

# Verification of QLOCK with Proof Score

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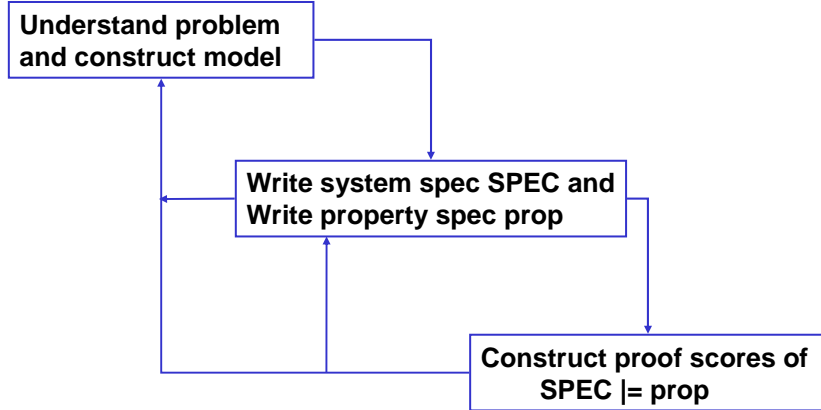
CafeOBJ Team of JAIST

## Topics

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- Verification method for  $\text{SPEC} \models \text{prop}$  with Proof Score
- QLOCK example is used to explain the method

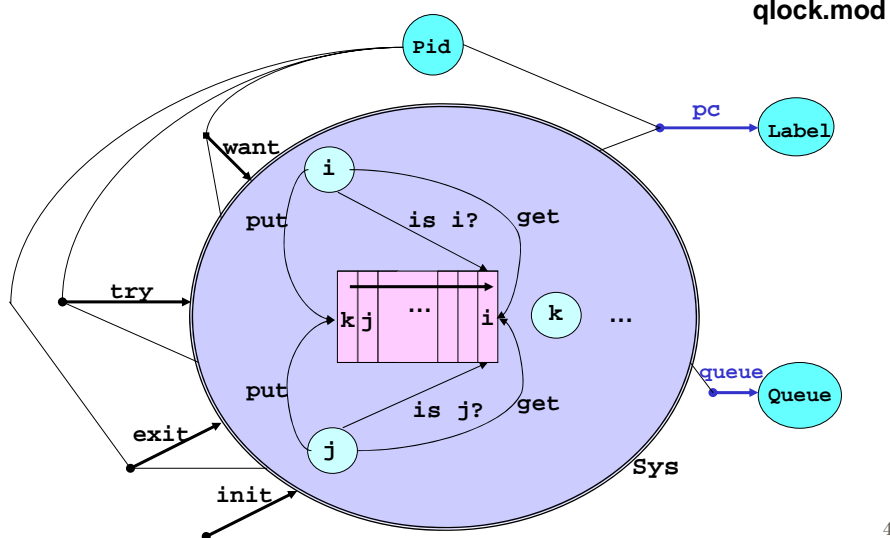
## Flow Chart for Verification with Proof Scores in CafeOBJ



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## Signature Diagram of QLOCKviaOTS



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## $R_{\text{QLOCK}}$ (set of reachable states) of $\text{OTS}_{\text{QLOCK}}$ (OTS defined by the module QLOCK)

qlock.mod

### Signature determining $R_{\text{QLOCK}}$

```
-- any initial state
op init : -> Sys
-- actions
bop want : Sys Pid -> Sys
bop try  : Sys Pid -> Sys
bop exit : Sys Pid -> Sys
```

### Recursive definition of $R_{\text{QLOCK}}$

$$R_{\text{QLOCK}} = \{\text{init}\} \cup$$

$$\{\text{want}(s,i) \mid s \in R_{\text{QLOCK}}, i \in \text{Pid}\} \cup$$

$$\{\text{try}(s,i) \mid s \in R_{\text{QLOCK}}, i \in \text{Pid}\} \cup$$

$$\{\text{exit}(s,i) \mid s \in R_{\text{QLOCK}}, i \in \text{Pid}\}$$

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## Formalization of mutual exclusion property as an invariant

invariants-0.mod

```
mod INV1 {
  pr(QLOCK)
  -- declare a predicate to verify to be an invariant
  pred inv1 : Sys Pid Pid
  -- CafeOBJ variables
  var S : Sys .
  vars I J : Pid .
  -- define inv1 to be the mutual exclusion property
  eq inv1(S,I,J)
    = (((pc(S,I) = cs) and (pc(S,J) = cs)) implies I = J) .
}
```

### Formulation of proof goal for mutual exclusion property

$$\text{INV1} \mid = \forall s \in R_{\text{QLOCK}} \forall i, j \in \text{Pid}. \text{inv1}(s, i, j)$$

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## Theorem of constants

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$$\text{INV1} \models \forall s \in \text{Sys} \forall i, j \in \text{Pid}. \text{inv1}(s, i, j)$$

||def

$$\text{INV1} \models \text{inv1}(s:\text{Sys}, i:\text{Pid}, j:\text{Pid})$$

||TC

$$\text{INV1} \cup \{\text{op } s : \rightarrow \text{Sys}\} \cup \{\text{ops } i \ j : \rightarrow \text{Pid}\} \models \text{inv1}(s, i, j)$$

All the above three state:  
for any model (or INV1-algebra) and any objects  $s:\text{Sys}$ ,  
 $i:\text{Pid}$ ,  $j:\text{Pid}$ , the proposition  $\text{inv1}(s, i, j)$  holds.

## Fresh constants and variables: Theorem of Constants

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Let  $\mathbf{p}'$  be the boolean term obtained from a boolean term  $\mathbf{p}$  by replacing all variables in  $\mathbf{p}$  with “fresh constants” which do not appear anywhere in the specification  $\mathbf{SP} = \langle \Sigma, \mathbf{E} \rangle$  then

$$\text{(TC)} \quad \Sigma, \mathbf{E} \models \mathbf{p} \quad \text{iff} \quad (\Sigma \cup \mathbf{C}), \mathbf{E} \models \mathbf{p}'$$

where  $\mathbf{C}$  is the set of the introduced fresh constants.

Notice that different occurrences of a “same variable” should be replaced with a same fresh constant. Notice also that a fresh constant introduced will have much longer scope than the original variable.

## Constants and variables: Differences

(model/interpretation is assumed to be fixed  
and  $p$  is assumed to contain no variables in  $\Sigma, E \models p$ )

A **variable** of the same name which appears in the same equation in  $\Sigma, E \models p$  denotes arbitrarily but the same object.

A **variable** of the same name which appears in several different equations in  $\Sigma, E \models p$  denotes any object independently, and does not necessary denote the same object.

A **constant** of the same name which appears in several different places in  $\Sigma, E \models p$  denotes the same object, because a constant constitutes the signature  $\Sigma$ .

## Assertion and Proof Passage

proof-00.mod

### Assertion

```
INV1 U
{op s : -> Sys} U
{ops i j : -> Pid}
|=
inv1(s,i,j)
```

**Logical Statement**  
of stating that  
Specification satisfies  
property

~

### Proof Passage

```
-- [mx]*
open INV1
  op s : -> Sys .
  ops i j : -> Pid .
-- |=
  red inv1(s,i,j) .
close
```

**Logical Statement**  
and  
**CafeOBJ Code**  
If reduction part of  
the CafeOBJ code  
returns true then  
the assertion holds

## If `[mx]*` returns true the verification is done, but ...

```
-- [mx]*
open INV1
  op s : -> Sys .
  ops i j : -> Pid .
-- |=
  red inv1(s,i,j) .
close
```

If this proof passage returns **true** the game is over. But it does not return **true**.

This assertion states that mutual exclusion property holds for any reachable states.

A name of assertion/proof-passage(p.-p.) which ends with the character **\*** (like `[mx]*`) indicates that it does not return **true**. An assertion/p.-p. which return **true** is called to be **effective**. An effective p.p. has a name without **\*** at the end.

## Proof Scores and Assertion Splitting Rules (1)

The goal of verification of an assertion **A** via proof score is to get a set of assertions/p.-p.s  $\{A_1, A_2, \dots, A_n\}$  such that:

- (1)  $(A_1 \text{ and } A_2 \text{ and } \dots \text{ and } A_n)$  implies **A**, and
- (2) All the  $A_1, A_2, \dots, A_n$  are effective.

A set of assertions which satisfies (1) and (2) is called a **proof score** (in a narrow sense) for **A**.

## Proof Scores and Assertion Splitting Rules (2)

For constructing proof scores, several kinds of **assertion splitting rules** of the form:

$(A_{i1} \text{ and } A_{i2} \text{ and } \dots \text{ and } A_{in})$  implies  $A_i$   
are used. An assertion splitting rule is also written as:

$\{A_{i1}, A_{i2}, \dots, A_{in}\}$  implies  $A_i$ .

If  $n = 1$  the assertion splitting rule is also called **assertion transformation rule** and is written like:

$A_{i1}$  implies  $A$ .

## Assertion Splitting via Induction Scheme induced by $R_{\text{QLock}}$

proof-01.mod

$$R_{\text{QLock}} = \{\text{init}\} \cup$$
$$\{\text{want}(s, i) \mid s \in R_{\text{QLock}}, i \in \text{Pid}\} \cup$$
$$\{\text{try}(s, i) \mid s \in R_{\text{QLock}}, i \in \text{Pid}\} \cup$$
$$\{\text{exit}(s, i) \mid s \in R_{\text{QLock}}, i \in \text{Pid}\}$$

In  $[\text{mx}]^*$ ,  $s : -> \text{Sys}$  means  $s : -> R_{\text{QLock}}$ ,  
and the following induction scheme follows.

**Induction Scheme (Assertion Splitting via I.S.)**

$\{[1\text{-init}], [1\text{-want}]^*, [1\text{-try}]^*, [1\text{-exit}]^*\}$   
implies  $[\text{mx}]^*$

## Assertion Splitting via Case Splitting

proof-02.mod

For any INV1-algebra (a model of INV1)  $c\text{-want}(s,k)$  is either true or false. Hence the following assertion splitting is justified.

### Assertion Splitting via Case Splitting

$$\{ [1\text{-want}, c\text{-w}]^*, [1\text{-want}, \sim c\text{-w}] \}$$

$$\text{implies } [1\text{-want}]^*$$

(CS)  $\{ (E \models (p_1 \text{ or } p_2)), (E \cup \{p_1=\text{true}\} \models p), (E \cup \{p_2=\text{true}\} \models p) \}$   
 $\text{implies } E \models p$

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## Meta Level Equation and Object Level Equation

(EQ)  $E \cup \{t_1 = t_2\} \models p \text{ iff } E \cup \{(t_1 = t_2) = \text{true}\} \models p$

$(p = \text{true}) \text{ iff } p$

```
--> [1-want,c-w-org]*
open INV1
op s : -> Sys .
ops i j k : -> Pid .
eq invl(s,I:Pid,J:Pid) = true .
eq c-want(s,k) = true .
-- |=
red invl(want(s,k),i,j) .
close
```

as assertion  
 $\longleftrightarrow$   
 iff  
 $\xrightarrow{\text{as p.p.}}$   
 EQ

```
--> [1-want,c-w]*
open INV1
op s : -> Sys .
ops i j k : -> Pid .
eq invl(s,I:Pid,J:Pid) = true .
-- eq c-want(s,k) = true .
eq pc(s,k) = rm .
-- |=
red invl(want(s,k),i,j) .
close
```

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## Assertion Transformation: INST, TRANS, HIDE, IMP

proof-4.mod

The proof passage `[1-want,c-w,~i=k,~j=k]*` returns a boolean term which is equivalent to `inv1(s,i,j)`. This should return `true` because the boolean term is an instance of `inv1(s,I:Pid,J:Pid)` which is declared in the premise part (the part before `|=`) of this proof passage. This assertion/proof-passage is transformed to the effective one (the one which return true) by using INST, TRANS, and HIDE transforming rules.

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## INST

```
-- [1-want,c-w,~i=k,~j=k]*
open INV1
op s : -> Sys . ops i j k : -> Pid .
eq inv1(s,I:Pid,J:Pid) = true .
-- eq c-want(s,k) = true .
eq pc(s,k) = rm .
eq (i = k) = false .
eq (j = k) = false .
-- |=
red inv1(want(s,k),i,j) .
close
```

↔  
iff  
⇒  
INST

```
-- [1-want,c-w,~i=k,~j=k,inst]*
open INV1
op s : -> Sys . ops i j k : -> Pid .
eq inv1(s,I:Pid,J:Pid) = true .
eq inv1(s,i,j) = true . **
-- eq c-want(s,k) = true .
eq pc(s,k) = rm .
eq (i = k) = false .
eq (j = k) = false .
-- |=
red inv1(want(s,k),i,j) .
close
```

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## TRANS, HIDE

iff  $\longleftrightarrow$

TRANS  $\Longrightarrow$

```

-- [!-want,c-w,~i=k,~j=k,inst,trans]*
open INV1
op s : -> Sys . ops i j k : -> Pid .
eq invl(s,I:Pid,J:Pid) = true .
-- eq c-want(s,k) = true .
eq pc(s,k) = rm .
eq (i = k) = false .
eq (j = k) = false .
-- |=
red invl(s,i,j) implies
    invl(want(s,k),i,j) . **
close
                    
```

including comments

iff  $\longleftrightarrow$

Comment-out-ed equations are effective for "assertions"

excluding comments  $\longleftarrow$

implies  $\Longrightarrow$

HIDE  $\Longrightarrow$

```

-- [!-want,c-w,~i=k,~j=k,inst,trans,hide]
open INV1
op s : -> Sys . ops i j k : -> Pid .
-- eq invl(s,I:Pid,J:Pid) = true . **
-- eq c-want(s,k) = true .
eq pc(s,k) = rm .
eq (i = k) = false .
eq (j = k) = false .
-- |=
red invl(s,i,j) implies
    invl(want(s,k),i,j) .
close
                    
```

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## Some basic properties of $E \models p$ (1)

(term or equation which moves across  $\models$  is assumed to include no variables)

Let  $t1'$  and  $t2'$  be the terms obtained from (a boolean: error) terms  $t1$  and  $t2$  by replacing variables in  $t1$  and  $t2$  with corresponding ground terms respectively then:

$$\begin{aligned}
 \text{(INST)} \quad & (E \cup \{t1=t2\}) \models p \text{ iff} \\
 & (E \cup \{t1=t2\} \cup \{t1'=t2'\}) \models p
 \end{aligned}$$

$$\begin{aligned}
 \text{(TRANS)} \quad & E \models ((t_1 = t_2) \text{ implies } p) \text{ iff} \\
 & E \cup \{t_1 = t_2\} \models p
 \end{aligned}$$

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**Some basic properties of  $E \models p$  (2)**  
 (term or equation which moves across  $\models$   
 is assumed to include no variables)

---

**(HIDE)**  $(E \cup \{t_1=t_2\}) \models p$  implies  
 $(E \cup \{t_1=t_2\} \cup \{t_3=t_4\}) \models p$

This justifies to comment out any equation (removing  
 by making it comment) at any moment. (as a p.-p.)

**(IMP)**  $E \models (t_1 = t_2)$  implies  
 $(E \cup \{t_1 = t_2\}) \models p$  iff  $E \models p$

**module ISTEP1**

invariants-1.mod, proof-05.mod->proof-06.mod

`red inv1(s,i,j) implies inv1(want(s,k),i,j) .`

`eq istep1(I:Pid,J:Pid) =  
 inv1(s,I,J) implies inv1(s',I,J) .`

`red istep1(i,j) .`

Notice that using INST,TRANS,HIDE, and `istep1`,  
`ops k l : -> Pid . ...`  
`--  $\models$`   
`red inv1(s,k,l) implies istep1(i,j) .`  
 can also be used instead of `istep1(i,j)` for any `k` and `l`.

# Simultaneous Induction Scheme

simultaneousIS.txt,invariants-2.mod, proof-09.mod->proof-10.mod

**Lemma Discovery/Introduction:**  
Invariant `inv2` is decided to be a lemma to be introduced.

## Simultaneous Induction Scheme

{ [1-init], [1-want2]\*, [1-try2]\*, [1-exit2]\*,  
[2-init], [2-want2]\*, [2-try2]\*, [2-exit2]\* }  
implies [mx]\*

{ [1-init], [1-want2]\*, [1-try2]\*, [1-exit2]\*,  
[2-init], [2-want2]\*, [2-try2]\*, [2-exit2]\* }  
implies [inv2]\*

**Lemma Usage:** Invariant predicate `inv2` can be declared in the premise part of any assertion/proof-passage after the introduction.

```
[1-init]
[1-want,c-w,i=k]
[1-want,c-w,-i=k,j=k]
[1-want,c-w,-i=k,-j=k,istep1]
[1-want,-c-w]
[1-try,c-t,i=k,j=k]
[1-try,c-t,i=k,-j=k]*
[1-try,c-t,-i=k]*
[1-try,-c-t]
[1-exit]*
```

```
[1-init]
[1-want,c-w,i=k]
[1-want,c-w,-i=k,j=k]
[1-want,c-w,-i=k,-j=k,istep1]
[1-want,-c-w]
[1-try,c-t,i=k,j=k]
[1-try2,c-t,i=k,-j=k,inv2]
[1-try2,c-t,-i=k]*
[1-try2,-c-t]
[1-exit2]*
[2-inite]
[2-want2]*
[2-try2]*
[2-exit2]*
```

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# Lemma declaration and its usage

```
-- [1-try2,c-t,i=k,~j=k,inv2]
open INV2
  ops i j k : -> Pid .
  -- eq inv1(s,I:Pid,J:Pid) = true .
  -- eq inv2(s,J:Pid) = true .
  -- eq c-try(s,k) = true .
  eq pc(s,k) = wt .
  eq top(queue(s)) = k .
  eq i = k .
  eq (j = k) = false .
-- successor state
eq s' = try(s,k) .
-- |=
red inv2(s,j) implies istep1(i,j) .
close
```

declared lemma

used lemma

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## No need to change already constructed assertions/proof-passages by lemma introduction

```
-- [1-want]*
open INV1
  op s : -> Sys .
  ops i j k : -> Pid .
  eq inv1(s,I:Pid,J:Pid) = true .
-- |=
  red inv1(want(s,k),i,j) .
close
```

→  
implies

```
-- [1-want2]*
open ISTEP2
  ops i j k : -> Pid .
  -- eq inv1(s,I:Pid,J:Pid) = true .
  -- eq inv2(s,I:Pid) = true .
  eq s' = want(s,k) .
-- |=
  red istep1(i) .
close
```

## Final Proof Score for QLOCK

```
[1-init]
[1-want,c-w,i=k]
[1-want,c-w,~i=k,j=k]
[1-want,c-w,~i=k,~j=k,istep1]
[1-want,~c-w]
[1-try,c-t,i=k,j=k]
[1-try2,c-t,i=k,~j=k,inv2]
[1-try2,c-t,~i=k,j=k,inv2]
[1-try2,c-t,~i=k,~j=k]
[1-try,~c-t]
[1-exit2,c-e,i=k]
[1-exit2,c-e,~i=k,j=k]
[1-exit2,c-e,~i=k,~j=k]
[1-exit2,~c-e]
```

```
[2-init]
[2-want2,c-w,i=k]
[2-want2,c-w,~i=k,queue(s)=empty]
[2-want2,c-w,~i=k,queue(s)=j,q]
[2-want2,~c-w]
[2-try2,c-t,i=k]
[2-try2,c-t,~i=k]
[2-try2,~c-t]
[2-exit2,c-e,,i=k]
[2-exit2,c-e,~i=k,pc(s,i)=cs,inv1]
[2-exit2,c-e,~i=k,~pc(s,i)=cs]
[2-exit2,~c-e]
```