

Constructive and Classical Reasonings

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Language

We use the standard language of (many-sorted) first-order predicate logic based on

- ▶ (individual) variables v_0, v_1, \dots ;
- ▶ (individual) constants c_0, c_1, \dots ;
- ▶ predicate (relation) symbols R_0, R_1, \dots ;
- ▶ function symbols f_0, f_1, \dots ;
- ▶ primitive logical operators $\wedge, \vee, \rightarrow, \perp, \forall, \exists$.

Terms

Terms are defined inductively by

- ▶ variables and constants are terms;
- ▶ if t_1, \dots, t_n are terms and f is an (n -ary) function symbol, then $f(t_1, \dots, t_n)$ is a term.

The set $FV(t)$ of **free variables** of a term t is defined inductively by

- ▶ $FV(x) := \{x\}$ and $FV(c) := \emptyset$;
- ▶ $FV(f(t_1, \dots, t_n)) := FV(t_1) \cup \dots \cup FV(t_n)$.

Formulas

Formulas are defined inductively by

- ▶ \perp is a formula;
- ▶ if t_1, \dots, t_n are terms and R is an (n -ary) predicate symbol, then $R(t_1, \dots, t_n)$ is an (**atomic**) formula;
- ▶ if A and B are formulas, then $(A \wedge B)$, $(A \vee B)$ and $(A \rightarrow B)$ are formulas;
- ▶ if A is a formula and x is a variable, then $(\forall xA)$ and $(\exists xA)$ are formulas.

We introduce the abbreviations

- ▶ $\neg A \equiv A \rightarrow \perp$;
- ▶ $A \leftrightarrow B \equiv (A \rightarrow B) \wedge (B \rightarrow A)$.

Formulas

The set $FV(A)$ of **free variables** of a formula A is defined inductively by

- ▶ $FV(\perp) := \emptyset$;
- ▶ $FV(R(t_1, \dots, t_n)) := FV(t_1) \cup \dots \cup FV(t_n)$;
- ▶ $FV(A \circ B) := FV(A) \cup FV(B)$, where $\circ \in \{\wedge, \vee, \rightarrow\}$;
- ▶ $FV(\forall x A) := FV(\exists x A) := FV(A) \setminus \{x\}$.

For a set Γ of formulas, let $FV(\Gamma) := \bigcup \{FV(A) \mid A \in \Gamma\}$.

Substitution (1)

Let s and t be terms, and let x be a variable. Then define a **term** $s[x/t]$ by

- ▶ $x[x/t] \equiv t$, $y[x/t] \equiv y$ ($x \neq y$), and $c[x/t] \equiv c$;
- ▶ $(f(t_1, \dots, t_n))[x/t] \equiv f(t_1[x/t], \dots, t_n[x/t])$.

Let A be a formula, let t be a term, and let x be a variable. Then define a **formula** $A[x/t]$ by

- ▶ $\perp[x/t] \equiv \perp$;
- ▶ $R(t_1, \dots, t_n)[x/t] \equiv R(t_1[x/t], \dots, t_n[x/t])$;
- ▶ $(A \circ B)[x/t] \equiv (A[x/t] \circ B[x/t])$, where $\circ \in \{\wedge, \vee, \rightarrow\}$;
- ▶ $(\forall yA)[x/t] \equiv \forall y(A[x/t])$ and $(\exists yA)[x/t] \equiv \exists y(A[x/t])$, if $x \neq y$, and $(\forall yA)[x/t] \equiv \forall yA$ and $(\exists yA)[x/t] \equiv \exists yA$, otherwise.

Free for (1)

Let A be a formula, let t be a term, and let x be a variable. Then define a predicate t is free for x in A by

- ▶ t is free for x in \perp ;
- ▶ t is free for x in $R(t_1, \dots, t_n)$;
- ▶ if t is free for x in A and B , then t is free for x in $(A \circ B)$, where $\circ \in \{\wedge, \vee, \rightarrow\}$;
- ▶ if t is free for x in A , $x \neq y$ and $y \notin \text{FV}(t)$, then t is free for x in $\forall yA$ and $\exists yA$.

Substitution (2)

We introduce

- ▶ a proposition symbol (0-ary predicate symbol) $*$ acting as a **place holder**.
- ▶ an abbreviation $\neg_* A \equiv A \rightarrow *$.

Let A and C be formulas. Then define a formula $A[* / C]$ by

- ▶ $\perp[* / C] \equiv \perp$;
- ▶ $*[* / C] \equiv C$ and $(R(t_1, \dots, t_n))[* / C] \equiv R(t_1, \dots, t_n)$;
- ▶ $(A \circ B)[* / C] \equiv (A[* / C] \circ B[* / C])$, where $\circ \in \{\wedge, \vee, \rightarrow\}$;
- ▶ $(\forall x A)[* / C] \equiv \forall x (A[* / C])$ and $(\exists x A)[* / C] \equiv \exists x (A[* / C])$,

Free for (2)

Let A and C be formulas. Then define a predicate C is free for $*$ in A by

- ▶ C is free for $*$ in \perp ;
- ▶ C is free for $*$ in $*$ and $R(t_1, \dots, t_n)$;
- ▶ if C is free for $*$ in A and B , then C is free for $*$ in $(A \circ B)$, where $\circ \in \{\wedge, \vee, \rightarrow\}$;
- ▶ if C is free for $*$ in A and $x \notin FV(C)$, then C is free for $*$ in $\forall xA$ and $\exists xA$.

Natural Deduction System

We shall use \mathcal{D} , possibly with a subscript, for arbitrary deduction.

We write

$$\frac{\Gamma}{\mathcal{D} \quad A}$$

to indicate that \mathcal{D} is deduction with **conclusion** A and **assumptions** Γ .

Minimal logic

Deductions are inductively defined as follows.

Basis: For each formula A ,

A

is a deduction with conclusion A and assumptions $\{A\}$.

Induction step:

Minimal logic

- ▶ if $\frac{\Gamma_1}{D_1}$ and $\frac{\Gamma_2}{D_2}$ are deductions, then

$$\frac{\frac{\Gamma_1}{D_1} \quad \frac{\Gamma_2}{D_2}}{A \quad B} \wedge I$$

is a deduction with conclusion $A \wedge B$ and assumptions $\Gamma_1 \cup \Gamma_2$;

Minimal logic

- ▶ if $\frac{\Gamma}{A \wedge B} \mathcal{D}$ is a deduction, then

$$\frac{\frac{\Gamma}{A \wedge B} \mathcal{D}}{A} \wedge E_r \qquad \frac{\frac{\Gamma}{A \wedge B} \mathcal{D}}{B} \wedge E_l$$

are deductions with conclusions A and B , respectively, and assumptions Γ ;

Minimal logic

- ▶ if $\frac{\Gamma}{A}$ is a deduction, then

$$\frac{\frac{\Gamma}{A}}{A \vee B} \vee I_r \qquad \frac{\frac{\Gamma}{A}}{B \vee A} \vee I_l$$

are deductions with conclusions $A \vee B$ and $B \vee A$, respectively, and assumptions Γ ;

Minimal logic

- if $\frac{\Gamma_1}{D_1}$, $\frac{\Gamma_2}{D_2}$ and $\frac{\Gamma_3}{D_3}$ are deductions, then

$$\frac{\frac{\Gamma_1}{D_1} \quad \frac{\Gamma_2}{D_2} \quad \frac{\Gamma_3}{D_3}}{A \vee B \quad C \quad C} \vee E$$

is a deduction with conclusion C and assumptions $\Gamma_1 \cup (\Gamma_2 \setminus \{A\}) \cup (\Gamma_3 \setminus \{B\})$;

Minimal logic

- ▶ if $\frac{\Gamma}{B}$ is a deduction, then

$$\frac{\frac{\Gamma}{B}}{A \rightarrow B} \rightarrow I$$

is a deduction with conclusion $A \rightarrow B$ and assumptions $\Gamma \setminus \{A\}$.

Minimal logic

- if $\frac{\Gamma_1}{\mathcal{D}_1} \quad A \rightarrow B$ and $\frac{\Gamma_2}{\mathcal{D}_2} \quad A$ are deductions, then

$$\frac{\frac{\Gamma_1}{\mathcal{D}_1} \quad A \rightarrow B \quad \frac{\Gamma_2}{\mathcal{D}_2} \quad A}{B} \rightarrow E$$

is a deduction with conclusion B and assumptions $\Gamma_1 \cup \Gamma_2$.

Minimal logic

- ▶ if $\frac{\Gamma}{A}$ is a deduction, $x \notin \text{FV}(\Gamma)$, and $y \equiv x$ or $y \notin \text{FV}(A)$,
then

$$\frac{\frac{\Gamma}{A}}{\forall y A[x/y]} \forall I$$

is a deduction with conclusion $\forall y A[x/y]$ and assumptions Γ .

Minimal logic

- ▶ if $\frac{\Gamma}{\forall xA}$ is a deduction and t is free for x in A , then

$$\frac{\frac{\Gamma}{\forall xA}}{A[x/t]} \forall E$$

is a deduction with conclusion $A[x/t]$ and assumptions Γ .

Minimal logic

- ▶ if $\frac{\Gamma}{A[x/t]}$ is a deduction, then

$$\frac{\frac{\Gamma}{A[x/t]}}{\exists x A} \exists I$$

is a deduction with conclusion $\exists x A$ and assumptions Γ .

Minimal logic

- if $\frac{\Gamma_1}{\mathcal{D}_1}$ and $\frac{\Gamma_2}{\mathcal{D}_2}$ are deductions, $x \notin \text{FV}(C)$,
 $\frac{\exists y A[x/y]}{C}$
 $x \notin \text{FV}(\Gamma_2 \setminus \{A\})$, and $y \equiv x$ or $y \notin \text{FV}(A)$, then

$$\frac{\frac{\Gamma_1}{\mathcal{D}_1} \quad \frac{\Gamma_2}{\mathcal{D}_2} \quad \frac{\exists y A[x/y]}{C}}{C} \exists E$$

is a deduction with conclusion C and assumptions $\Gamma_1 \cup (\Gamma_2 \setminus \{A\})$.

Minimal logic

We denote by

$$\Gamma \vdash_m A$$

that there is a deduction in minimal logic with conclusion A and assumptions Δ which is a subset of Γ .

Example (1)

$$\begin{array}{c}
 \frac{\frac{\frac{[A \rightarrow B] \quad [A]}{B} \rightarrow E}{[\neg B]} \rightarrow E}{\perp} \rightarrow I \\
 \frac{[\neg \neg(A \rightarrow B)] \quad \frac{\perp}{\neg(A \rightarrow B)} \rightarrow I}{\neg(A \rightarrow B)} \rightarrow E \\
 \frac{[\neg \neg A] \quad \frac{\perp}{\neg A} \rightarrow I}{\neg A} \rightarrow E \\
 \frac{\frac{\perp}{\neg \neg B} \rightarrow I}{\neg \neg A \rightarrow \neg \neg B} \rightarrow I \\
 \frac{\neg \neg A \rightarrow \neg \neg B}{\neg \neg(A \rightarrow B) \rightarrow (\neg \neg A \rightarrow \neg \neg B)} \rightarrow I
 \end{array}$$

Example (2)

$$\begin{array}{c}
 \frac{\frac{\frac{[A \rightarrow B] \quad [A]}{B} \rightarrow E}{[\neg_* B]} \rightarrow E}{\frac{[\neg_* \neg_*(A \rightarrow B)]}{\neg_*(A \rightarrow B)} \rightarrow I} \rightarrow E \\
 \frac{\frac{[\neg_* \neg_* A]}{\neg_* A} \rightarrow I}{\neg_* A} \rightarrow E \\
 \frac{\frac{\frac{\frac{[\neg_* \neg_* B]}{\neg_* \neg_* B} \rightarrow I}{\neg_* \neg_* A \rightarrow \neg_* \neg_* B} \rightarrow I}{\neg_* \neg_*(A \rightarrow B) \rightarrow (\neg_* \neg_* A \rightarrow \neg_* \neg_* B)} \rightarrow I} \rightarrow I
 \end{array}$$

Intuitionistic logic

Intuitionistic logic is obtained from minimal logic by adding the **intuitionistic absurdity rule**.

► if $\frac{\Gamma}{\perp}$ is a deduction, then

$$\frac{\frac{\Gamma}{\perp}}{A} \perp_i$$

is a deduction with conclusion A and assumptions Γ .

Intuitionistic logic

We denote by

$$\Gamma \vdash_i A$$

that there is a deduction in intuitionistic logic with conclusion A and assumptions in Γ .

Note that

$$\Gamma \vdash_m A \Rightarrow \Gamma \vdash_i A.$$

Example (5)

$$\begin{array}{c}
 \frac{[\neg_* \neg A \rightarrow \neg_* \neg_* B]}{\neg_* \neg_* B} \\
 \frac{\frac{[\neg_* (A \rightarrow B)] \quad \frac{\frac{[\neg A] \quad [A]}{\perp} \perp_i}{B} \perp_i}{A \rightarrow B}}{\neg_* \neg A}^*}{\neg_* \neg_* (A \rightarrow B)}^* \\
 \frac{\frac{[\neg_* (A \rightarrow B)] \quad \frac{[B]}{A \rightarrow B}}{\neg_* B}^*}{\neg_* \neg_* (A \rightarrow B)}^*}{(\neg_* \neg A \rightarrow \neg_* \neg_* B) \rightarrow \neg_* \neg_* (A \rightarrow B)}^*
 \end{array}$$

Classical logic

Classical logic is obtained from intuitionistic logic by strengthening the absurdity rule to the **classical absurdity rule**.

▶ if $\frac{\Gamma}{\perp}$ is a deduction, then

$$\frac{\frac{\Gamma}{\perp}}{A} \perp_c$$

is a deduction with conclusion A and assumption $\Gamma \setminus \{\neg A\}$.

Classical logic

We denote by

$$\Gamma \vdash_c A$$

that there is a deduction in classical logic with the conclusion A and the assumptions in Γ .

Note that

$$\Gamma \vdash_i A \Rightarrow \Gamma \vdash_c A.$$

Examples (6)

$$\frac{\frac{[\neg\neg A] \quad [\neg A]}{\perp} \rightarrow E}{\frac{\perp}{A} \perp_c}{\neg\neg A \rightarrow A} \rightarrow I$$

$$\frac{\frac{[\neg(A \vee \neg A)] \quad \frac{\frac{[A]}{A \vee \neg A} \vee I_r}{\perp} \rightarrow E}{\frac{\perp}{\neg A} \rightarrow I} \vee I_l}{\frac{[\neg(A \vee \neg A)] \quad \frac{\perp}{\neg A} \rightarrow I}{A \vee \neg A} \rightarrow E} \perp_c}{A \vee \neg A} \perp_c$$

The Gödel-Gentzen negative translation

Definition

The Gödel-Gentzen negative translation $(\cdot)^g$ on the formulas of predicate logic is defined inductively by

- ▶ $\perp^g \equiv \perp$;
- ▶ $P^g \equiv \neg\neg P$ for P atomic;
- ▶ $(A \wedge B)^g \equiv A^g \wedge B^g$;
- ▶ $(A \vee B)^g \equiv \neg(\neg A^g \wedge \neg B^g)$;
- ▶ $(A \rightarrow B)^g \equiv A^g \rightarrow B^g$;
- ▶ $(\forall xA)^g \equiv \forall xA^g$;
- ▶ $(\exists xA)^g \equiv \neg\forall x\neg A^g$.

The Gödel-Gentzen negative translation

Lemma

- ▶ $\vdash_m \neg A \leftrightarrow \neg\neg A$,
- ▶ $\vdash_m \neg\neg A \wedge \neg\neg B \leftrightarrow \neg\neg(A \wedge B)$,
- ▶ $\vdash_m \neg\neg(A \rightarrow B) \rightarrow (\neg\neg A \rightarrow \neg\neg B)$,
- ▶ $\vdash_m \neg\neg \forall x \neg\neg A \leftrightarrow \neg\neg \forall x \neg\neg A$.

The Gödel-Gentzen negative translation

Lemma

$$\vdash_m A^g \leftrightarrow \neg\neg A^g.$$

Proof.

By induction on the complexity of A .

Basis: $\vdash_m \perp \leftrightarrow \neg\neg\perp$ and $\vdash_m \neg\neg P \leftrightarrow \neg\neg P$.

Induction step:

- ▶ $\vdash_m A^g \wedge B^g \leftrightarrow \neg\neg A^g \wedge \neg\neg B^g \leftrightarrow \neg\neg(A^g \wedge B^g)$.
- ▶ $\vdash_m \neg(\neg A^g \wedge \neg B^g) \leftrightarrow \neg\neg\neg(\neg A^g \wedge \neg B^g)$.
- ▶ $\vdash_m (A^g \rightarrow B^g) \rightarrow \neg\neg(A^g \rightarrow B^g) \rightarrow (\neg\neg A^g \rightarrow \neg\neg B^g) \leftrightarrow (A^g \rightarrow B^g)$.
- ▶ $\vdash_m \forall x A^g \leftrightarrow \forall x \neg\neg A^g \leftrightarrow \neg\neg \forall x \neg\neg A^g \leftrightarrow \neg\neg \forall x A^g$.
- ▶ $\vdash_m \neg \forall x \neg A^g \leftrightarrow \neg\neg\neg \forall x \neg A^g$.

□

The Gödel-Gentzen negative translation

Proposition

If $\Gamma \vdash_c A$, then $\Gamma^g \vdash_m A^g$, where $\Gamma^g = \{B^g \mid B \in \Gamma\}$.

Proof.

By induction on the depth of a deduction of $\Gamma \vdash_c A$.

Basis: A is translated into A^g .

Induction step:



The Gödel-Gentzen negative translation

$$\frac{\mathcal{D}}{A} \vee I_r$$

is transferred into

$$\frac{\mathcal{D}^g \quad \frac{[\neg A^g \wedge \neg B^g]}{A^g} \rightarrow I_r}{\perp} \rightarrow I_r$$

The Gödel-Gentzen negative translation

$$\frac{\begin{array}{c} \mathcal{D}_1 \\ A \vee B \end{array} \quad \begin{array}{c} [A] \\ \mathcal{D}_2 \\ C \end{array} \quad \begin{array}{c} [B] \\ \mathcal{D}_3 \\ C \end{array}}{C} \vee E$$

is translated into

$$\frac{\begin{array}{c} \vdots \\ \neg\neg C^g \rightarrow C^g \end{array} \quad \frac{\begin{array}{c} \mathcal{D}_1^g \\ (A \vee B)^g \end{array} \quad \frac{\frac{\frac{[\neg C^g] \quad \mathcal{D}_2^g}{C^g} \perp}{\neg A^g} \quad \frac{\frac{[\neg C^g] \quad \mathcal{D}_3^g}{C^g} \perp}{\neg B^g}}{\neg A^g \wedge \neg B^g} \wedge I}{\perp}}{\neg\neg C^g} \perp}{C^g}$$

The Gödel-Gentzen negative translation

$$\frac{\mathcal{D} \quad A[x/t]}{\exists x A} \exists I$$

is transferred into

$$\frac{\mathcal{D}^g \quad \frac{[\forall x \neg A^g]}{\neg(A[x/t])^g}}{(A[x/t])^g}}{(\exists x A)^g} \rightarrow I$$

The Gödel-Gentzen negative translation

$$\frac{\mathcal{D}_1 \quad \exists y A[x/y] \quad \mathcal{D}_2 \quad [A] \quad C}{C} \exists E$$

is translated into

$$\frac{\begin{array}{c} \vdots \\ \neg\neg C^g \rightarrow C^g \end{array} \quad \frac{\frac{\mathcal{D}_1^g \quad (\exists y A[x/y])^g \quad \frac{\frac{[\neg C^g] \quad \mathcal{D}_2^g \quad C^g}{\perp}}{\neg A^g}}{\forall y \neg (A[x/y])^g} \forall I}{\perp} \neg\neg C^g}{C^g}}$$

The Gödel-Gentzen negative translation

$$\frac{[\neg A] \quad \mathcal{D}}{\perp} \perp_c$$

is translated into

$$\frac{\begin{array}{c} \vdots \\ \neg\neg A^g \rightarrow A^g \end{array} \quad \frac{[\neg A^g] \quad \mathcal{D}^g}{\perp} \rightarrow I}{A^g} \rightarrow E$$

Negative formulas

Definition

We define the class \mathcal{N} of negative formulas as follows. Let P range over atomic formulas, and N and N' over \mathcal{N} . Then \mathcal{N} is inductively generated by the clause

$$\perp, \neg P, N \wedge N', N \rightarrow N', \forall x N \in \mathcal{N}.$$

Negative formulas

Lemma

If $N \in \mathcal{N}$, then $\vdash_m N \leftrightarrow N^g$.

Proof.

By induction on the definition of \mathcal{N} .

Basis: $\vdash_m \perp \leftrightarrow \perp$ and $\vdash_m \neg P \leftrightarrow \neg\neg\neg P$.

Induction step:

- ▶ $\vdash_m N \wedge N' \leftrightarrow N^g \wedge N'^g$.
- ▶ $\vdash_m (N \rightarrow N') \leftrightarrow (N^g \rightarrow N'^g)$.
- ▶ $\vdash_m \forall x N \leftrightarrow \forall x N^g$.

□

The conservative extension result

Theorem

If $\Gamma \subseteq \mathcal{N}$ and $A \in \mathcal{N}$, then $\Gamma \vdash_c A$ implies $\Gamma \vdash_m A$.

Proof.

Suppose that $\Gamma \subseteq \mathcal{N}$ and $A \in \mathcal{N}$. Then $\Gamma \vdash_m B^g$ for each $B \in \Gamma$ and $A^g \vdash_m A$ by Lemma. Therefore, if $\Gamma \vdash_c A$, then $\Gamma^g \vdash_m A^g$, and so $\Gamma \vdash_m A$. □

Leivant's conservative extension result

Definition

We define simultaneously classes \mathcal{S} (spreading), \mathcal{W} (wiping) and \mathcal{I} (isolating) of formulas as follows. Let P range over atomic formulas, S and S' over \mathcal{S} , W and W' over \mathcal{W} , and I and I' over \mathcal{I} . Then \mathcal{S} , \mathcal{W} and \mathcal{I} are inductively generated by the clauses

- ▶ $\perp, P, S \wedge S', S \vee S', \forall xS, \exists xS, I \rightarrow S \in \mathcal{S}$;
- ▶ $\perp, W \wedge W', \forall xW, S \rightarrow W \in \mathcal{W}$;
- ▶ $P, W, I \wedge I', I \vee I', \exists xI, S \rightarrow I \in \mathcal{I}$.

Note that

$$\mathcal{N} \subseteq \mathcal{S} \cap \mathcal{W}.$$

Leivant's conservative extension result

Lemma

- ▶ $\vdash_m \neg(\neg A \wedge \neg B) \leftrightarrow \neg\neg(A \vee B),$
- ▶ $\vdash_m (\neg\neg A \rightarrow \neg\neg B) \leftrightarrow (A \rightarrow \neg\neg B),$
- ▶ $\vdash_i \neg\neg(A \rightarrow B) \leftrightarrow (\neg\neg A \rightarrow \neg\neg B),$
- ▶ $\vdash_m \neg\forall x\neg A \leftrightarrow \neg\neg\exists xA.$

Leivant's conservative extension result

Proposition

- ▶ If $A \in \mathcal{S}$, then $\vdash_i A \rightarrow A^g$;
- ▶ If $A \in \mathcal{W}$, then $\vdash_i A^g \rightarrow A$;
- ▶ If $A \in \mathcal{I}$, then $\vdash_i A^g \rightarrow \neg\neg A$.

Leivant's conservative extension result

Proof.

By simultaneous induction on the definition of \mathcal{S} , \mathcal{W} and \mathcal{I} .

Basis: $\vdash_m \perp \rightarrow \neg\neg\perp$ and $\vdash_m P \rightarrow \neg\neg P$.

Induction step:

- ▶ $\vdash_i S \vee S' \rightarrow \neg\neg(S^g \vee S'^g) \leftrightarrow \neg(\neg S^g \wedge \neg S'^g)$.
- ▶ $\vdash_i \exists x S \rightarrow \neg\neg\exists x S^g \leftrightarrow \neg\forall x \neg S^g$.
- ▶ $\vdash_i (I \rightarrow S) \rightarrow (\neg\neg I \rightarrow \neg\neg S) \rightarrow (I^g \rightarrow \neg\neg S^g) \leftrightarrow (I^g \rightarrow S^g)$.
- ▶ $\vdash_i \neg(\neg I^g \wedge \neg I'^g) \rightarrow \neg(\neg I \wedge \neg I') \leftrightarrow \neg\neg(I \vee I')$.
- ▶ $\vdash_i \neg\forall x \neg I^g \rightarrow \neg\forall x \neg I \leftrightarrow \neg\neg\exists x I$.
- ▶ $\vdash_i (S^g \rightarrow I^g) \rightarrow (S \rightarrow \neg\neg I) \leftrightarrow \neg\neg(S \rightarrow I)$.

□

Leivant's conservative extension result

Theorem (Leivant 1985)

If $\Gamma \subseteq \mathcal{S}$ and $A \in \mathcal{W}$, then $\Gamma \vdash_c A$ implies $\Gamma \vdash_i A$.

Proof.

Suppose that $\Gamma \subseteq \mathcal{S}$ and $A \in \mathcal{W}$. Then $\Gamma \vdash_i B^g$ for each $B \in \Gamma$ and $A^g \vdash_i A$ by Proposition. Therefore, if $\Gamma \vdash_c A$, then $\Gamma^g \vdash_m A^g$, and so $\Gamma \vdash_i A$. □

A variant of the Gödel-Gentzen translation

Definition

The $*$ -negative translation $(\cdot)^*$ on the formulas of predicate logic is defined by $A^* \equiv A^g[\perp/*]$, that is,

- ▶ $\perp^* \equiv *$;
- ▶ $P^* \equiv \neg_* \neg_* P$ for P atomic;
- ▶ $(A \wedge B)^* \equiv A^* \wedge B^*$;
- ▶ $(A \vee B)^* \equiv \neg_*(\neg_* A^* \wedge \neg_* B^*)$;
- ▶ $(A \rightarrow B)^* \equiv A^* \rightarrow B^*$;
- ▶ $(\forall x A)^* \equiv \forall x A^*$;
- ▶ $(\exists x A)^* \equiv \neg_* \forall x \neg_* A^*$.

A variant of the Gödel-Gentzen translation

Lemma

$$\vdash_m A^* \leftrightarrow \neg_* \neg_* A^*.$$

Proof.

Note that \perp is treated as an arbitrary proposition letter in minimal logic and $A^* \leftrightarrow \neg_* \neg_* A^* \equiv (A^g \leftrightarrow \neg \neg A^g)[\perp/*]$. Since $\vdash_m A^g \leftrightarrow \neg \neg A^g$, we have $\vdash_m A^* \leftrightarrow \neg_* \neg_* A^*$. □

Proposition

If $\Gamma \vdash_c A$, then $\Gamma^ \vdash_m A^*$, where $\Gamma^* = \{B^* \mid B \in \Gamma\}$.*

Proof.

Since $\Gamma^* \equiv \Gamma^g[\perp/*]$ and $A^* \equiv A^g[\perp/*]$, if $\Gamma \vdash_c A$, then $\Gamma^g \vdash_m A^g$, and hence $\Gamma^* \vdash_m A^*$. □

Another conservative extension result

Definition

We define simultaneously classes \mathcal{Q} , \mathcal{R} , \mathcal{J} and \mathcal{K} of formulas as follows. Let P range over atomic formulas, Q and Q' over \mathcal{Q} , R and R' over \mathcal{R} , J and J' over \mathcal{J} , and K and K' over \mathcal{K} . Then \mathcal{Q} , \mathcal{R} , \mathcal{J} and \mathcal{K} are inductively generated by the clauses

- ▶ $\perp, P, Q \wedge Q', Q \vee Q', \forall xQ, \exists xQ, J \rightarrow Q \in \mathcal{Q}$;
- ▶ $\perp, R \wedge R', R \vee R', \forall xR, J \rightarrow R \in \mathcal{R}$;
- ▶ $\perp, P, J \wedge J', J \vee J', \exists xJ, R \rightarrow J \in \mathcal{J}$;
- ▶ $J, K \wedge K', \forall xK, Q \rightarrow K \in \mathcal{K}$.

Another conservative extension result

Lemma

- ▶ $\vdash_m (A \rightarrow B) \rightarrow (\neg_* \neg_* A \rightarrow \neg_* \neg_* B),$
- ▶ $\vdash_m (\neg_* \neg_* A \rightarrow \neg_* \neg_* B) \leftrightarrow (A \rightarrow \neg_* \neg_* B),$
- ▶ $\vdash_m \neg_* \neg (A \rightarrow B) \rightarrow (\neg_* \neg_* A \rightarrow \neg_* \neg B),$
- ▶ $\vdash_i (\neg_* \neg A \rightarrow \neg_* \neg_* B) \rightarrow \neg_* \neg_* (A \rightarrow B).$

Another conservative extension result

Proposition

- ▶ If $A \in \mathcal{Q}$, then $\vdash; A \rightarrow A^*$;
- ▶ If $A \in \mathcal{R}$, then $\vdash; \neg_* \neg A \rightarrow A^*$;
- ▶ If $A \in \mathcal{J}$, then $\vdash; A^* \rightarrow \neg_* \neg_* A$.

Another conservative extension result

Proof.

By simultaneous induction on the definition of \mathcal{Q} , \mathcal{R} and \mathcal{J} .

Basis: $\vdash_i \perp \rightarrow *$, $\vdash_m P \rightarrow \neg_* \neg_* P$, $\vdash_m \neg_* \neg \perp \rightarrow *$, and
 $\vdash_m * \rightarrow \neg_* \neg_* \perp$.

Induction step:

- ▶ $\vdash_i (J \rightarrow Q) \rightarrow (\neg_* \neg_* J \rightarrow \neg_* \neg_* Q) \leftrightarrow (J^* \rightarrow \neg_* \neg_* Q^*) \leftrightarrow (J^* \rightarrow Q^*)$,
- ▶ $\vdash_i \neg_* \neg (J \rightarrow R) \rightarrow (\neg_* \neg_* J \rightarrow \neg_* \neg R) \rightarrow (J^* \rightarrow R^*)$,
- ▶ $\vdash_i (R^* \rightarrow J^*) \rightarrow (\neg_* \neg R \rightarrow \neg_* \neg_*) \rightarrow \neg_* \neg_* (R \rightarrow J)$.

□

Another conservative extension result

A set Γ of formulas is closed under $(\cdot)^*$ if $\Gamma \vdash_i A^*[* / C]$ for each $A \in \Gamma$ and C being free for $*$ in A^* .

Theorem (I 2000)

If Γ is a set of formulas closed under $(\cdot)^$ and $A \in \mathcal{K}$, then $\Gamma \vdash_c A$ implies $\Gamma \vdash_i A$.*

Corollary

If $\Gamma \subseteq \mathcal{Q}$ and $A \in \mathcal{K}$, then $\Gamma \vdash_c A$ implies $\Gamma \vdash_i A$.

Another conservative extension result

Proof of Theorem.

By induction on the definition of \mathcal{K} .

Basis: Suppose that $\Gamma \vdash_c J$ and $J \in \mathcal{J}$. Then $\Gamma^* \vdash_m J^*$, and hence $\Gamma^* \vdash_i \neg_* \neg_* J$. Therefore $\Gamma^* [* / J] \vdash_i (\neg_* \neg_* J) [* / J] \equiv (J \rightarrow J) \rightarrow J$, and, since Γ is closed under $(\cdot)^*$, we have $\Gamma \vdash_i J$.

Induction step:

- ▶ Suppose that $\Gamma \vdash_c K \wedge K'$. Then $\Gamma \vdash_c K$ and $\Gamma \vdash_c K'$, and hence $\Gamma \vdash_i K$ and $\Gamma \vdash_i K'$ by induction hypothesis. Thus $\Gamma \vdash_i K \wedge K'$.
- ▶ Suppose that $\Gamma \vdash_c \forall x K$. Then $\Gamma \vdash_c K$, and hence $\Gamma \vdash_i K$ by induction hypothesis. Thus $\Gamma \vdash_i \forall x K$.
- ▶ Suppose that $\Gamma \vdash_c Q \rightarrow K$. Then $\Gamma \cup \{Q\} \vdash_c K$, and therefore, since $\Gamma \cup \{Q\}$ is closed under $(\cdot)^*$, we have $\Gamma \cup \{Q\} \vdash_i K$ by induction hypothesis. Thus $\Gamma \vdash_i Q \rightarrow K$.



Application (Barr's theorem)

Definition

We define classes \mathcal{G} and \mathcal{G}_I of geometric formulas and geometric implications, respectively, as follows. Let P range over atomic formulas, G and G' over \mathcal{G} and G_I over \mathcal{G}_I . Then \mathcal{G} and \mathcal{G}_I are inductively generated by the clauses

- ▶ $\perp, \top, P, G \wedge G', G \vee G', \exists xG \in \mathcal{G}$;
- ▶ $G \rightarrow G', \forall xG_I \in \mathcal{G}_I$,

where $\top \equiv \perp \rightarrow \perp$.

Theorem (Barr's theorem)

If $\Gamma \subseteq \mathcal{G}_I$ and $A \in \mathcal{G}_I$, then $\Gamma \vdash_c A$ implies $\Gamma \vdash_i A$.

Proof.

Note that $\mathcal{G} \subseteq \mathcal{Q} \cap \mathcal{J}$, and hence $\mathcal{G}_I \subseteq \mathcal{Q} \cap \mathcal{K}$. □

Application (first-order arithmetic)

Theorem

If $A \in \mathcal{K}$, then $\mathbf{PA} \vdash A$ implies $\mathbf{HA} \vdash A$.

Proof.

The axioms and the axiom schema of first-order arithmetic are closed under $(\cdot)^*$. □

Corollary

\mathbf{PA} is conservative over \mathbf{HA} with respect to Π_2^0 formulas, and, moreover, the following form of formulas.

$$\forall x[\forall u_1 \exists v_1 \dots \forall u_n \exists v_n (s(\vec{u}, \vec{v}, x) = 0) \rightarrow \exists y (t(x, y) = 0)].$$

Proof.

Π_2^0 formulas and the formulas of the above form are in \mathcal{K} . □

Application (first-order arithmetic)

Moreover, we can extend the class \mathcal{R} (and hence the classes \mathcal{J} , \mathcal{Q} and \mathcal{K}) by the clause

$$\perp, P, R \wedge R', R \vee R', \forall x R, J \rightarrow R \in \mathcal{R},$$

because, for atomic P , since $\mathbf{HA} \vdash P \vee \neg P$, we have $\mathbf{HA} \vdash \neg_* \neg P \rightarrow P^*$, and the following proposition holds for the extended classes in \mathbf{HA} .

Proposition

- ▶ If $A \in \mathcal{Q}$, then $\mathbf{HA} \vdash A \rightarrow A^*$;
- ▶ If $A \in \mathcal{R}$, then $\mathbf{HA} \vdash \neg_* \neg A \rightarrow A^*$;
- ▶ If $A \in \mathcal{J}$, then $\mathbf{HA} \vdash A^* \rightarrow \neg_* \neg_* A$.

Schwichtenberg's question

Helmut Schwichtenberg has asked about a possibility of extending the classes \mathcal{R} and \mathcal{J} , defined by the clauses

- ▶ $\perp, R \wedge R', R \vee R', \forall xR, J \rightarrow R \in \mathcal{R}$;
- ▶ $\perp, P, J \wedge J', J \vee J', \exists xJ, R \rightarrow J \in \mathcal{J}$,

by introducing \exists and \forall in the clauses, respectively, to the classes \mathcal{R}_0 and \mathcal{J}_0 , defined by

- ▶ $\perp, R \wedge R', R \vee R', \forall xR, \exists xR, J \rightarrow R \in \mathcal{R}_0$;
- ▶ $\perp, P, J \wedge J', J \vee J', \forall xJ, \exists xJ, R \rightarrow J \in \mathcal{J}_0$.

Intuitionistic sequent calculus **G3i**

$$P, \Gamma \Rightarrow P \quad Ax$$

$$\frac{A, B, \Gamma \Rightarrow C}{A \wedge B, \Gamma \Rightarrow C} \quad L\wedge$$

$$\frac{A, \Gamma \Rightarrow C \quad B, \Gamma \Rightarrow C}{A \vee B, \Gamma \Rightarrow C} \quad L\vee$$

$$\frac{A \rightarrow B, \Gamma \Rightarrow A \quad B, \Gamma \Rightarrow C}{A \rightarrow B, \Gamma \Rightarrow C} \quad L\rightarrow$$

$$\perp, \Gamma \Rightarrow A \quad L\perp$$

$$\frac{\Gamma \Rightarrow A \quad \Gamma \Rightarrow B}{\Gamma \Rightarrow A \wedge B} \quad R\wedge$$

$$\frac{\Gamma \Rightarrow A}{\Gamma \Rightarrow A \vee B} \quad R\vee_1 \quad \frac{\Gamma \Rightarrow B}{\Gamma \Rightarrow A \vee B} \quad R\vee_2$$

$$\frac{A, \Gamma \Rightarrow B}{\Gamma \Rightarrow A \rightarrow B} \quad R\rightarrow$$

where in Ax , P is atomic.

Intuitionistic sequent calculus **G3i**

$$\frac{\forall xA, A[x/t], \Gamma \Rightarrow C}{\forall xA, \Gamma \Rightarrow C} \text{L}\forall \quad \frac{\Gamma \Rightarrow A[x/y]}{\Gamma \Rightarrow \forall xA} \text{R}\forall$$
$$\frac{A[x/y], \Gamma \Rightarrow C}{\exists xA, \Gamma \Rightarrow C} \text{L}\exists \quad \frac{\Gamma \Rightarrow A[x/t]}{\Gamma \Rightarrow \exists xA} \text{R}\exists$$

where in $\text{R}\forall$, $y \notin \text{FV}(\Gamma)$, $y \equiv x$ or $y \notin \text{FV}(A)$, and in $\text{L}\exists$, $y \notin \text{FV}(\Gamma, C)$, $y \equiv x$ or $y \notin \text{FV}(A)$.

We denote by

$$\vdash_i \Gamma \Rightarrow A$$

that there is a deduction of the sequent $\Gamma \Rightarrow A$ in **G3i**.

Note that

$$\vdash_i \Gamma \Rightarrow A \text{ if and only if } \Gamma \vdash_i A.$$

Classical sequent calculus **G3c**

$$\begin{array}{c} P, \Gamma \Rightarrow \Delta, P \quad Ax \\ \frac{A, B, \Gamma \Rightarrow \Delta}{A \wedge B, \Gamma \Rightarrow \Delta} L\wedge \\ \frac{A, \Gamma \Rightarrow \Delta \quad B, \Gamma \Rightarrow \Delta}{A \vee B, \Gamma \Rightarrow \Delta} L\vee \\ \frac{\Gamma \Rightarrow \Delta, A \quad B, \Gamma \Rightarrow \Delta}{A \rightarrow B, \Gamma \Rightarrow \Delta} L\rightarrow \end{array} \quad \begin{array}{c} \perp, \Gamma \Rightarrow \Delta \quad L\perp \\ \frac{\Gamma \Rightarrow \Delta, A \quad \Gamma \Rightarrow \Delta, B}{\Gamma \Rightarrow \Delta, A \wedge B} R\wedge \\ \frac{\Gamma \Rightarrow \Delta, A, B}{\Gamma \Rightarrow \Delta, A \vee B} R\vee \\ \frac{A, \Gamma \Rightarrow \Delta, B}{\Gamma \Rightarrow \Delta, A \rightarrow B} R\rightarrow \end{array}$$

where in Ax , P is atomic.

Classical sequent calculus **G3c**

$$\frac{\forall xA, A[x/t], \Gamma \Rightarrow \Delta}{\forall xA, \Gamma \Rightarrow \Delta} \text{L}\forall \qquad \frac{\Gamma \Rightarrow \Delta, A[x/y]}{\Gamma \Rightarrow \Delta, \forall xA} \text{R}\forall$$
$$\frac{A[x/y], \Gamma \Rightarrow \Delta}{\exists xA, \Gamma \Rightarrow \Delta} \text{L}\exists \qquad \frac{\Gamma \Rightarrow \Delta, A[x/t], \exists xA}{\Gamma \Rightarrow \Delta, \exists xA} \text{R}\exists$$

where in $\text{R}\forall$ and $\text{L}\exists$, $y \notin \text{FV}(\Gamma, \Delta)$, $y \equiv x$ or $y \notin \text{FV}(A)$.

We denote by

$$\vdash_c \Gamma \Rightarrow \Delta$$

that there is a deduction of the sequent $\Gamma \Rightarrow \Delta$ in **G3c**.

Note that

$$\vdash_c \Gamma \Rightarrow A \text{ if and only if } \Gamma \vdash_c A.$$

Structural rules

The structural rules (weakening, contraction and cut) are admissible in **G3c** and in **G3i**.

Those structural rules are formulated in **G3i** as follows:

$$\frac{\Gamma \Rightarrow C}{\Gamma, \Delta \Rightarrow C} \text{ LW} \quad \frac{A, A, \Gamma \Rightarrow C}{A, \Gamma \Rightarrow C} \text{ LC}$$

$$\frac{\Gamma \Rightarrow A \quad A, \Gamma' \Rightarrow C}{\Gamma, \Gamma' \Rightarrow C} \text{ Cut} .$$

Some conservative extension results

Definition

We define simultaneously classes \mathcal{R}_0 , \mathcal{J}_0 , \mathcal{Q}_m and \mathcal{K}_m ($m = 1, 2$) of formulas as follows. Let P range over atomic formulas and $*$, R and R' over \mathcal{R}_0 , J and J' over \mathcal{J}_0 , Q_m and Q'_m over \mathcal{Q}_m , and K_m and K'_m over \mathcal{K}_m ($m = 1, 2$). Then \mathcal{R}_0 , \mathcal{J}_0 , \mathcal{Q}_m and \mathcal{K}_m ($m = 1, 2$) are inductively generated by the clauses

- ▶ $\perp, R \wedge R', R \vee R', \forall xR, \exists xR, J \rightarrow R \in \mathcal{R}_0$;
- ▶ $\perp, P, J \wedge J', J \vee J', \forall xJ, \exists xJ, R \rightarrow J \in \mathcal{J}_0$;
- ▶ $P, R, Q_1 \wedge Q'_1, Q_1 \vee Q'_1, \exists xQ_1, J \rightarrow Q_1 \in \mathcal{Q}_1$;
- ▶ $P, R, Q_2 \wedge Q'_2, \forall xQ_2, \exists xQ_2, J \rightarrow Q_2 \in \mathcal{Q}_2$;
- ▶ $J, K_m \wedge K'_m, \forall xK_m, Q_m \rightarrow K_m \in \mathcal{K}_m$ ($m = 1, 2$).

Some conservative extension results

Proposition

If either $\Gamma \subseteq \mathcal{Q}_1$ or $\Gamma \subseteq \mathcal{Q}_2$, $\Delta \subseteq \mathcal{R}_0$ and $\Sigma \subseteq \mathcal{J}_0$, then $\vdash_c \Gamma, \Delta \Rightarrow \Sigma$ implies $\vdash_i \Gamma, \neg_ \neg \Delta, \neg_* \Sigma \Rightarrow *$.*

Proof.

By induction on the depth of a deduction of $\vdash_c \Gamma, \Delta \Rightarrow \Sigma$. □

Some conservative extension results

Theorem (I 2011)

For each $m = 1, 2$, if $\Gamma \subseteq \mathcal{Q}_m$ and $A \in \mathcal{K}_m$, then $\vdash_c \Gamma \Rightarrow A$ implies $\vdash_i \Gamma \Rightarrow A$.

Proof.

By induction on the definition of \mathcal{K}_m .

Suppose that $A \in \mathcal{J}_0$ and $\vdash_c \Gamma \Rightarrow A$. Then $\vdash_i \Gamma, \neg_* A \Rightarrow *$, by Proposition. Therefore, since A is free for $*$ in $\Gamma, \neg_* A, *$, we have

$$\vdash_i \Gamma, A \rightarrow A \Rightarrow A,$$

and so $\vdash_i \Gamma \Rightarrow A$. □

Positive and negative occurrences

- ▶ C occurs positively in C ;
- ▶ if C occurs positively and negatively in A or in B , then C occurs positively and negatively, respectively, in $A \wedge B$ and in $A \vee B$;
- ▶ if C occurs negatively in A or positively in B , and positively in A or negatively in B , then C occurs positively, and negatively, respectively, in $A \rightarrow B$;
- ▶ if C occurs positively and negatively in A , then C occurs positively and negatively, respectively, in $\forall xA$ and in $\exists xA$.

Strictly positive occurrences

- ▶ C occurs strict positively in C ;
- ▶ if C occurs strict positively in A or in B , then C occurs strict positively in $A \wedge B$ and in $A \vee B$;
- ▶ if C occurs strict positively in B , then C occurs strict positively in $A \rightarrow B$;
- ▶ if C occurs strict positively in A , then C occurs strict positively in $\forall xA$ and in $\exists xA$.

Some conservative extension results

Lemma

If $\vdash_i *^n, \Gamma, \neg_* \Delta \Rightarrow A$, where $*^n$ stands for n copies of $*$, and $*$ does not occur in Γ negatively nor positively in A , then $\vdash_i \Gamma \Rightarrow A$.

Proof.

By induction on the depth of a deduction of $\vdash_i *^n, \Gamma, \neg_* \Delta \Rightarrow A$. □

Lemma

If $\vdash_i \Gamma, \neg_* A[x/y], \neg_* \Delta \Rightarrow *$, where $*$ does not occur in the antecedent negatively, there is no strictly positive occurrence of \forall in Γ , and $y \notin \text{FV}(\Gamma)$, $y \equiv x$ or $y \notin \text{FV}(A)$, then $\vdash_i \Gamma, \neg_* \forall x A, \neg_* \Delta \Rightarrow *$.

Proof.

By induction on the depth of a deduction of $\vdash_i \Gamma, \neg_* A[x/y], \neg_* \Delta \Rightarrow *$. □

Some conservative extension results

Lemma

If $\vdash_i \Gamma, \neg_ A, \neg_* \Delta \Rightarrow *$, where $*$ does not occur in the antecedent negatively, and there is no strictly positive occurrence of \forall in Γ , then either $\vdash_i \Gamma \Rightarrow A$, or $\vdash_i \Gamma, \neg_* \Delta \Rightarrow *$.*

Proof.

By induction on the depth of a deduction of $\vdash_i \Gamma, \neg_* A, \neg_* \Delta \Rightarrow *$. □

Corollary

If $\vdash_i \Gamma, \neg_ A[x/y], \neg_* \Delta \Rightarrow *$, where $*$ does not occur in the antecedent negatively, there is no strictly positive occurrence of \forall in Γ , and $y \notin \text{FV}(\Gamma)$, $y \equiv x$ or $y \notin \text{FV}(A)$, then $\vdash_i \Gamma, \neg_* \forall x A, \neg_* \Delta \Rightarrow *$.*