + s 0 .
NATplus> -- reduce in NAT+
: ((s (s 0)) + (s 0)):Nat
(s (s (s 0))):NzNat
(0.000 sec for parse,
3 rewrites(0.000 sec),
5 matches)
```

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Proof Score for the proof of associativity of addition ($+$)

natPlusAssocPS.mod

```
-- opening module NATplus and EQL
open (NATplus + EQL)
--> declaring constants for arbitrary values
ops i j k : -> Nat .

**> Prove associativity: (i + j) + k = i +(j + k)
**> by induction on i

**> base case proof for 0:
red 0 + (j + k) = (0 + j) + k .
**> induction hypothesis:
eq (i + J:Nat) + K:Nat = i + (J + K) .
**> induction step proof for (s k):
red ((s i) + J:Nat) + K:Nat = (s i) + (J + K) .
**> QED {end of proof for associativity of (+)}
close
```

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**module, signature, equation,
term, order-sort**

Three kinds of modules

CafeOBJ specification is composed of modules. There are three kinds of modules.

```
mod! <module_name> {  
  <module_element> *  
}
```

```
mod* <module_name> {  
  <module_element> *  
}
```

```
mod <module_name> {  
  <module_element> *  
}
```

mod! declares that the module denotes tight denotation

mod* declares that the module denotes loose denotation

mod does not declare any semantic denotation

[Naming convention] module name starts with two successive upper case characters
(example: `TEST`, `NAT`, `NATplus`, `ACCOUNT-SYS`, ...)

Module NATplus

A module is composed of signature and axioms

```
mod! NATplus {  
  [Nat]  
  op 0 : -> Nat  
  op s_ : Nat -> Nat  
  op _+_ : Nat Nat -> Nat  
  
  vars M N : Nat  
  eq 0 + N = N .  
  eq (s M) + N = s(M + N) .  
}
```

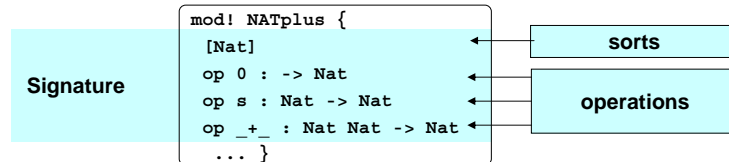
signature

axioms/equations

Signature:

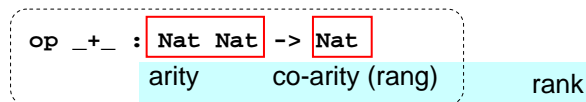
sort name, operator name, arity, co-arity, rank

A signature is a pair of a set of sorts and a set of operations.



[Convention] The first and second letter of a sort name is written in a upper case and lower case letter respectively. (E.g. `Nat`, `set`)

[Convention] The first letter of an operation name is written in a lower case letter or a non-alphabet letter. (E.g. `0`, `s`, `+`)



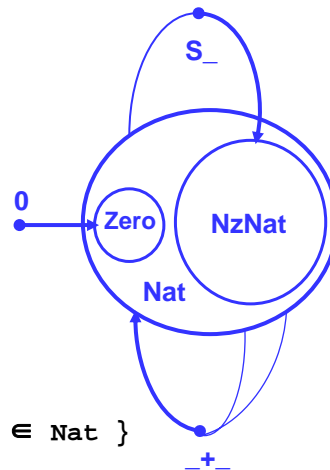
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Natural numbers with addition

-- order sorted signature and sorted terms --

```
-- signature
-- sort
[ Zero NzNat < Nat ]
-- operators
op 0 : -> Zero
op s_ : Nat -> NzNat
op _+_ : Nat Nat -> Nat
```



Sorted terms

`Zero = { 0 }`

`NzNat = { s n | n ∈ Nat }`

`Nat = Zero ∪ NzNat ∪`

`{ n1 + n2 | n1 ∈ Nat ∧ n2 ∈ Nat }`

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Recursive Definition of Terms

For a given signature, t is a term of a sort s if and only if t is

- a variable $x:s$,
- a constant c declared by "`op c : -> s`", or
- a term $f(t_1, \dots, t_n)$ for "`op f : s_1 s_n -> s`" and a term t_i of a sort s_i ($i=1, \dots, n$).
- a term of a sort s' which is a sub-sort of s
(Example: Since `Zero < Nat`, a term `0:Zero` is also a term of sort `Nat`)

Several forms of function application: standard, prefix, infix, postfix, distfix

```
op f : Nat Nat -> Nat .
  f(2,3) standard
op (f_ _) : Nat Nat -> Nat . -- recommended
  -- for successive __
  (f 2 3) prefix
op f__ : Nat Nat -> Nat .
  (f 2 3) prefix
op _+_ : Nat Nat -> Nat .
  (2 + 3) infix
op _! : Nat -> Nat .
  (5 !) postfix
op if_then_else_fi : Bool Nat Nat -> Nat .
  (if 2 < 3 then 4 else 5 fi) distfix
```

Term = Tree = Expression

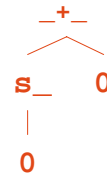
nat+.mod

A tree data structure having operators as node and constants or variables as leaf is called a term. A term is also called an expression.

- $(s\ 0) + 0$ represents term/tree/expression

```
mod! NAT+ {
  [Zero NzNat < Nat]
  op 0 : -> Zero
  op s_ : Nat -> NzNat
  op _+_ : Nat Nat -> Nat
  ... }
```

$(s\ 0) + 0$



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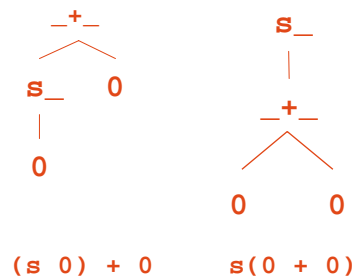
Parsing – precedence of operators-

nat+.mod

$s\ 0 + 0$ represents $(s\ 0) + 0$, because the operator $(s\ _)$ has high precedence than the operator $(_ + _)$

```
mod! NAT+ {
  [Zero NzNat < Nat]
  op 0 : -> Zero
  op s_ : Nat -> NzNat
  op _+_ : Nat Nat -> Nat
  ... }
```

$s\ 0 + 0$



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Error handling with subsorts

ratDiv.mod

```
RAT> parse 2 / 2 .  
(2 / 2) : NzRat
```

```
RAT> reduce 2 / 2 .  
1 : NzNat
```

```
RAT> parse 2 / 0 .  
(2 / 0) : ?Rat
```

```
RAT> parse 2 / ((3 / 2) + (1 / 2)) .  
(2 / ((3 / 2) + (1 / 2))) : ?Rat
```

```
RAT> red 2 / ((3 / 2) + (1 / 2)) .  
1 : NzNat
```

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Equation

An equation is a pair of terms of a same sort, and written as:

$$\text{eq } l = r .$$

in CafeOBJ. Where l is called the left-hand side (LHS) of the equation and r is the right-hand side (RHS). An equation can have a condition (COND) c like:

$$\text{ceq } l = r \text{ if } c .$$

- ◆ Most important kind of axioms of CafeOBJ specification are equations
- ◆ Properties to be verified are also expressed as equations

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Two way of declaring variables - both should be used based on situations -

```
mod! NAT+ {  
  [Zero NzNat < Nat]  
  . . .  
  eq 0 + N:Nat = N .  
  eq (s M:Nat) + N:Nat = s(M + N) .  
}
```

axioms

Variables can be declared before axioms

```
mod! NAT+ { . . .  
  vars M N : Nat  
  eq 0 + N = N .  
  eq (s M) + N = s(M + N) .  
}
```

axioms

Basics of Verification

How to do verification with CafeOBJ specifications

- ◆ The basic mechanism of CafeOBJ verification is equational reasoning. Equational reasoning is to deduce an equation (a candidate of a theorem) from a given set of equations (axioms of a specification).
- ◆ The CafeOBJ system supports an automatic equational reasoning based on rewriting (or TRS: Term Rewriting System).
- ◆ “reduce” or “red” (reduction) command to do equational reasoning is provided by CafeOBJ System.

Reduction command: Equational reasoning by rewritings

There are two ways to do equational reasoning in CafeOBJ by rewritings: `red <term>.` and `red <term> = <term>.`

```
NAT+> red +(0, s(0)) .
-- reduce in NAT+ : +(0,s(0))
s(0) : NzNat
(0.000 sec for parse, 1 rewrites(0.000 sec), 1 matches)
```

This means that the input term is equivalent to the output term.

```
NAT+> open (NAT+ + EQL) -- for using equality predicate (=__)
NAT+ + EQL%> red +(0, s(0)) = +(s(0), 0) .
-- reduce in NAT+ : +(0,s(0)) = +(s(0),0)
true : Bool
(0.000 sec for parse, 4 rewrites(0.000 sec), 5 matches)
```

This means that the one side is equivalent to the other side.

What can be done with red (reduction) command?

red.mod

A reduction command of CafeOBJ:

```
MODULE> red inputTerm .
```

returns a most simplified term of the given term *inputTerm* by using all equations of the module *MODULE* as rewriting rules from LHS to RHS. For any context,

```
any-module> red in MODULE : red inputTerm .
```

returns the same result.

Let us fix a context *M* (a module *M* in CafeOBJ), and let $(t1 =^*M> t2)$ denote that *t1* is reduced to *t2* in the context. That is, $(red\ in\ M : t1 .)$ returns *t2*. Let $(t1 =^M t2)$ denote that *t1* is equal to *t2* in the context *M*. It is important to notice:

$(t1 =^*M> t2)$ implies $(t1 =^M t2)$

but

$(t1 =^M t2)$ does not implies $(t1 =^*M> t2)$

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Two equality predicates == and ==

=and==

Assume that $(t1 =^*> t1')$ and $(t2 =^*> t2')$ in any context then

if $(t1'$ and $t2'$ are the same term)

then $(red\ t1 = t2 .)$ returns **true**

and

$(red\ t1 == t2 .)$ returns **true**

if $(t1'$ and $t2'$ are different terms)

then $(red\ t1 = t2 .)$ returns $(t1' = t2')$

but

$(red\ t1 == t2 .)$ returns **false**

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Soundness of `_ = _` and `_ == _`

`==and==`

- ◆ The result of “`red <term1> == <term2> .`” is sound but not complete, that is:
 - If it returns true, then the two terms `<term1>` and `<term2>` is proved to be equal.
 - But if it returns false, then the two terms may equal or not equal.
- ◆ The reduction of Boolean term involving `_ == _` may return true even if it is not true w.r.t. the set of axioms (or the specification). That is, `_ == _` may not be sound.
- ◆ If the reduction of Boolean term involving only `_ = _` returns true, then it is true w.r.t. the set of axioms (or the specification) .

Proof scores in a wide sense

- ◆ A fragment proof score begins at “`open`” command which opens a module, and ends with “`close`” command.
- ◆ While a module is opened (between `open` and `close`), we can declare operations and equations for doing verification.

```
NAT+> open (NAT+ + EQL)
-- opening module NAT+.. done.
%NAT+ + EQL> op n : -> Nat .
%NAT+ + EQL> eq n = 0 .
%NAT+ + EQL> red +(n, n) = 0 .
*
-- reduce in %NAT+ + EQL : +(n,n) = 0
true : Bool
(0.000 sec for parse, 4 rewrites(0.000 sec), 4 matches)
%NAT+ + EQL> close
NAT+>
```

Arbitrary element

- ◆ After opening a module, a declared constant operation
`op e : -> S .`
stands for an arbitrary element of the sort S whose scope is from its declaration to the end of a proof score (i.e. close).

```
NAT+> open (NAT+ + EQL)
-- opening module NAT+.. done.
%NAT+ + EQL> op n : -> Nat .
%NAT+ + EQL> red +(0, n) = n .
-- reduce in %NAT+ : +(0,n) = n
true : Bool
(0.000 sec for parse, 2 rewrites(0.000 sec), 2 matches)
%NAT+ + EQL> close
NAT+>
```

This is a proof score for the claim that $+(0, N) = N$ for any natural number N . Since the reduction returns “true”, it holds.

Declaring assumptions

nat+ps

- ◆ While a module is opening, a declared equation represents an assumption of the proof score.

```
NAT+> open (NAT+ + EQL)
-- opening module NAT+.. done.
%NAT+ + EQL> op n : -> Nat .
%NAT+ + EQL> eq +(n, 0) = n .
-
%NAT+ + EQL> red +(s(n), 0) = s(n) .
*
-- reduce in %NAT+ : +(s(n),0) = s(n)
true : Bool
(0.000 sec for parse, 3 rewrites(0.000 sec), 5 matches)
```

This is a proof for “ $+(N, 0) = N$ implies $+(s(N), 0) = s(N)$ ” for any natural number N (it holds).

Constant v.s. variable

constVsVar

- ◆ Using a variable in an equation instead of a constant makes a drastic change of meaning of the proof score. Be careful!
 - The scope of a constant is to the end of an open-close session assuming that the declared constants are fresh.
 - The scope of a variable is inside of the equation.

```
open (NAT+ + EQL)
op n : -> Nat .
eq +(n, 0) = n .
red +(s(n), 0) = s(n) .
close
```

```
open (NAT+ + EQL)
var N : Nat .
eq +(N, 0) = N .
red +(s(N), 0) = s(N) .
close
```

Constant: $\forall N:\text{Nat}. [+(N,0)=N \Rightarrow +(s(N),0)=s(N)]$

Variable: $\forall N:\text{Nat}. [+(N,0)=N] \Rightarrow \forall N:\text{Nat}. [+(s(N),0)=s(N)]$

Mathematical Induction over Natural Numbers

Goal: Prove that for any natural number $n \in \{0, s\ 0, s\ s\ 0, \dots\}$ $P(n)$ is true

Induction Scheme:

$$P(0) \quad \forall n \in \mathbb{N}. [P(n) \Rightarrow P(s\ n)]$$

$$\forall n \in \mathbb{N}. P(n)$$

Concrete Procedure: (induction with respect to n)

1. Prove $P(0)$ is true
2. Assume that $P(n)$ holds, and prove that $P(s\ n)$ is true

Induction

- ◆ The following is a proof score of " $\forall n:\text{Nat}.+(n,0) = n$ ":

```
open (NAT+ + EQL)
red +(0, 0) = 0 .
op n : -> Nat .
eq +(n, 0) = n .
red +(s(n), 0) = s(n) .
close
```

Base case

Induction step

```
-- opening module (NAT+ + EQL).. done.
%NAT+ + EQL> -- reduce in %NAT+ + EQL : +(0,0) = 0
true : Bool
(0.000 sec for parse, 2 rewrites(0.000 sec), 2 matches)

-- reduce in %NAT+ + EQL : +(s(n),0) = s(n)
true : Bool
(0.000 sec for parse, 3 rewrites(0.000 sec), 5 matches)
%NAT+ + EQL>
NAT+>
```

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Complete proof score

nat+ps

```
--> This is a proof of +(N, 0) = N
open (NAT+ + EQL)
--> Base case
red +(0, 0) = 0 .
--> Induction step
op n : -> Nat .
eq +(n, 0) = n . -- I.H.
red +(s(n), 0) = s(n) .
close
```

```
NAT+> in nat+ps.mod
processing input : ../proof.mod
--> This is a proof of +(N, 0) = N
-- opening module NAT+ + EQL .. done.
--> Base case
-- reduce in %NAT+ + EQL : +(0,0) = 0
true : Bool
(0.000 sec for parse, 2 rewrites(0.000 sec), 2 matches)
--> Induction step_*
-- reduce in %NAT+ + EQL : +(s(n),0) = s(n)
true : Bool
(0.000 sec for parse, 3 rewrites(0.000 sec), 5 matches)
NAT+>
```

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