## An Overview of Models and Proof Rules for CafeOBJ Proof Scores

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## Topics

- Specification/Descriptions, Models, and Realities
- Constructor-based Order Sorted Algebra
- Satisfaction of a Property by a Specification
- SPEC |= prop
- Proof rules for SPEC |= prop and SPEC |- prop


## Specifications, Models, Realities



## Specification

An constructor-based equational specification SPEC in CafeOBJ (a text in the CafeOBJ notation with only equational axioms) is defined as a pair (Sig,E) of ordersorted constructor-based signature Sig and a set E of conditional equations over Sig. A signature Sig is defined as a triple (S,F,Fi) of an partially ordered set $\mathbf{S}$ of sorts, an indexed family $\mathbf{F}$ of sets of $\mathbf{S}$-sorted functions/ operations, and a set $F^{c}$ of constructors. $F^{c}$ is a family of subsets of $F$, i.e. $F^{c} \subseteq F$.

$$
\text { SPEC = ((S,F, } \left.\left.\mathrm{F}^{\mathrm{c}}\right), \mathrm{E}\right)
$$

## Model: (S,F)-Algebra

A formal/mathematical model of a specification SPEC = ( $\left.\left(\mathbf{S}, \mathrm{F}, \mathrm{F}^{\mathrm{c}}\right), \mathrm{E}\right)$ is an reachable order-sorted algebra A which has the signature ( $\mathbf{S}, \mathrm{F}$ ) and satisfies all equations in $\mathbf{E}$.

An order-sorted algebra which has a signature $(S, F)$ is called an (S,F)-algebra. An (S,F)-algebra A interprets a sort symbol s in $\mathbf{S}$ as a (non empty) set $\mathbf{A}_{\mathbf{s}}$ and an operation (function) symbol f:s1 s2 ...sn->s(n+1) in $F$ as a function $\mathbf{A}_{f}: \mathbf{A}_{\mathbf{s} 1}, \mathbf{A}_{\mathbf{s} 2}, . ., \mathbf{A}_{\mathbf{s n}}->\mathbf{A}_{\mathbf{s}(\mathrm{n}+1)}$. The interpretation respects the order-sort constrains.

## Model: (S,F,FC)-Algebra

If a sort $s \in S$ is the co-arity of some operator $f \in$ $\mathrm{F}^{\mathrm{C}}$, the sort s is called a constrained sort. A sort which is not constrained is called a loose sort.

An (S,F)-algebra $A$ is called (S,F,FC)-algebra if any value $v \in A_{s}$ for any constrained sort $s \in S$ is expressible only using
(1) function $A_{f}$ for $f \in F^{C}$
and
(2) function $A_{g}$ for $g \in F$ whose co-arity is loose sort .
$\left(S, F, F^{C}\right)$-algebra can also be called $\mathrm{F}^{\mathrm{C}}$-reachable algebra

## An example of Signature and its Algebra

```
-- Let (PNAT+)-sig be
-- the signature of PNAT+
-- sort
[ Zero NzNat < Nat ]
-- operators
op 0 : -> Nat {constr}
op s_ : Nat -> NzNat {constr}
op _+_ : Nat Nat -> Nat
```


## A (PNAT+)-sig-algebra

```
Order-Sorted Algebra with Signature (PNAT+)-sig:
```

```
<Nat, NzNat, Zero; 0, s_, _+_>
```

```
<Nat, NzNat, Zero; 0, s_, _+_>
```



## Valuation, evaluation

A valuation (or an assignment) is a sort preserving map from the (order-sorted) set of variables of a specification to an order-sorted algebra (a model), and assigns values to all variables.

Given a model $\mathbf{A}$ and a valuation $\mathbf{v}$, a term $\mathbf{t}$ of sort $\mathbf{s}$, which may contain variables, is evaluated to a value $\mathbf{A}_{\mathbf{v}}(\mathrm{t})$ in $\mathbf{A}_{\mathbf{s}}$

## Equation

Given terms $\mathrm{t}, \mathrm{t}^{\prime}, \mathrm{t} 1, \mathrm{t} 1^{\prime}, \mathrm{t} 2, \mathrm{t} 2^{\prime} . . . \mathrm{tn}, \mathrm{tn}$, a conditional equation is a sentence of the form:

$$
\text { t = t' if (t1 = t1') } \wedge\left(\mathbf{t} 2=\mathbf{t} \mathbf{2}^{\prime}\right) \wedge \ldots \Lambda\left(\mathbf{t n}=\mathbf{t n}^{\prime}\right)
$$

An ordinary equation is a sentence of the form:

$$
t=t^{\prime}
$$

that is $\mathrm{n}=0$.

A conditional equation in CafeOBJ notation:

$$
\left(t=t^{\prime} \text { if } c\right)
$$

where $t, t^{\prime}$ are any terms and $c$ is a Boolean term is an abbreviation of
( $\mathrm{t}=\mathrm{t}^{\prime}$ if $\mathrm{c}=$ true)

## Satisfiability of equation

An ordered-sorted algebra A satisfies a conditional equation:

$$
\mathbf{t}=\mathbf{t}^{\prime} \text { if }\left(\mathrm{t} 1=\mathrm{t} 1^{\prime}\right) /\left(\mathrm{t} 2=\mathrm{t} 2^{\prime}\right) \wedge . . . \Lambda(\mathrm{tn}=\mathrm{tn})
$$

iff
$A_{v}(t 1)=A_{v}\left(t 1^{\prime}\right)$ and $A_{v}(t 2)=A_{v}\left(t 2^{\prime}\right)$ and... and $A_{v}(t n)=A_{v}\left(t n^{\prime}\right)$ implies $A_{v}(t)=A_{v}\left(t^{\prime}\right)$
for any valuation $\mathbf{v}$.

The satisfaction of an equation by a model $\mathbf{A}$ is denoted by $A \mid=\left(t=t^{\prime}\right.$ if $\left.\left(t 1=t 1^{\prime}\right) \Lambda\left(t 2=t 2^{\prime}\right) / . . . \Lambda\left(t n=t n^{\prime}\right)\right)$

## CafeOBJ _=_ (meta-level equality) and Boolean _=_ (object level equality)

```
If a specification SP includes,
    op _=__ S S -> Bool .
    eq (X = X) = true .
    ceq X = Y if (X = Y).
then
    SP |= t=t' if (t1=t1')/\ (t2=t2')/\.../\(tn=tn')
iff
    SP |= (t1=t1' and t2=t2' and ...and tn=tn'
        implies t=t') = true .
```

1. Object-level equality can substitutes for metalevel equality
2. Every sentence (conditional equation) can be written as Boolean term.

## SPEC-algebra

## For a specification SPEC = ((S,F,Fi), E), a SPEC-algebra is a (S,F,FC)-algebra which satisfies all equations in $E$.

## Satisfiability of property by specification: SPEC |= prop

> A specification SPEC = $\left(\left(S, F, F^{c}\right), E\right)$ is defined to satisfy a property $\mathbf{p}(a$ term of sort Bool) iff $\mathbf{A} \mid=(\mathbf{p}=$ true $)$ holes for any SPEC-algebra $\mathbf{A}$.

The satisfaction of a predicate prop by a specification SPEC $=\left(\left(S, F, F^{c}\right), E\right)$ is denoted by: SPEC $\mid=p$ or $E=p$

A most important purpose of developing a specification SPEC = ((S,F, $\left.\left.\mathbf{F}^{c}\right), E\right)$ in CafeOBJ is to check whether
SPEC |= prop
holds for a predicate prop which describes some important property of the system which SPEC specifies.

## Proof rules for SPEC |= prop (semantic entailment)

For doing formal verification, it is common to think of syntactic (proof theoretic) entailment:
SPEC |- prop
which corresponds to semantic entailment: SPEC |= prop .

We have a sound and quasi complete set of proof rules for |- which satisfies:

## SPEC |- prop iff SPEC |= prop

for unstructured specifications and constitutes a theoretical foundation for verifications with proof scores.

## Proof Rules (1) -- entailment system (S, P, or Ei denotes a set of equations)

|  | S \|-P |  |
| :---: | :---: | :---: |
| Monotonicity: | --------------------- | (for any E2¢E1) |


| Transitivity: | S U E1 \|-P U E2, S U E2 |-P U E3 |
| :---: | :---: |
|  | S U E1 \|- P U E3 |
|  | S U E1 \|-P U E2, S U E1 |-P U E3 |
|  | S U E1 - P U (E2 U E3) |


| Translation: | $\left.\mathrm{S}\right\|_{-\Sigma} \mathrm{P}$ | (for any signature morphism |
| :---: | :---: | :---: |
|  | $\left.\varphi(S)\right\|_{\Sigma} \Sigma^{\prime} \varphi(P)$ | $\left.\varphi: \Sigma->\Sigma^{\prime}\right)$ |

## Proof Rules (2) -- equational reasoning ( t or ti denotes term and f denotes operator)



Congruence:

$$
\mathbf{S} \mid-\mathbf{P}
$$



## Proof Rules (3)

( H denotes a set of equations, p denotes predicate, $\mathrm{X}, \mathrm{Y}$, or Z denotes set of variables, x denotes variable)

|  | S \|-P U \{(/Н=>p) \} |  | S U H \|-P U \{p\} |
| :---: | :---: | :---: | :---: |
| Implication: | $\text { S U H \|- P U \{p\} }$ | and | $S \mid-P U\{(\wedge H=>p)\}$ |



|  | $\left.\mathrm{S}\right\|_{-\Sigma} \mathrm{P} \mathbf{U}\{(\forall \mathrm{Z}) \mathrm{p}\}$ |  | $\left.S\right\|_{-(z)} \mathrm{P} \cup\{\mathrm{p}\}$ |
| :---: | :---: | :---: | :---: |
| Generalization: | $\left.S\right\|_{\Sigma(z)} P U\{p\}$ | and |  |

## Proof Rules (4)

( H denotes a set of equations, p denotes predicate, $\mathrm{X}, \mathrm{Y}$, or Z denotes set of variables, x denotes variable)

C-Abstraction:
$\{(S \mid-P ~ U\{(\forall Y) p(x<-t)\}) \mid t$ is constructor $Y$-tem, Y are loose vars. $\}$

$$
\mathrm{S} \mid-\mathrm{P} \cup\{(\forall \mathrm{x}) \mathrm{p}\}
$$

Case Analysis:
$\left\{\left(\left.S U\{f(t 1, \ldots, t n)=t\}\right|_{\Sigma(Y)} P U\{p\}\right) \mid t\right.$ is const. Y-tem, $Y$ are loose vars. $\}$

$$
\left.S\right|_{\Sigma} P U\{p\}
$$

