A Unified View of Pure Type Systems' Conversion

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Pure Type Systems Conversion

$$\begin{array}{lll}
M, N & ::= & x \mid \lambda x^{A}.M \mid M N \\
A, B & ::= & a \mid A \to B \\
\Gamma & ::= & \emptyset \mid \Gamma, x : A
\end{array}$$

$$\frac{\Gamma(x) = A}{\Gamma \vdash x : A} & \frac{\Gamma, x : A \vdash M : B}{\Gamma \vdash \lambda x^{A}.M : A \to B} & \frac{\Gamma \vdash M : A \to B}{\Gamma \vdash M N : B}$$

id
$$A == \lambda x^A \cdot x : A \to A$$

$$M, N ::= x | \lambda x^{A}.M | M N | \Lambda a.M | M A$$

$$A, B ::= a | A \to B | \forall a, B$$

$$\Gamma ::= \emptyset | \Gamma, x : A$$

$$\frac{\Gamma(x) = A}{\Gamma \vdash x : A} \qquad \frac{\Gamma, x : A \vdash M : B}{\Gamma \vdash \lambda x^{A}.M : A \to B} \qquad \frac{\Gamma \vdash M : A \to B \qquad \Gamma \vdash N : A}{\Gamma \vdash M N : B}$$

$$\frac{\Gamma \vdash M : B}{\Gamma \vdash \Lambda a.M : \forall, a B} \qquad \frac{\Gamma \vdash M : \forall A, B}{\Gamma \vdash M A : B[A/a]}$$

 $id == \Lambda A.\lambda x^A.x : \forall A, A \to A$

. . .







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Pure Type Systems have been built to *unify* all these different presentations in a single system:

- PTSs are an abstraction of Barendregt's λ -cube, presented independently by Berardi and Terlouw.
- To be able to deal with all the different type systems, PTSs have parameters that describe which type is valid: *Sorts*, *Ax* and *Rel*.

[†]We write $A \rightarrow B$ when B does not depend on the input.

$$\frac{\overline{\emptyset}_{wf}}{\overline{\emptyset}_{wf}} \quad \frac{\Gamma \vdash A : s \quad x \notin Dom(\Gamma)}{(\Gamma, x : A)_{wf}} \quad \frac{\Gamma_{wf} \quad (s, t) \in \mathcal{A}x}{\Gamma \vdash s : t} \quad \frac{\Gamma_{wf} \quad \Gamma(x) = A}{\Gamma \vdash x : A} \\
\frac{\Gamma \vdash A : s \quad \Gamma, x : A \vdash B : t}{(s, t, u) \in \mathcal{R}el} \quad \frac{\Gamma, x : A \vdash B : t}{\Gamma \vdash \lambda x^A \cdot M : \Pi x^A \cdot B} \\
\frac{\Gamma \vdash A : s \quad \Gamma, x : A \vdash B : t \quad (s, t, u) \in \mathcal{R}el}{\Gamma \vdash \Pi x^A \cdot B : u} \\
\frac{\Gamma \vdash M : \Pi x^A \cdot B \quad \Gamma \vdash N : A}{\Gamma \vdash M : B | N/x|} \quad \frac{\Gamma \vdash M : A \quad A \stackrel{\beta}{=} B \quad \Gamma \vdash B : s}{\Gamma \vdash M : B}$$

Difference with STLC

STLC		PTS	
$\frac{\Gamma, x : A \vdash M : B}{\Gamma \vdash \lambda x^A . M : A \to B} \Rightarrow$		$\frac{\Gamma \vdash \Pi x^{\mathcal{A}}.B: s \qquad \Gamma, x: \mathcal{A} \vdash \mathcal{M}: B}{\Gamma \vdash \lambda x^{\mathcal{A}}.M: \Pi x^{\mathcal{A}}.B}$	
$\frac{\Gamma \vdash M : A \to B \qquad \Gamma \vdash N : A}{\Gamma \vdash M N : B}$	\Rightarrow	$\frac{\Gamma \vdash M : \Pi x^A . B \qquad \Gamma \vdash N : A}{\Gamma \vdash M N : B[N/x]}$	

Difference with STLC

STLC		PTS	
$\frac{\Gamma, \boldsymbol{x} : \boldsymbol{A} \vdash \boldsymbol{M} : \boldsymbol{B}}{\Gamma \vdash \lambda \boldsymbol{x}^{\boldsymbol{A}} . \boldsymbol{M} : \boldsymbol{A} \rightarrow \boldsymbol{B}}$	\Rightarrow	$\frac{\Gamma \vdash \Pi x^{\mathcal{A}}.B:s}{\Gamma \vdash \lambda x^{\mathcal{A}}.M:}$	
$\frac{\Gamma \vdash M : A \to B \qquad \Gamma \vdash N : A}{\Gamma \vdash M N : B}$	\Rightarrow	$\frac{\Gamma \vdash M : \Pi x^A . B}{\Gamma \vdash M N : E}$	

$$\frac{\Gamma \vdash M : A \qquad A =_{\beta} B \qquad \Gamma \vdash B : s}{\Gamma \vdash M : B} \text{ conv}$$

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• concat 4 4 1 1 = $_{\beta}$ [1,3,5,7,1,3,5,7] : list (4+4)

The conversion rule is here to *compute* at the level of types and change list (4+4) into list 8.

For example:

Type Correctness

If $\Gamma \vdash M : T$ then there is $s \in Sorts$ such that $T \equiv s$ or $\Gamma \vdash T : s$.

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If $\Gamma \vdash M : T$ then there is $s \in Sorts$ such that $T \equiv s$ or $\Gamma \vdash T : s$.

A more complex one:

Subject Reduction

If $\Gamma \vdash M : T$ and $M \rightarrow_{\beta} M'$ then $\Gamma \vdash M' : T$.

The proof of Subject-Reduction relies on the following property:

Injectivity of products

If
$$\Pi x^A B =_{\beta} \Pi x^C D$$
 then $A =_{\beta} C$ and $B =_{\beta} D$.

Proof.

By *Confluence* of β -reduction, there is M such that $\prod x^A . B \twoheadrightarrow_{\beta} M$ and $\prod x^C . D \twoheadrightarrow_{\beta} M$. By definition of $\twoheadrightarrow_{\beta}$, this implies that M is of the shape $\prod x^K . L$ and that $A \twoheadrightarrow_{\beta} K$, $C \twoheadrightarrow_{\beta} K$, $B \twoheadrightarrow_{\beta} L$ and $D \twoheadrightarrow_{\beta} L$.

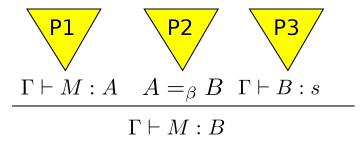
What if the path between A and B is "ill-typed" ?

$$\frac{\Gamma \vdash M : A \qquad A =_{\beta} B \qquad \Gamma \vdash B : s}{\Gamma \vdash M : B}$$

$$(\lambda x^{A'}.P) \ Q =_{\beta} P[Q/x]$$

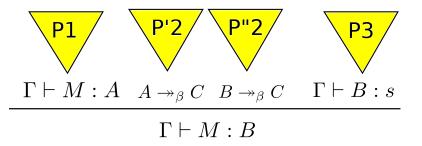
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Let's consider *P* to be the following proof of $\Gamma \vdash M : B$.



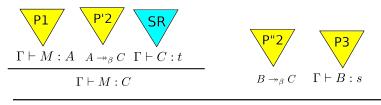
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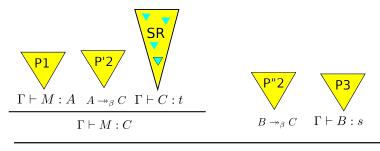
By Type Correctness and Subject Reduction:



 $\Gamma \vdash M : B$

What if the path between A and B is "ill-typed" ?

But Subject Reduction introduces new harmful conversions:



 $\Gamma \vdash M : B$

• One for terms: $\Gamma \vdash_e M : T$

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$$\frac{\Gamma \vdash_{e} M : A \qquad \Gamma \vdash_{e} A =_{\beta} B : s}{\Gamma \vdash_{e} M : B}$$

Untyped β -equality is quite "small":

$$(\lambda x^{\mathcal{A}}.M) N =_{\beta} M[N/x]$$

$$\frac{A =_{\beta} A' \qquad M =_{\beta} M'}{\lambda x^{A} \cdot M =_{\beta} \lambda x^{A'} \cdot M'}$$

Typed β -equality is notably "bigger":

$$\begin{array}{c} \Gamma, x : A \vdash_{e} M : B \quad \Gamma \vdash_{e} N : A \\ \hline \Gamma \vdash_{e} A : s \quad \Gamma, x : A \vdash_{e} B : t \quad (s, t, u) \in \mathcal{R}el \\ \hline \Gamma \vdash_{e} (\lambda x^{A}.M)N =_{\beta} M[N/x] : B[N/x] \\ \hline \begin{array}{c} \Gamma \vdash_{e} A =_{\beta} A' : s \quad \Gamma, x : A \vdash_{e} M =_{\beta} M' : B \\ \hline \Gamma, x : A \vdash_{e} B : t \quad (s, t, u) \in \mathcal{R}el \\ \hline \Gamma \vdash_{e} \lambda x^{A}.M =_{\beta} \lambda x^{A'}.M' : \Pi x^{A}.B \end{array}$$

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Almost all the properties that we know about PTSs are easily proved valid for PTSe, and there are new ones:

Left-hand/Right-hand reflexivity

If $\Gamma \vdash_e M =_{\beta} N : T$ then $\Gamma \vdash_e M : T$ and $\Gamma \vdash_e N : T$.

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Left-hand/Right-hand reflexivity

If $\Gamma \vdash_e M =_{\beta} N : T$ then $\Gamma \vdash_e M : T$ and $\Gamma \vdash_e N : T$.

However, Subject Reduction is really troublesome to prove:

Typed Subject Reduction:

If $\Gamma \vdash_{e} M : T$ and $M \rightarrow_{\beta} N$, then $\Gamma \vdash_{e} M =_{\beta} N : T$.

To prove Subject-Reduction for PTS, we relied on Confluence, and more precisely on Π -injectivity. Now that we have a typed equality, we need a typed version of this injectivity property.

Proving it for PTS_e is a difficult problem since we can't do it in the same way as PTS: the proof would rely on (typed) *Confluence*,

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Non-fact: Strong Π-injectivity

If $\Gamma \vdash_e \Pi x^A . B =_{\beta} \Pi x^C . D : u$, then there are s, t such that $(s, t, u) \in \mathcal{R}el, \Gamma \vdash_e A =_{\beta} C : s$ and $\Gamma, x : A \vdash_e B =_{\beta} D : t$.

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- Proving it for PTS_e is a difficult problem since we can't do it in the same way as PTS: the proof would rely on (typed) *Confluence*, which relies on *Subject Reduction*, which relies on Π -Injectivity, which relies on ...

We do not know any direct proof of this fact in a direct manner.

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If we assume that this equality enjoys Π -injectivity, then it is enough to prove *Subject Reduction* for PTSe. Sadly, there is also no known proof of this fact at the moment.

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A more practical reason why we are looking for this equivalence is about *proof assistants*. Usually, the implementation is done with an untyped equality, whereas the consistency proof is done with a typed equality. Such an equivalence would bring closer the implementation from its theory.

Are PTSs and PTSe the same systems ?

[Geuvers93]

We prove by mutual induction that

- If $\Gamma \vdash_e M : T$ then $\Gamma \vdash M : T$.
- If $\Gamma \vdash_{e} M =_{\beta} N : T$ then $\Gamma \vdash M : T$, $\Gamma \vdash N : T$ and $M =_{\beta} N$.
- If Γ_{wf_e} then Γ_{wf} .

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Here we just "lose" some information, nothing difficult.

The other way around needs a way to "type" a β -equivalence into a judgmental equality:

- If $\Gamma \vdash M : T$ then $\Gamma \vdash_e M : T$.
- If $\Gamma \vdash M : T$, $\Gamma \vdash N : T$ and $M =_{\beta} N$ then $\Gamma \vdash_{e} M =_{\beta} N : T$.
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But can we ?

YES

[Siles 2010]

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YES

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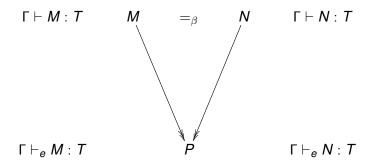
but its quite complex and not really intuitive.

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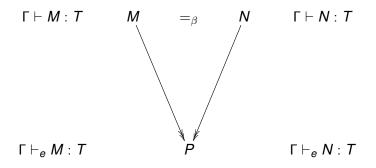
$PTS \rightarrow PTS_e$: How do we do this ?

$\Gamma \vdash M : T$ M $=_{\beta}$ N $\Gamma \vdash N : T$

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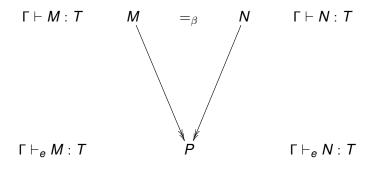


$\mathsf{PTS} \to \mathsf{PTS}_e$: How do we do this ?



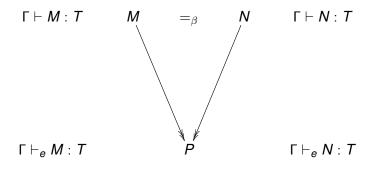
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$\mathsf{PTS} \to \mathsf{PTS}_e$: How do we do this ?



- P is well-typed in PTS by Subject Reduction.
- Is *P* well-typed in PTSe ?

$\mathsf{PTS} \to \mathsf{PTS}_e$: How do we do this ?



- P is well-typed in PTS by Subject Reduction.
- Is P well-typed in PTSe ?
- How do we type $M =_{\beta} P$ and $N =_{\beta} P$ in PTSe ?

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- A first syntactical criterion was shown for a subclass of PTSs [Adams06] called *functional* PTSs, by adding annotations inside the syntax of terms.

- Early attempts to prove such an equivalence did not aim at the whole generality of PTSs, and were based on the construction of a model [Geuvers93,Goguen94].
- A first syntactical criterion was shown for a subclass of PTSs [Adams06] called *functional* PTSs, by adding annotations inside the syntax of terms.
- By using the same intermediate system, Herbelin and I extended this result to other subclasses of PTSs called *semi-full* and *full*.

Adams introduced an additional annotation inside the applications: $M, N, A, B ::= x \mid \lambda x^A . M \mid M_{(x)B} N \mid \Pi x^A . B \mid s$ Adams introduced an additional annotation inside the applications: $M, N, A, B ::= x \mid \lambda x^A . M \mid M_{(x)B} N \mid \Pi x^A . B \mid s$

Also, its system called *Typed Parallel One Step Reduction* is no longer based on equality but on *reduction*:

$$\frac{\Gamma \vdash M \rhd N : A \qquad \Gamma \vdash A \cong B : s}{\Gamma \vdash M \rhd N : B}$$

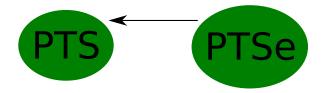
$$\frac{\Gamma \vdash A \rhd A' : s \qquad \Gamma, x : A \vdash B \rhd B' : t}{\Gamma, x : A \vdash M \rhd M' : B \qquad \Gamma \vdash N \rhd N' : A \qquad (s, t, u) \in \mathcal{R}el}$$

$$\frac{\Gamma \vdash (\lambda x^A \cdot M)_{(x)B} N \rhd M' [N'/x] : B[N/x]}{\Gamma \vdash (\lambda x^A \cdot M)_{(x)B} N \rhd M' [N'/x] : B[N/x]}$$



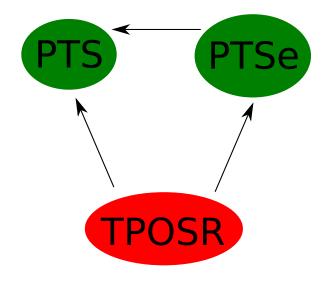


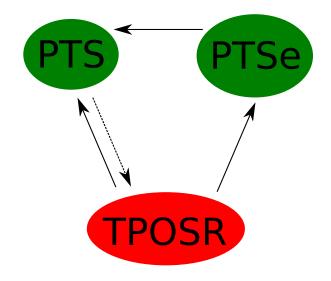
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The idea is to prove that:

- TPOSR's equality is Confluent.
- TPOSR's equality has *Injectivity of* Π-types.
- TPOSR has Subject-Reduction.
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$$\frac{\Gamma \vdash A \cong A' : s}{\Gamma \vdash N \rhd N' : B} \frac{\Gamma \vdash N \rhd N' : A}{\Gamma \vdash (\lambda x^A \cdot M)_{\Pi x^{A'} \cdot B} N \rhd M'[N'/x] : B[N/x]}$$

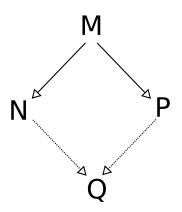
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- Our idea is to extend the annotation on application: $M_{\Pi x^{A},B} N$.
- And we have to change the typing rule to deal with this new annotation:

$$\frac{ \Gamma \vdash A_0 \rhd^+ A : s \quad \Gamma \vdash A_0 \rhd^+ A' : s }{ \Gamma \vdash X \rhd N' : A \vdash M \rhd M' : B \quad \Gamma \vdash N \rhd N' : A }$$

Diamond property for PTS_{atr}

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$$\Gamma \vdash MN : \Pi x^{A}.B \Rightarrow \exists C, \exists D,$$
$$\Gamma \vdash M : \Pi x^{C}.D \land \Pi x^{A}.B =_{\beta} \Pi x^{C}.D$$

Diamond property for PTS_{atr}

$$\Gamma \vdash_{e} MN : \Pi x^{A}.B \Rightarrow \exists C, \exists D,$$
$$\Gamma \vdash_{e} M : \Pi x^{C}.D \land \Gamma \vdash_{e} \Pi x^{A}.B =_{\beta} \Pi x^{C}.D$$

Diamond property for PTS_{atr}

$$\Gamma \vdash M_{\Pi x^{A}.B}N \vartriangleright M'_{\Pi x^{A'}.B'}N' : B[N/x] \Rightarrow$$
$$\Gamma \vdash M \vartriangleright M' : \Pi x^{A}.B$$

Diamond property for PTS_{atr}

If $\Gamma \vdash M \rhd N : A$ and $\Gamma \vdash M \rhd P : B$ then there is Q such that $\Gamma \vdash N \rhd Q : A, B$ and $\Gamma \vdash P \rhd Q : A, B$.

As a direct consequence:

Π-Injectivity for PTS_{atr}

If $\Gamma \vdash \Pi x^A . B \cong \Pi x^C . D$ then $\Gamma \vdash A \cong C$ and $\Gamma, x : A \vdash B \cong D$.

Typed Subject Reduction and annotations

As we said before, the key point of the equivalence is the *Subject Reduction* of the typed system:

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The proof is almost the same as the usual one for PTSs. Some additional work is required for the β case: we need to provide the A_0 that links both annotations.

$$\frac{\dots}{\Gamma \vdash (\lambda x^{A}.M)_{\Pi x^{A'}.B}N \vartriangleright M'[N'/x] : B[N/x]}$$

Equivalence between PTSs and PTS_{atr}

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From PTS to PTS_{atr}

If $\Gamma \vdash M : T$, then there is Γ^*, M^* and T^* such that $\Gamma^* \vdash M^* \triangleright M^* : T^*$, where $|\Gamma^*| \equiv \Gamma$, $|M^*| \equiv M$ and $|T^*| \equiv T$.

- It is easy to translate a PTS_{atr} judgment into PTSs (same kind of erasure than PTSe).
- However, from usual PTSs, we need to compute the additional annotations needed by PTS_{atr}:

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• How do we compute the valid Γ^* , M^* and T^* ?.

The proof is done by induction:

$$\frac{\Gamma \vdash A : s \qquad \Gamma, x : A \vdash B : t \qquad (s, t, u) \in \mathcal{R}el}{\Gamma \vdash \Pi x^A . B : u}$$

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By induction, we have:

• Γ_1 , A_1 such that $\Gamma_1 \vdash A_1 \triangleright A_1 : s$, $|\Gamma_1| \equiv \Gamma$ and $|A_1| \equiv A$.

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$$\frac{\Gamma \vdash A : s \qquad \Gamma, x : A \vdash B : t \qquad (s, t, u) \in \mathcal{R}el}{\Gamma \vdash \Pi x^A . B : u}$$

By induction, we have:

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- Γ₂, A₂ and B₂ such that Γ₂, x : A₂ ⊢ B₂ ⊳ B₂ : t, |Γ₂| ≡ Γ, |A₂| ≡ A and |B₂| ≡ B.

The proof is done by induction:

$$\frac{\Gamma \vdash A : s \qquad \Gamma, x : A \vdash B : t \qquad (s, t, u) \in \mathcal{R}el}{\Gamma \vdash \Pi x^A . B : u}$$

By induction, we have:

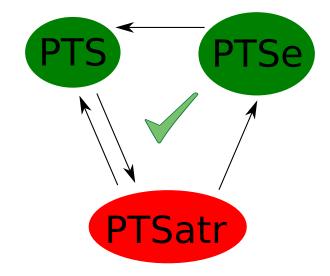
- Γ_1 , A_1 such that $\Gamma_1 \vdash A_1 \triangleright A_1 : s$, $|\Gamma_1| \equiv \Gamma$ and $|A_1| \equiv A$.
- Γ_2 , A_2 and B_2 such that Γ_2 , $x : A_2 \vdash B_2 \triangleright B_2 : t$, $|\Gamma_2| \equiv \Gamma$, $|A_2| \equiv A$ and $|B_2| \equiv B$.
- We need a way to glue things together:

Erased Conversion

If $|A| \equiv |B|$, and if A and B are well-formed types in PTS_{atr}, then $\Gamma \vdash A \cong B$.

The proof of this lemma is very technical, and the most difficult proof of my thesis.

Complete Equivalence



V. Siles, GU-Chalmers

Pure Type Systems Conversion

Complete Equivalence: $\begin{cases} \Gamma \vdash_{e} M : T & iff \quad \Gamma \vdash M : T \\ \Gamma \vdash_{e} M =_{\beta} N : T & iff \quad \Gamma \vdash M : T, \Gamma \vdash N : T \text{ and } M =_{\beta} N \\ \Gamma_{wf} & iff \quad \Gamma_{wf_{e}} \end{cases}$

Complete Equivalence: $\begin{cases} \Gamma \vdash_{e} M : T & iff \quad \Gamma \vdash M : T \\ \Gamma \vdash_{e} M =_{\beta} N : T & iff \quad \Gamma \vdash M : T, \Gamma \vdash N : T \text{ and } M =_{\beta} N \\ \Gamma_{wf} & iff \quad \Gamma_{wf_{e}} \end{cases}$

Proving Subject Reduction for PTSe is now trivial:

- If $\Gamma \vdash_{e} M : T$ and $M \rightarrow_{\beta} N$, then $\Gamma \vdash M : T$.
- By Subject Reduction in PTS, $\Gamma \vdash N : T$, so $\Gamma \vdash_e N : T$.
- Once again, by equivalence, $\Gamma \vdash_e M =_{\beta} N : T$.

Complete Equivalence: $\begin{cases} \Gamma \vdash_e M : T & iff \quad \Gamma \vdash M : T \\ \Gamma \vdash_e M =_{\beta} N : T & iff \quad \Gamma \vdash M : T, \Gamma \vdash N : T \text{ and } M =_{\beta} N \\ \Gamma_{wf} & iff \quad \Gamma_{wf_e} \end{cases}$

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- If $\Gamma \vdash_{e} M : T$ and $M \rightarrow_{\beta} N$, then $\Gamma \vdash M : T$.
- By Subject Reduction in PTS, $\Gamma \vdash N : T$, so $\Gamma \vdash_e N : T$.
- Once again, by equivalence, $\Gamma \vdash_e M =_{\beta} N : T$.

Corollary: Weak П-Injectivity

If $\Gamma \vdash_{e} \Pi x^{A}.B =_{\beta} \Pi x^{C}.D$ then $\Gamma \vdash_{e} A =_{\beta} C$ and $\Gamma, x : A \vdash_{e} B =_{\beta} D$.

Formalizing such theorem in a proof assistant has proved to be quite helpfull (if not mandatory):

- You do the non-interesting things once (handling binders, weakening, substitution,...)
- So you can only focus on the interesting (design a new system, adapt proofs,...)

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What to bring home:

- + PTS and PTS_e are equivalent: you can choose what's best for you.
- The proof of equivalence is completely syntactic and (I think) too complex.
- Trying to extend the system (e.g. with subtyping of sorts, η-conversion, ...) will certainly break the proof: it doesn't scale nicely.
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That's all folks, thank you for your time !