Low-Complexity Quantization of Discrete Memoryless Channels

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Introduction





Given a joint distribution $p_{XY}(x, y)$, find the quantizer Q which maximizes mutual information:

$$Q^* = \arg\max_Q I(X; Z)$$

with K < M where:

$$M = |\mathcal{Y}|$$
$$K = |\mathcal{Z}|$$





Motivation: Decoder & Detector VLSI

- Information and coding theorists develop elegant decoding algorithms
- Factor-graph decoding algorithms use real numbers
- Algorithms are often implemented in VLSI, particularly for powersensitive applications like mobile devices, SSDs, etc.
- VLSI has fixed precision, e.g. real numbers might be approximated using 4 to 8 bits
- This fixed-point design is often done in an ad hoc fashion.







Factor Graph Representation of Decoders

input y from channel







 $\widehat{\mathbf{x}}$ output: transmitted codeword estimate



$\widehat{\mathbf{x}} = rg \max \Pr(\mathbf{y}|\mathbf{x})$ \mathbf{X}







Message Passing Decoding on Factor Graphs



 $\phi: \mathcal{L}_1 \times \mathcal{L}_2 \times \mathcal{L}_3 \to \mathcal{Z}$

Decoding algorithms can be represented as "message passing on a factor graph"

• Edges represent messages

• Nodes represent "local" decoding functions $\boldsymbol{\varphi}$

Important example:

- messages are probabilities
- decoding functions are derived from basic rules of probability theory









Max-LUT Method

Max-LUT is a method for implementing the node decoder functions for graph-based decoders, using lookup tables that maximize mutual information.





Max-LUT Method: Central Idea

Encoder Side: Code Symbols X_i



- Check node $x_3 = x_1 + x_2$
- Var node $x_1 = x_2 = x_3$

• etc.

Choose LUT to maximize mutual information $\max I(X_3; Z) = \max_{LUT} I(X_3; LUT(L_1, L_2))$

Decoder Side



 L_i is a noisy version of X_i , Z is a noisy version of X_3

Max-LUT Step 1: Joint Distribution

 $\Pr(\mathsf{L}_1|\mathsf{X}_1)$



Max-LUT Step 2: Quantize

- Too many levels! Reduce to Z with K levels
- Quantizer is a mapping from (L_1, L_2) to Z







Max-LUT Step 3: Lookup Table



Lookup table: $Z = LUT(L_1, L_2)$



Quantization of DMCs

- Global optimization has exponential complexity in general
- J = 2 binary input, finding optimal quantizer complexity M^3 or better
- Information theory quantization = machine learning classification Suboptimal approaches for non-binary inputs
- Greedy combining complexity $M^2(M-K)$ Information bottleneck method
- KL-Means algorithm
 - ◆ Replace K-Means Euclidean distance metric with KL divergence
 - Quantization in reverse channel Pr[X | Y]
 - Minimizing KL divergence equivalent to max mutual information
 - \bullet Complexity *MKT* for *T* iterations

Machine Learning: Classification







Machine Learning: Classification





Machine Learning: Classification

Minimum-entropy optimal classifier Q^* :

$$Q^* = \arg\min_Q H(\mathsf{Z}|\mathsf{X})$$

Exploit existing results in machine learning:















$$(\mathsf{X} = 2|\mathsf{Y} = y), \dots, \Pr(\mathsf{X} = J|\mathsf{Y} = y)$$



Quantization in Backwards Channel









Quantization in Backwards Channel









Maximization of Mutual Information is **Minimization of KL Divergence**

Consider random vector versions:

 $\mathbf{U} = \left[\Pr(\mathbf{X} = 1 | \mathbf{Y}), \dots, \Pr(\mathbf{X} = J | \mathbf{Y}) \right]$ $\mathbf{V} = \left[\Pr(\mathbf{X} = 1 | \mathbf{Z}), \dots, \Pr(\mathbf{X} = J | \mathbf{Z}) \right]$

Then, it can be shown:

 $I(\mathsf{X};\mathsf{Y}) - I(\mathsf{X};\mathsf{Z}) = E(D(\mathsf{U}||\mathsf{V}))$

 $D(\cdot || \cdot)$ is the Kullback-Leiber divergence and E is expectation.





- given *n*-dimensional data set, randomly choose K "means" each of K clusters consists of data points closest to its mean in Euclidean distance move the mean to the center of the cluster data $\circ u_m = (u_1 u_2 \dots u_J)_m$ means $\bullet v_k = (v_1 v_2 \dots v_l)_k$
- K-means clustering: vector quantization method, or clustering method. iterate 3. Not optimal, but works well in practice. Complexity is linear in M

Hugely successful in machine learning

K-Means Algorithm



KL-Means Algorithm: KL Divergence

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Clustering with Bregman Divergences

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TEXT MINING WITH INFORMATION-THEORETIC CLUSTERING

Motivated by the success development of their hybr vector space model indica algorithms.

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Enhanced Word Clustering for Hierarchical Text Classification

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ABSTRACT

In this paper we propose a new information-theoretic divi-

"KL-Means algorithm" replace Euclidean distance with KL Divergence

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tion can lead to a dimensionality in thousands, for example, one of our test data sets contains 5,000 web pages from







Quantization of Non-Binary Channels

- Random DMC with 512 outputs
- Efficient optimal quantization is not known
- Greedy combining performs well, but has complexity M^3
- KL-Means has complexity M

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I(X; Z)		
- X: -	10-2	
	10	

Performance Comparison of Random DMC Quantization







"KL-Means" MI-max Quantizers

Replace the Euclidean distance metric with Kullback-Lieber divergence Objective is now to maximize mutual information

Mutual Information Gap Δ , log scale $_{7-5}$

 10^{-3}

2

10⁻¹



Conclusion

For the Markov chain $X \to Y \to Z$, finding the optimal quantizer $Q^* = \arg \max I(X; Z)$ has exponential complexity. Motivated by Max-LUT method for factor graph hardware implementations Described **KL** Means Algorithm, K-Means clustering with KL distance

- Efficient but suboptimal
- moving closer to optimal: e.g. KL-Means++ and IB-based soft clustering
- need optimal quantization as a benchmark
- application to Max-LUT method for non-binary factor graphs

• KL Means is equivalent to informant bottleneck method [SCC17]

