

I217: Functional Programming  
13. Verification of Arithmetic Calculator  
Compiler

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## Roadmap

- Correctness of Compiler
- Arithmetic Calculator
- Verification of Arithmetic Calculator Compiler

## Correctness of Compiler

What is the correctness of a compiler  $C$  of a programming language  $L$ ?

$C$  takes a program  $p$  in  $L$  and generates a list of instructions that can be executed on a (virtual) machine  $M$ . Let  $C(p)$  be the list of instructions and  $M(C(p))$  be the result obtained by executing  $C(p)$  on  $M$ .

One possible definition of the correctness of  $C$ :  $M(C(p))$  is correct for all programs  $p$ .

How do we define that  $M(C(p))$  is correct?

## Correctness of Compiler

Another way to execute  $p$ : interpreting  $p$  based on the operational semantics of  $L$ . Let  $I$  be the interpreter of  $L$  and  $I(p)$  be the result obtained by interpreting  $p$  with  $I$ .

We regard  $I$  as the oracle to verify the correctness of  $C$ .

The (relative) correctness of  $C$  with respect to  $I$ : for all programs  $p$  in  $L$ ,  $M(C(p)) = I(p)$ .

We will verify that a compiler of an arithmetic calculator is correct.

## Arithmetic Calculator

Natural numbers a la Peano in which the division-by-zero exception is taken into account are first specified:

```

mod! PNAT principal-sort PNat&Err {
  [PZero NzPNat < PNat]
  [PNat ErrPNat < PNat&Err]
  op 0 : -> PZero {constr} .
  op s : PNat -> NzPNat {constr} .
  op errPNat : -> ErrPNat {constr} .
  --
  vars M N : PNat .
  vars ME NE : PNat&Err .

```

## Arithmetic Calculator

```

eq (0 = s(N)) = false .
eq (s(M) = s(N)) = (M = N) .
eq (errPNat = N) = false .

```

Note that for each sort  $S$  the following operator and equation are declared in advance:

```

op == : S S -> Bool {comm} .
eq (X = X) = true .

```

where  $X$  is a variable of  $S$ .

```

op if_then{ }else{ } : Bool PNat&Err PNat&Err -> PNat&Err .
eq if true then {ME} else {NE} = ME .
eq if false then {ME} else {NE} = NE .

```

## Arithmetic Calculator

**op**  $\_<\_$  :  $\text{PNat } \text{PNat} \rightarrow \text{Bool}$  .  
**eq**  $0 < 0 = \text{false}$  .  
**eq**  $0 < s(N) = \text{true}$  .  
**eq**  $s(M) < 0 = \text{false}$  .  
**eq**  $s(M) < s(N) = M < N$  .

**op**  $\_+ \_$  :  $\text{PNat } \text{PNat} \rightarrow \text{PNat}$  .  
**op**  $\_+ \_$  :  $\text{PNat\&Err } \text{PNat\&Err} \rightarrow \text{PNat\&Err}$  .  
**eq**  $0 + N = N$  .  
**eq**  $s(M) + N = s(M + N)$  .  
**eq**  $ME + \text{errPNat} = \text{errPNat}$  .  
**eq**  $\text{errPNat} + NE = \text{errPNat}$  .

## Arithmetic Calculator

**op**  $\_* \_$  :  $\text{PNat } \text{PNat} \rightarrow \text{PNat}$  .  
**op**  $\_* \_$  :  $\text{PNat\&Err } \text{PNat\&Err} \rightarrow \text{PNat\&Err}$  .  
**eq**  $0 * N = 0$  .  
**eq**  $s(M) * N = N + (M * N)$  .  
**eq**  $ME * \text{errPNat} = \text{errPNat}$  .  
**eq**  $\text{errPNat} * NE = \text{errPNat}$  .

**op**  $\_sd$  :  $\text{PNat } \text{PNat} \rightarrow \text{PNat}$  .  
**op**  $\_sd$  :  $\text{PNat\&Err } \text{PNat\&Err} \rightarrow \text{PNat\&Err}$  .  
**eq**  $sd(0,N) = N$  .  
**eq**  $sd(s(M),0) = s(M)$  .  
**eq**  $sd(s(M),s(N)) = sd(M,N)$  .  
**eq**  $sd(ME,\text{errPNat}) = \text{errPNat}$  .  
**eq**  $sd(\text{errPNat},NE) = \text{errPNat}$  .

## Arithmetic Calculator

```

op _quo_ : PNat PZero -> ErrPNat .
op _quo_ : PNat NzPNat -> PNat .
op _quo_ : PNat&Err PNat&Err -> PNat&Err .
eq M quo 0 = errPNat .
eq M quo s(N) = if M < s(N) then {0} else {s(sd(M,s(N)) quo s(N))} .
eq ME quo errPNat = errPNat .
eq errPNat quo NE = errPNat .

op _rem_ : PNat PZero -> ErrPNat .
op _rem_ : PNat NzPNat -> PNat .
op _rem_ : PNat&Err PNat&Err -> PNat&Err .
eq M rem 0 = errPNat .
eq M rem s(N) = if M < s(N) then {M} else {sd(M,s(N)) rem s(N)} .
eq ME rem errPNat = errPNat .
eq errPNat rem NE = errPNat . }

```

## Arithmetic Calculator

Expressions are defined as follows:

```

mod! EXP {
  [ExpPNat < Exp]
  op 0 : -> ExpPNat {constr} .
  op s : ExpPNat -> ExpPNat {constr}
  op +_ : Exp Exp -> Exp {constr l-assoc prec: 30} .
  op -_ : Exp Exp -> Exp {constr l-assoc prec: 30} .
  op *_ : Exp Exp -> Exp {constr l-assoc prec: 29} .
  op /_ : Exp Exp -> Exp {constr l-assoc prec: 29} .
  op %_ : Exp Exp -> Exp {constr l-assoc prec: 29} .
}

```

## Arithmetic Calculator

The interpreter is defined as follows:

```

mod! INTER { pr(PNAT) pr(EXP)
  op inter : ExpPNat -> PNat .
  op inter : Exp -> PNat&Err .
  var N : PNat . var EN : ExpPNat . vars E E1 E2 : Exp .
  eq inter(0) = 0 .
  eq inter(s(EN)) = s(inter(EN)) .
  eq inter(E1 + E2) = inter(E1) + inter(E2) .
  eq inter(E1 - E2) = sd(inter(E1),inter(E2)) .
  eq inter(E1 * E2) = inter(E1) * inter(E2) .
  eq inter(E1 / E2) = inter(E1) quo inter(E2) .
  eq inter(E1 % E2) = inter(E1) rem inter(E2) .
}

```

## Arithmetic Calculator

The instructions owned by the virtual machine are as follows:

```

mod! INSTR principal-sort Instr {
  pr(PNAT)
  [Instr]
  op push : PNat -> Instr {constr} .
  op add : -> Instr {constr} .
  op minus : -> Instr {constr} .
  op mult : -> Instr {constr} .
  op div : -> Instr {constr} .
  op mod : -> Instr {constr} .
}

```

## Arithmetic Calculator

Generic lists, lists of instructions and stacks of natural numbers are specified as follows:

```

mod! LIST (E :: TRIV) {
  [List]
  op nil : -> List {constr}
  op _|_ : Elt.E List -> List {constr} .
  op _@_ : List List -> List {assoc} .
  var E : Elt.E .
  vars L1 L2 : List .
  -- _@_
  eq nil @ L2 = L2 .
  eq (E | L1) @ L2 = E | (L1 @ L2) .
}

mod! ILIST {
  pr(LIST(INSTR)
    * {sort List -> IList})
}

mod! STACK {
  pr(LIST(PNAT)
    * {sort List -> Stack,
      op nil -> empstk})
}

```

## Arithmetic Calculator

The virtual machine is specified as follows:

```

mod! VM {
  pr(ILIST)
  pr(STACK)
  op vm : IList -> PNat&Err .
  op exec : IList Stack -> PNat&Err .
  var IL : IList .
  var PC : PNat .
  var Stk : Stack .
  var N : PNat .
  vars NE NE1 NE2 : PNat&Err .
}

```

## Arithmetic Calculator

**eq**  $\text{vm(IL)} = \text{exec(IL, empstk)}$  .

**eq**  $\text{exec(nil, empstk)} = \text{errPNat}$  .

**eq**  $\text{exec(nil, NE | empstk)} = \text{NE}$  .

**eq**  $\text{exec(nil, NE | NE1 | Stk)} = \text{errPNat}$  .

**eq**  $\text{exec(push(N) | IL, Stk)} = \text{exec(IL, N | Stk)}$  .

**eq**  $\text{exec(add | IL, empstk)} = \text{errPNat}$  .

**eq**  $\text{exec(add | IL, NE | empstk)} = \text{errPNat}$  .

**eq**  $\text{exec(add | IL, NE2 | NE1 | Stk)} = \text{exec(IL, NE1 + NE2 | Stk)}$  .

**eq**  $\text{exec(minus | IL, empstk)} = \text{errPNat}$  .

**eq**  $\text{exec(minus | IL, NE | empstk)} = \text{errPNat}$  .

**eq**  $\text{exec(minus | IL, NE2 | NE1 | Stk)} = \text{exec(IL, sd(NE1, NE2) | Stk)}$  .

## Arithmetic Calculator

**eq**  $\text{exec(mult | IL, empstk)} = \text{errPNat}$  .

**eq**  $\text{exec(mult | IL, NE | empstk)} = \text{errPNat}$  .

**eq**  $\text{exec(mult | IL, NE2 | NE1 | Stk)} = \text{exec(IL, NE1 * NE2 | Stk)}$  .

**eq**  $\text{exec(div | IL, empstk)} = \text{errPNat}$  .

**eq**  $\text{exec(div | IL, NE | empstk)} = \text{errPNat}$  .

**eq**  $\text{exec(div | IL, NE2 | NE1 | Stk)} = \text{exec(IL, NE1 quo NE2 | Stk)}$  .

**eq**  $\text{exec(mod | IL, empstk)} = \text{errPNat}$  .

**eq**  $\text{exec(mod | IL, NE | empstk)} = \text{errPNat}$  .

**eq**  $\text{exec(mod | IL, NE2 | NE1 | Stk)} = \text{exec(IL, NE1 rem NE2 | Stk)}$  .

}



## Arithmetic Calculator

The compiler is specified as follows:

```

mod! COMP { pr(EXP) pr(ILIST)
  op comp : Exp -> IList .
  op en2n : ExpPNat -> PNat .
  var EN : ExpPNat . vars E E1 E2 : Exp .
  eq comp(EN) = push(en2n(EN)) | nil .
  eq comp(E1 + E2) = comp(E1) @ comp(E2) @ (add | nil) .
  eq comp(E1 - E2) = comp(E1) @ comp(E2) @ (minus | nil) .
  eq comp(E1 * E2) = comp(E1) @ comp(E2) @ (mult | nil) .
  eq comp(E1 / E2) = comp(E1) @ comp(E2) @ (div | nil) .
  eq comp(E1 % E2) = comp(E1) @ comp(E2) @ (mod | nil) .
  eq en2n(0) = 0 .
  eq en2n(s(EN)) = s(en2n(EN)) .
}
```

## Verification of Arithmetic Calculator Compiler

The properties to be verified are specified:

```

mod! VERIFY-COMP { pr(INTER) pr(VM) pr(COMP)
  op th1 : Exp -> Bool .
  op lem1 : ExpPNat -> Bool .
  op lem2 : Exp IList Stack -> Bool .
  var E : Exp . var EN : ExpPNat . var L : IList . var S : Stack .
  eq th1(E) = (inter(E) = vm(comp(E))) .
  eq lem1(EN) = (inter(EN) = vm(comp(EN))) .
  eq lem2(E,L,S) = (exec(comp(E) @ L,S) = exec(L,vm(comp(E)) | S)) .
}
```

## Verification of Arithmetic Calculator Compiler

Let  $l(E)$  and  $r(E)$  be terms of a same sort in which a variable  $E$  of  $\text{Exp}$  occurs. Then, the following (A) and (B) are equivalent:

(A)  $(\forall E:\text{Exp}) l(E) = r(E)$

(B) I.  $l(en) = r(en)$  Base case

II. If  $l(e_1) = r(e_1)$  and  $l(e_2) = r(e_2)$ , Induction hypotheses Induction case  
 then  $l(e_1 + e_2) = r(e_1 + e_2)$ ,  $l(e_1 - e_2) = r(e_1 - e_2)$ ,  
 $l(e_1 * e_2) = r(e_1 * e_2)$ ,  $l(e_1 / e_2) = r(e_1 / e_2)$  and  
 $l(e_1 \% e_2) = r(e_1 \% e_2)$ ,

Where  $en$  is a fresh constant of  $\text{ExpPNat}$  &  $e_1$  and  $e_2$  are a fresh constant of  $\text{Exp}$ .

To prove (A), it suffices to prove (B), which is called proof by *structural induction on Exp*.

## Verification of Arithmetic Calculator Compiler

**Theorem 1** [(relative) correctness of the compiler with respect to the interpreter]  $\text{inter}(E) = \text{vm}(\text{comp}(E))$

Proof of Theorem 1 By structural induction on  $E$ .

I. Base case

For this proof score fragment, CafeOBJ returns the following:

open VERIFY-COMP .

-- fresh constants

$$\text{inter}(en) = \text{en2n}(en)$$

op en : -> ExpPNat .

which allows us to conjecture the lemma:

-- check

red th1(en) .

op lem1 : ExpPNat -> Bool .

close

eq lem1(EN) = (inter(EN) = vm(comp(EN))) .

## Verification of Arithmetic Calculator Compiler

Then, the proof score fragment is revised as follows:

```
open VERIFY-COMP .
-- fresh constants
op en : -> ExpPNat .
-- lemmas
eq inter(EN) = vm(comp(EN)) .
-- check
red th1(en) .
close
```

## Verification of Arithmetic Calculator Compiler

II. Induction case

```
open VERIFY-COMP .
-- fresh constants
ops e1 e2 : -> Exp .
-- induction hypothesis
eq inter(e1) = vm(comp(e1)) .
eq inter(e2) = vm(comp(e2)) .
-- check
red th1(e1 + e2) .
close
```

For this proof score fragment, CafeOBJ returns the following:

```
exec(comp(e1),empstk) + exec(comp(e2),empstk)
= exec(comp(e1) @ comp(e2) @ add | nil,empstk)
```

## Verification of Arithmetic Calculator Compiler

Let us consider how the following term is likely to be rewritten:

```

exec(comp(e1) @ comp(e2) @ add | nil,empstk)
→* exec(comp(e2) @ add | nil,vm(comp(e1)) | empstk)
→* exec(add | nil, vm(comp(e2)) | vm(comp(e1)) | empstk)
→ exec(nil, vm(comp(e1)) + vm(comp(e2)) | empstk)
→ vm(comp(e1)) + vm(comp(e2))
→* exec(comp(e1),empstk) + exec(comp(e2),empstk)

```

## Verification of Arithmetic Calculator Compiler

If we have the following equation

$$\text{exec}(\text{comp}(E) @ L,S) = \text{exec}(L,\text{vm}(\text{comp}(E)) | S)$$

then we make it possible to actually conduct the rewriting on the last page. Therefore, we conjecture the lemma:

```

op lem2 : Exp IList Stack -> Bool .
eq lem2(E,L,S)
  = (exec(comp(E) @ L,S) = exec(L,vm(comp(E)) | S)) .

```

## Verification of Arithmetic Calculator Compiler

The proof score fragment can be revised as follows:

```

open VERIFY-COMP .
-- fresh constants
ops e1 e2 : -> Exp .
-- lemmas
eq exec(comp(E) @ L,S) = exec(L,vm(comp(E)) | S) .
-- induction hypothesis
eq inter(e1) = vm(comp(e1)) .
eq inter(e2) = vm(comp(e2)) .
-- check
red th1(e1 + e2) .
close

```

## Verification of Arithmetic Calculator Compiler

The remaining part of the induction case can be tackled likewise.

We need to prove the two lemmas to complete the verification:

**Lemma 1**  $\text{inter}(EN) = \text{vm}(\text{comp}(EN))$

**Lemma 2**  $\text{exec}(\text{comp}(E) @ L,S) = \text{exec}(L,\text{vm}(\text{comp}(E)) | S)$

Lemma 1 can be proved by structural induction on  $EN$  that is  $\text{ExpPNat}$ , which does not need any lemmas. Proof by structural induction on  $\text{ExpPNat}$  is quite similar to proof by structural induction on  $\text{PNat}$ .

Lemma 2 can be proved by structural induction on  $E$  that is  $\text{Exp}$ , which does not need any lemmas.

# Exercises

1. Complete the verification.